

Ticket#	105258636	Account#	Initiated Date	07/13/2013 07:22 AM
Client Name	MORT INC.	Account Title	Initiator	JUSTIN D NELSON
ECI	0241873788	Account Type	Initiating Team	PB FRONT OFFICE
LOB	PB			

Verify & Confirm for MORT INC.	
Final Risk Rating	High
Initial Risk Rating	High
GCS Report Requested	Full
Presence of Potential High Risk Indicators	No
Comments	
Summary of Risk Attributes	Convicted Felon - Several newspaper articles were found that detail the indictment of Jeffrey Epstein in Florida on felony charges of soliciting underage prostitutes. Jeffrey Epstein served 13 months in jail. Numerous articles detail various law enforcement agencies investigating Jeffrey Epstein for allegedly participating in child trafficking and molesting underage girls. Jeffrey Epstein is required to register as a sex offender. Jeffrey Epstein has settled a dozen civil lawsuits out of court from his victims regarding solicitation for an undisclosed amount.
Please summarize any material changes which have occurred with the client within the past 12 months:	There have been no changes.

Risk Updated History				
Creation Date	Entered by	Updated Risk	Previous Risk	Comment

GCS Grid		
Search Type	Security Service Details	Further Review Required
Company Information	Information not confirmed for "MORT INC" (Tax ID and Address); details included in the attachment.	Yes
Corporate Records	Not applicable.	No
Other Database Searches (RDC/MIS/Factiva)	No records were found.	No
Internal Database Search (CSSS/FPS)	Internal database search revealed prior GS&I research for JEFFREY E EPSTEIN; and the following derogatory information was reported. REDDOT: Several newspaper articles were found that detail the indictment of Jeffrey Epstein in Florida on felony charges of soliciting underage prostitutes. Jeffrey Epstein served 13 months in jail. Numerous articles detail various law enforcement agencies investigating Jeffrey Epstein for allegedly participating in child trafficking and molesting underage girls. Jeffrey Epstein is required to register as a sex offender. Jeffrey Epstein has settled a dozen civil lawsuits out of court from his victims regarding solicitation for an undisclosed amount. Reference the account titled "116 EAST 65TH ST LLC" (attached document labeled 816859913B) for previous research.	Yes
State Court Searches	Not applicable.	No
Federal Court Searches	Not applicable.	No
Personal Particulars	No additional research necessary.	No
Publications	Nothing derogatory found.	No
Regulatory Sanctions	No records were found.	No
OFAC/Control List Search	A search of OFAC did not reveal any matches.	No
Negative or Inconsistent Information		Yes

GCS Red Dot	
Has this been identified as Red Dot KYC?	No
Negative Information Found	Yes

Banker Attestation Details	
You are about to approve a high risk client. Have you included sufficient information to satisfy High Risk Enhanced Due Diligence regulatory requirements (Section 312 of the USA Patriot Act)?	Yes