

Banker Attestation Details	
As the client sponsor, I understand that I am primarily responsible for protecting the firm's reputation from inappropriate business relationships, as well as from fraud and money laundering. By approving this KYC, I am sponsoring this client as to their character and reputation and from a legal/regulatory standpoint.	Yes
Please state your rationale for conducting business with this client	Jeffrey Epstein has been an active trading client and keeps most of his liquidity with us. Total assets over \$100MM. Both Mary Erdoes and John Duffy are aware of the relationship.
Please respond to any issue(s) identified in the GS&I grid that either needs further review, or red dot which is not already covered in a Business Case within this KYC	Senior management is aware of the relationship with Jeffrey.
Comments	

Primary Information			
Client Type	Individual	Generation	
Client Status	Client	Active Indicator	Yes
Front Office Restricted?	Normal	Marital Status	Single
Confidential Names?	No		
Country of Primary Domicile	UNITED STATES	Primary Domicile State	New York
Prefix/Title		Insider Code (Reg. O)	
First Name	Jeffrey	Full Name	Jeffrey E Epstein
Middle Name	E	Gender	Male
Last Name	Epstein	Date of Birth	01/20/1953
Suffix		Primary Country of Assets	UNITED STATES
PreviousName/MMN/Alias			
Citizenship	UNITED STATES		
Employer Name	Self	High Risk Jurisdiction	No
Occupation	Entrepreneur	Industry Code (NAIC)	Individuals [999940]
Years at Occupation	0	Secondary Industry Code (NAIC)	Personal Investment Companies [525997]

Address(es)								
Type	Notes	Address	City, State, Postal Code	Country	Tools	Entered by	Date of Update	Preferred
<input checked="" type="checkbox"/> Legal		6100 RED HOOK QTRS STE B3	ST THOMAS, 00802	VIRGIN ISLANDS, U.S.	Map it	BATCH	08/03/2012	Yes

Phone(s)/Fax(s)						
Type	Notes	Phone Number	Tools	Entered by	Date of Update	Preferred
Home		[REDACTED]	Verify "Do Not Call" List	BATCH	02/22/2013	Yes
Work		[REDACTED]	Verify "Do Not Call" List	ROSALIA CRACCHIOLO	05/12/2011	No
Work		[REDACTED]	Verify "Do Not Call" List	TECS_DATA	05/21/2011	No
Fax		[REDACTED]	Verify "Do Not Call" List	TECS_DATA	04/30/2011	No
Fax		[REDACTED]	Verify "Do Not Call" List	TECS_DATA	04/30/2011	No
Work		[REDACTED]	Verify "Do Not Call" List	SYSTEM	05/12/2011	No
Work		[REDACTED]	Verify "Do Not Call" List	BATCH	03/08/2013	No
Other		[REDACTED]	Verify "Do Not Call" List	SYSTEM	03/18/2013	No

Email(s)					
Type	Notes	Email	Entered by	Date of Update	Preferred
Other		[REDACTED]	BATCH	03/16/2013	Yes

Cost Center & Hierarchy			
Client Status	Client	Client Type	Individual