

08/06/2004
03/07/2011
08/11/1999
05/21/2003
03/11/2010
10/17/2008
02/03/2009
01/30/2009
01/15/2010
02/03/2009
07/31/2006
02/08/2004
12/10/2009
04/16/2003
01/30/2009
12/01/2008
12/02/2008
11/25/2008
01/28/2004
102/29338
N256838
8634--CHBG
01

Only Select "New Client" if this entity will be a PCN.
JPMC Internal Use Only

Full Legal Corporate

Name:

EMMCAC, LLC

(No P.O. Box address. Must be a physical address.)

Primary Corporate Address

Name:

Attention:

Address Line 1:

Address Line 2:

City:

State:

Province:

Postal/Zip Code:

Country:

Tax ID # :

Business Phone:

10022

USA

111111111

Remember to collect W-8's or other applicable tax forms for each jurisdiction.

(212)-750-1176

Introduction / Financial Information

Introduction Type:

Specific Information:

Met with Principal?

Name Of Principal:

Legal Structure:

EMMCAC, LLC

c/o Eric Gany

457 Madison Avenue

4th Floor

New York

NY

Related Client A/C

Part of the Epstein relationship

(Please identify Client, Type of Account etc.)

Jeffrey Epstein

Limited Liability Company

Principal Beneficial Owner(s)

Identify the principal beneficial owner(s). If the entity is owned by an intermediate legal structure

(e.g. LLC or Partnership), please list the ultimate individual beneficial owner. A beneficial owner is any person on behalf of whom the account is maintained.

Last Name:

First Name:

% of Ownership:

What form of identification document did you obtain for the files?

Other

If Other ,Please Describe:

Passport #

Please Describe:

Corporation's Net Worth:

Sales:

Total Assets:

Total Debt:

Specific Information

Are the controlling Shareholders the same as

the beneficial owners ?

Controlling Shareholder(s):

Jeffrey Epstein

information already on file

NA

investments

\$ 1.00 (USD millions)

\$ (USD millions)

\$ 1.00 (USD millions)

\$ (USD millions)

account documentation

Tax ID

DOB

Industry Code:

The Business Type is the industry in which the client derives the majority of its income.

Investors (Misc.)

NAIC Code: 6799

Transaction Profile/Expected Account Activity

What is the purpose/intended use of this account?

Please check the types of account and expected activity for this legal entity (inflows and outflows of securities and cash) - check ALL that apply:

Activity Level:

Account

DDA/MMIA:

SDI:

Investment Management:

Funds:

Other:

Transaction Profile/Expected Account Activity

Checking account

Required Security Services Checks

Security Search Type:

Note for Security Services:

Please list any specific search requests/instructions to Security Services here

Security Services Information

A Security Services Check is REQUIRED for New Clients and Prospects

This section to be completed by the Security Services Group:

List Individuals and Corporate Entities Investigated:

EMMCAC, LLC was researched for derogatory media and regulatory sanctions.

Please Note:

Reference the Due Diligence Report titled "Epstein, Jeffrey" and the attached document 210203b for a full report on Jeffrey E. Epstein completed on 05/21/2003 for Mary Rieth. No additional research was conducted on this subject under this request.

All research is subject to the availability of electronic database resources.

Attachment:

Low (1-5 transactions/month)

Medium (6-12 trs/month)

High (over 12 trs/month)

Media Searches Only

Search Type

Is

Security Service Details:

Further

Review

by

Banker

Require

d?

Company Information

Corporate Records

Other Database Searches

(RDC/CDC/MIS)

Civil Court Action

No

No

No

Not requested.

Not requested.

Not requested.

Banker

Response:

Federal & State Court

Action

Personal Particulars

Publications

Regulatory Sanctions

No

No

No

No

OFAC/Control List Search No

Not requested.

Not requested.

Nothing derogatory was found.

No records were found.

Reference page 2 of the attached document to view the results of
OFAC search.

No matches were found.

