

Total Net Worth \$	50000000.00
What was the total net worth based on?	Financial Statements
Is this client a Professional Service Provider acting as a financial intermediary (Gatekeeper/Financial Liaison), with assets held on behalf of one or more unidentified underlying clients unknown to the bank?	No
Is this entity a Private Equity Fund (Venture Capital Fund or Leveraged Buyout)?	No
Is this a Hedge Fund? (Pooled investment vehicles (often partnerships) that primarily invest financial capital of third party investors in enterprises that are too risky for the standard capital markets or bank loans.)	No
Is this a Cash Intensive business? (Cash-intensive businesses/entities exist in various industry sectors and include, but are not limited to convenience stores, grocery stores, restaurants, bars, retail stores, liquor stores, cigarette distributors, privately owned ATMs, vending machine operators and parking garage operators.)	No
Is this entity any of the following business types?	aa. None of the above
Is the Entity a Personal Holding Company (PHC) or Personal Investment Company (PIC) located in an offshore jurisdiction?	Yes
Is the PHC/PIC managed by JPMC? (Trustees of the entity are JPMC employees, e.g., PHC managed in Nassau/Geneva/Delaware)	No
Have you received copies of the shares or listing of shares?	Yes
Are the shares registered or in bearer form?	Registered
PHC/PIC Location	VIRGIN ISLANDS, U.S.
Did the client confirm with you that the beneficial ownership is as stated within this KYC?	Yes
Did the client confirm that he/she would inform you if beneficial ownership changes?	Yes
Was the "Confirmation of Beneficial Ownership" document received from the beneficial owner? Please attach the J.P. Morgan Confirmation of Beneficial Ownership document to the KYC.	Yes
List the number of shares that have been issued	1000.00

Business Case	
Please specify why you are comfortable with this client given the identified risk factor(s). Your answer is your "business case" and should detail all mitigating factors.	Client well-known to PB team and regularly reviewed at reputational risk meeting and updates.
Provide client history/detail, including when the relationship was established, how it came to be in PB/PWM, client's association with other JPM LOBS, etc.	Long standing PB client.
Client known to whom (JPMorgan employees), how well, and how long:	Integrated team/management team
Overall PB/PWM relationship size and type of accounts:	>\$100 million
Is this a shared client, what other LOB has a relationship with the client? Provide relationship manager name (if known), client of other LOB since (date), and relationship size?	No
Additional Comments	N/A

Expected Activity		
Client Directed Asset / Brokerage Account(s)		
Transaction Types	Expected Activity Levels	Anticipated Dollar Amount Totals per Month
Cash Deposits		
Cash Withdrawals		
Check Deposits		
Checks Paid		
Incoming Wires (Domestic)		
Outgoing Wires (Domestic)		
Incoming Wires (International)	Low (1-10)	<\$10,000
Outgoing Wires (International)		
ACH Deposits		
ACH Payments		
Internal Transfer (Debits/Credits)		
Asset Purchases/Sales	Low (1-10)	<\$10,000
What is the initial/ongoing source of funding for the client's Client Directed Asset / Brokerage Account(s) ?		
The initial source of funding will be from an external wire transfer of funds from First Bank Virgin Islands Bank in the amount of \$800. Any ongoing source of funding will also be from First Bank Virgin Islands Bank.		
What is the purpose/intended use of account(s)? Please provide a detailed description of how the Client Directed Asset / Brokerage Account(s) will be used by the client.		
The purpose of the account is to purchase securities for future wealth accumulation.		