

As the client sponsor, I understand that I am primarily responsible for protecting the firm's reputation from inappropriate business relationships, as well as from fraud and money laundering. By approving this KYC, I am sponsoring this client as to their character and reputation and from a legal/regulatory standpoint.	Yes
Please state your rationale for conducting business with this client	We are currently exiting the Epstein relationship.
Please respond to any issue(s) identified in the GS&I grid that either needs further review, or red dot which is not already covered in a Business Case within this KYC	Issues are well documented and we are exiting the relationship.
Comments	

Primary Information			
Client Type	Non Individual	Front Office Restricted?	Normal
Client Status	Client	Active Indicator	Yes
Confidential Names?	No		
Legal Business Name	MORT INC.	Insider Code (Reg. O)	
Country of Primary Domicile	UNITED STATES	Primary Domicile State	Virgin Islands
<input checked="" type="checkbox"/> Primary Country of Assets	VIRGIN ISLANDS, U.S.	WebSite	
Country of Organization	UNITED STATES	Organization State	Virgin Islands
Industry Code (NAIC)	Personal Investment Companies [525997]	Secondary Industry Code (NAIC)	NAIC NOT PROVIDED [ ]
Client Legal Entity Type	Corporation	Client Category	Profit
Date of Incorporation/Situs (Date of Trust)	04/12/2011		
Documentary Evidence	Corporation - Certified Articles of Incorporation		
What is the nature/purpose of this entity?	The nature/purpose of this entity is Investments.	<input checked="" type="checkbox"/> Is the entity a Personal Holding Company (PHC) or Personal Investment Company (PIC) located in an offshore jurisdiction?	Yes

PHC/PIC Questions			
Are shares registered or in bearer form	Registered	What is the authorized capital(This information is usually found in the Board Resolution and/or minutes)	1000.0
List the total number of shares that have been issued	1000.0		
High Risk Jurisdiction	Yes		

Address(es)								
Type	Notes	Address	City, State, Postal Code	Country	Tools	Entered by	Date of Update	Preferred
<input checked="" type="checkbox"/> Legal		6100 RED HOOK QTRS STE B3	ST THOMAS, 008021348	VIRGIN ISLANDS, U.S.	Map it	BATCH	07/31/2012	Yes

Phone(s)/Fax(s)						
Type	Notes	Phone Number	Tools	Entered by	Date of Update	Preferred
Other		6468624811	Verify "Do Not Call" List	SYSTEM	05/22/2012	Yes
Other		9174147584	Verify "Do Not Call" List	SYSTEM	05/22/2012	No

Email(s)					
Type	Notes	Email	Entered by	Date of Update	Preferred
Work	Primary authorized contact person - Richard Khan	[REDACTED]	DEBRA WHITE	05/22/2012	Yes

Cost Center & Hierarchy			
Client Status	Client	Client Type	Non Individual
Client Sponsor	JUSTIN D NELSON	New or Existing Client	Existing