

Client known to whom (JPMorgan employees), how well, and how long:	Client has been asked to exit the firm - in progress.
Overall PB/PWM relationship size and type of accounts:	Client has been asked to exit the firm - in progress.
Is this a shared client, what other LOB has a relationship with the client? Provide relationship manager name (if known), client of other LOB since (date), and relationship size?	Client has been asked to exit this firm - in progress.
When was the last time you met the client? What was the reason for the meeting?	Client has been asked to exit the firm - in progress.
Describe any significant changes in the client's information or material events that may have occurred over the last year (Examples: address changes, change in authorized persons on accounts, change in account type, change in business, change in net worth, etc.).	Client has been asked to exit the firm - in progress.
Cash Activity: Summarize client cash activity during the period reviewed and explain the purpose / use of the funds:	Client has been asked to exit the firm - in progress.
Wire Activity: Summarize client wire activity during the period reviewed and explain the purpose / use of the funds, including any international/cross border wires:	Client has been asked to exit the firm - in progress.
Before answering the following question please review the Annual Account History Activity Summary Report that has been attached in the Summary tab. Did the actual transaction activity appear reasonable, normal, and expected for the type of business or industry in which the client engages?	Yes
Was the nature, value, and volume of actual transaction activity consistent with expected transaction activity?	Yes
Was any unusual or potentially suspicious transaction activity detected?	No
Additional Comments	Client activity related to exiting assets and accounts from JPM PB at request of sr mgmt.

Expected Activity		
Deposit Account(s)		
Transaction Types	Expected Activity Levels	Anticipated Dollar Amount Totals per Month
Cash Deposits	Low (1-10)	<\$10,000
Cash Withdrawals	Low (1-10)	<\$10,000
Check Deposits	Low (1-10)	\$10,000 TO \$50,000
Checks Paid	Low (1-10)	\$10,000 TO \$50,000
Incoming Wires (Domestic)	Low (1-10)	\$50,001 TO \$100,000
Outgoing Wires (Domestic)	Low (1-10)	\$50,001 TO \$100,000
Incoming Wires (International)		
Outgoing Wires (International)		
ACH Deposits		
ACH Payments		
Internal Transfer (Debits/Credits)	Low (1-10)	\$50,001 TO \$100,000
Asset Purchases/Sales		
What is the initial/ongoing source of funding for the client's Deposit Account(s) ?		
Initial funding amount: 10,000,000 wire and the ongoing will come from his personal account at the the PB.		
What is the purpose/intended use of account(s)? Please provide a detailed description of how the Deposit Account(s) will be used by the client.		
The purpose is to have a checking account for trust to pay any expenses the trust incurs.		
Review the activity for the period overall and explain how the client's transaction profile agrees with or doesn't agree with expectations for the client based on the client relationship (purpose of accounts, occupation, business activity, etc.):		
Low monthly activity.		
Was expected activity for the client modified in the transaction activity section of the KYC ticket?		
Yes		
If modified, explain.		
Lowered the expected activity level.		

Additional Client Information
Please include any additional information that would further explain your knowledge of the client (family tree/history, public information, websites, etc.)
This is another part of an existing client relationship with Jeffrey Epstein. The client has requested the opening of a checking account for trust. This trust is for the benefit of Jeffrey Epstein.

Comment History			
Stage Name	Creation Date	Entered By	Comment Preview
CEO Approval	11/27/2013 11:03 AM	JOHN R DUFFY	approved to facilitate client exit

Approval History			
Stage Name	Signoff Date	Owner SID	Owner Name