

(Investment Mgmt. / Trust) <input type="radio"/> Yes <input checked="" type="radio"/> No	
Credit type facilities (Line of Credit, Mortgage, Letter of Credit) <input type="radio"/> Yes <input checked="" type="radio"/> No	

Required Security Services Checks

Security Search Type: Full

Note for Security Services: Please update with any new information since the last search was conducted. Please include all information as this will be the primary DDR that will be used for annual review for Jeffrey Epstein.
Please list any specific search requests/instructions to Security Services here

Security Services Information

A Security Services Check is REQUIRED for New Clients and Prospects This section to be completed by the Security Services Group:

List Individuals and Corporate Entities Investigated:
Please Note: All research is subject to the availability of electronic database resources.

Attachment:



Search Type	Is Further Review by Banker Required?	Security Service Details:	Banker Response:
Company Information	No	No additional research necessary.	
Corporate Records	No	No additional research necessary.	
Other Database Searches (RDC/CDC/MIS)	No	CSSS: Information confirmed; details included in the attachment. Reference section of the DDR titled "Red Dot (derogatory information) identified:" to view the specifics on the adverse information GS&I has identified for this account.	
Civil Court Action	No	No additional research necessary.	
Federal & State Court Action	No	No additional research necessary.	
Personal Particulars	No	No additional research necessary.	
Publications	No	Publications revealed nothing derogatory.	
Regulatory Sanctions	No	No records were found.	
OFAC/Control List Search	No	No records were found.	

Has this been identified as a Red Dot DDR? : Yes No

Expense No.: 
 Total Costs: \$0.00
 Signed by:  X Ignatenko

Dated: 04/16/2010 10:12:15 AM

Individual Checks

Background Check	Date (Required If Check Carried Out)	Comments (Required If Check Carried Out)
<input checked="" type="checkbox"/> Morgan Network	02/12/2009	Jeffrey Epstein is an existing client of PB. In years past, he was an active brokerage client, particularly foreign exchange, and as of this year he is a custody-only client.