Has this been identified as a Red Dot DDR? :

Expense No.:

Total Costs:

99/137001

\$0.00

Signed by:

Dated:

Anthony AJ Johnson

08/06/2004 12:19:30 PM

Corporation Checks

Background Check

Date

(Required If Check Carried
Out)

04/10/2003

Comments

(Required If Check Carried Out)

EMMCAC, LLC is a new entity for the Jeffrey Epstein relationship

Controlling Shareholder Checks

Background Check

Date

(Required If Check Carried Out)

04/10/2003

Comments

Jeffrey Epstein has been researched already. He
has a long-standing relationship with Morgan
through the Leslie Wexner relationship, which is
now extended personally relationship and
professionally.

Summary

Summary Of Findings:

EMMCAC is the new entity for the Epstein relationship. This DDA/MMIA account will be used for the cash operations and management of the company.

Anticipated Product(s)

Account is urgently needed, tax id will be provided asap!!

Deposits; MMIA

Client Hierarchy Assignments

GRAY SHADED AREA CONTAINS SPN/CAS ID ASSIGNMENT INFORMATION

Banker SID

N256838

Banker BAC:

FNEN:

Does the DDR have Multiple

Entities?

8634--CHBG

01

No

Client Hierarchy and Additional Entity Assignment:

Name

EMMCAC, LLC

Linked to DM Name

JEFFREY E EPSTEIN

Date of Birth

.

.

No

Tax ID #

Existing

CAS Id

000000000

SPN No

5593247

Contact Information for each entity named within the Client Hierarchy Table:

Associated Entity

Government issued

I.D Obtained

EMMCAC, LLC

Do any of the above entities require a joint SPN?

Select Yes, if multiple entities will share the same account (OMNI, CASII or Olympic)

Does the DDR have Multiple Owners?

No

This area is used to document individuals who DO NOT require a new CAS or SPN, but have authority over the entity or entities for which this DDR was created. Individuals documented within the above client hierarchy area do not need to be repeated in this section

Name

Government issued

I.D Obtained

Tax ID #

Date of Birth

Tax ID #

000000000

.

.

Linking to

DM Id

9710670

708

CAS ID

0127900808

Citizenship

Attention

Address Line 1

State

Citizenship

Zip

Address Line 2

Province

City

Country

Approval Information

Public/High Profile Figure

No

High Risk Jurisdiction

No

High Risk Business

Is this a Foreign Bank?

"Foreign Bank" as defined in the USA
PATRIOT Act.

Banker:

LE/OU:

Senior Manager:

Paul V Morris/JPMCHASE

102/29338

Tad C Smith

No

No

Annual / Periodic Review Information

Annual/Periodic Review

Due in:

36 months

Periodic Review Comments :

This annual/periodic review is for DMID # 9710670708 - DMID Name: JEFFREY E EPSTEIN

The actual review is being conducted within the Ranch Lake III, Inc. DDR.

Temporary Waiver Information

Waiver Status:

Reason for Waiver:

Waiver Requested By:

Date of Waiver Request:

Approver for Waiver:

Waiver Approved On:

Banker Approval Information

Based on my due diligence and the information provided , I approve the acceptance of EMMCAC, LLC ~ a
s a Client.

Mary Rieth 08/11/2004 09:14:52 AM

Senior Manager Approval Information

Based on the information provided and the approval granted by Mary Rieth, I approve the acceptance o
f EMMCAC, LLC ~ as

a Client.

Tad C Smith 08/11/2004 09:20:10 AM

Admin Comments

Admin Comments:

By:

Date:

Admin Comments History :

Document History

Created: 07/12/2004

Last Modified: 08/11/2004 09:20:18 AM

Submitted: 07/12/2004

Audit History

Converted Tracking Document History:

1. Client Manager Approval by: Mary Rieth on 08/11/2004 09:14:49 AM.
2. Awaiting Security Services Research by: Doreen X Hewitt-Dunn on 07/12/2004 03:13:41 PM.
3. Senior Manager Approval by: Tad C Smith on 08/11/2004 09:20:13 AM.
4. Security Services Sign-Off by: Anthony AJ Johnson on 08/06/2004 12:19:38 PM.

DDR Complete

Security Services is still performing the client background check.

Doreen X Hewitt-Dunn

07/12/2004

David M Tarrio

07/12/2004

By: Doreen X Hewitt-Dunn

By: Tad C Smith

By: Doreen X Hewitt-Dunn

CN=Ujwala Srinath/O=JPMCHASE: 210203b.doc
CN=Ujwala Srinath/O=JPMCHASE: 463804b.doc