

Please respond to any issue(s) identified in the GS&I grid that either needs further review, or red dot which is not already covered in a Business Case within this KYC	This is a new USVI entity. Other issues are know and reviewed in Jeffrey's personal KYC..
Comments	

Primary Information			
Client Type	Non Individual	Front Office Restricted?	Normal
Client Status	Client	Active Indicator	
Confidential Names?	No		
Legal Business Name	SOUTHERN TRUST COMPANY, INC	Insider Code (Reg. O)	
<input checked="" type="checkbox"/> Country of Primary Domicile	VIRGIN ISLANDS, U.S.		
<input checked="" type="checkbox"/> Primary Country of Assets	VIRGIN ISLANDS, U.S.	WebSite	
<input checked="" type="checkbox"/> Country of Organization	VIRGIN ISLANDS, U.S.	Organization State	
Industry Code (NAIC)	Misc Financial Investment Activities [523999]	Secondary Industry Code (NAIC)	NAIC NOT PROVIDED [ ]
Client Legal Entity Type	Limited Liability Company	Client Category	Profit
Date of Incorporation/Situs (Date of Trust)	11/18/2011		
Documentary Evidence	Corporation - Certified Articles of Incorporation		
What is the nature/purpose of this entity?	This corporations makes investments on behalf of the clients that it manages.	Is the entity a Personal Holding Company (PHC) or Personal Investment Company (PIC) located in an offshore jurisdiction?	No
High Risk Jurisdiction	Yes		

Address(es)								
Type	Notes	Address	City, State, Postal Code	Country	Tools	Entered by	Date of Update	Preferred
<input checked="" type="checkbox"/> Legal		6100 RED HOOK QUARTER B3	ST THOMAS, 00802	VIRGIN ISLANDS, BRITISH	Map it	BATCH	02/08/2013	Yes

Phone(s)/Fax(s)						
Type	Notes	Phone Number	Tools	Entered by	Date of Update	Preferred
Work			Verify "Do Not Call" List	SYSTEM	02/07/2013	Yes
Other			Verify "Do Not Call" List	SYSTEM	02/08/2013	No

Email(s)					
Type	Notes	Email	Entered by	Date of Update	Preferred
Work			SUZANNA C BEHRINGER	03/12/2013	Yes

Cost Center & Hierarchy			
Client Status	Client	Client Type	Non Individual
Client Sponsor	JUSTIN D NELSON	New or Existing Client	Existing
Cost Center	002753 - GREENWICH		
Client Service Team	CHBG (DE) 4	Entity Type	Entity
GIO	Paul S Barrett	Portfolio Manger	
Trust Advisor		Investor	
Decision Maker	JEFFREY E EPSTEIN	DM ECI	

Identification			
Withholding Federal Status	Domestic Exempt		

Introduction & Wealth			