

Personal accounts are typically funded from Jeffrey Epstein's investment entity and then Mr. Epstein disburses money to various other accounts used in support of his homes, legal fees, insurance, etc. Ok to approve.

4th Quality Review Comment: Bonnie K Perry on 4/1/2011 5:22:43 PM Comments : DDR was updated to include additional information with regard of the client's situation. Accts. are being closely monitored by AML Ops.

5th Quality Review Comment:

Admin Comments

Admin Comments: DDR would not allow annual review. There is an error message that another DDR within the relationship is already undergoing annual review, therefore this DDR did not produce an annual review alert in February as it should have.

By: Bonnie K Perry/JPMCHASE

Date: 04/09/2010 06:19:17 PM

Admin Comments History :

Document History

Created: 05/12/2003

By: Mary Rieth

Last Modified: 04/14/2011

By: Catherine Keating

Submitted: 03/29/2011 10:44:53 AM

By: Marcus Sheridan

Audit History

38. 'Area Head Approval' performed by: Catherine Keating on: 04/14/2011 04:56:13 PM
37. Quality Review performed by: Bonnie K Perry on: 04/01/2011 05:22:48 PM
36. 'Senior Manager Approval' performed by: Marcus Sheridan on: 03/29/2011 10:44:53 AM
35. Button: 'Change Senior Manager' performed by: Bonnie K Perry on: 03/07/2011 04:31:17 PM
34. 'Banker Approval' performed by: Paul V Morris on: 03/07/2011 02:37:48 PM
33. Submitted for Banker Approval SS Type = Full performed by: Ashish S Patel on: 03/07/2011 01:25:05 PM
32. Changed DDR Status from: Awaiting Banker Approval to Awaiting Security Services Research performed by: Mary E Meisner on: 03/07/2011 12:07:48 PM
31. Submitted for Banker Approval SS Type = Full performed by: John J O'reilly on: 03/04/2011 04:30:08 PM
30. Button: 'Flag: Security Check has begun' performed by: John J O'reilly on: 03/04/2011 04:29:39 PM
29. Button: 'Print' performed by: Ligaya X Felismino-Stesner on: 02/22/2011 04:10:12 PM
28. Submitted for Security Services Approval performed by: Paul V Morris on: 02/22/2011 02:35:45 PM
27. Button : 'Update/Revise DDR' performed by: Paul V Morris on: 02/22/2011 02:21:53 PM
26. Area Head Approved on Behalf of Catherine Keating because designated Area Head is Confirmed with Catherine on 7/9/10 performed by: Dustin F Kennedy on: 07/09/2010 03:00:18 PM
25. Quality Review performed by: Bonnie K Perry on: 06/28/2010 01:42:58 PM
24. Changed DDR Status from: Awaiting Banker Approval to Awaiting Area Head Approval performed by: Bonnie K Perry on: 06/28/2010 01:38:01 PM
23. Changed DDR Status from: Awaiting Area Head Approval to Awaiting Banker Approval performed by: Bonnie K Perry on: 06/28/2010 01:32:45 PM
22. 'Senior Manager Approval' performed by: Mary C Casey on: 06/18/2010 12:57:11 PM
21. 'Banker Approval' performed by: Paul V Morris on: 06/18/2010 12:11:19 PM
20. Changed DDR Status from: Awaiting Senior Manager Approval to Awaiting Banker Approval performed by: Bonnie K Perry on: 06/09/2010 03:05:18 PM
19. Quality Review performed by: Bonnie K Perry on: 06/09/2010 02:42:42 PM
18. 'Banker Approval' performed by: Paul V Morris on: 06/08/2010 01:34:50 PM
17. Submitted for Banker Approval SS Type = Full performed by: Viktoriya X Ignatenko on: 04/16/2010 10:12:12 AM
16. Button: 'Print' performed by: Javia S Hairston on: 04/12/2010 10:39:27 AM
15. Submitted for Security Services Approval performed by: Bonnie K Perry on: 04/09/2010 06:17:44 PM
14. Button : 'Update/Revise DDR' performed by: Bonnie K Perry on: 04/09/2010 06:14:18 PM
13. 'Area Head Approval' performed by: Catherine Keating on: 02/24/2009 09:02:12 AM
12. 'Senior Manager Approval' performed by: Marcus Sheridan on: 02/12/2009 06:31:53 PM
11. 'Banker Approval' performed by: Mary C Casey on: 02/12/2009 01:26:59 PM
10. Changed DDR Status from: Awaiting Area Head Approval to Awaiting Banker Approval performed by: James Dalessio on: 01/12/2009 03:40:22 PM
9. Quality Review performed by: Bonnie K Perry on: 01/12/2009 10:23:52 AM
8. 'Senior Manager Approval' performed by: Marcus Sheridan on: 12/16/2008 12:58:02 PM
7. 'Banker Approval' performed by: Mary C Casey on: 12/12/2008 12:40:38 PM
6. Submitted for Banker Approval SS Type = Full performed by: Matthew P Hynson on: 12/10/2008 02:06:23 PM
5. Button: 'Flag: Security Check has begun' performed by: Ligaya X Felismino-Stesner on: 12/02/2008 02:20:42 PM
4. Button: 'Print' performed by: Ligaya X Felismino-Stesner on: 12/02/2008 02:18:15 PM
3. Submitted for Security Services Approval performed by: Mary C Casey on: 12/02/2008 01:58:52 PM
2. High Risk Business set to 'No' because Epstein is a high profile/controversial figure (as noted) but does not run a high risk business. - performed by: Mary C Casey on: 12/02/2008 01:56:23 PM
1. Button : 'Update/Revise DDR' performed by: Mary C Casey on: 12/02/2008 11:58:59 AM

Converted Tracking Document History:

1. Client Manager Approval by: Mary Rieth on 05/28/2003 08:34:02 AM.
2. Senior Manager Approval by: Tad C Smith on 05/28/2003 09:04:12 AM.
3. Awaiting Security Services Research by: Mary Rieth on 05/14/2003 11:15:48 AM.
4. Security Services Sign-Off by: Vanessa A Budhu on 05/21/2003 10:25:48 AM.