

Executive Summary

On 1/5/2012, GS&I was requested by Paul V Morris to conduct a background investigation.

Full research was conducted on Neptune LLC in FL and DE only.

Media research was conducted on Harry Beller and Janusz Banasiak.

Throughout this search, the following records were researched:

Company and corporate records, RDC (Regulatory Data Corp), MIS (McDonald Information Services), CSSS/FPS internal database search (JPMorgan Chase Fraud Prevention List Review), state & federal court searches, publications, regulatory sanctions, FINRA/NFA and OFAC (Office of Foreign Asset Control).

Please Note: All research is subject to the availability of electronic database resources.

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