USCG Due Diligence Report - Fiduciary
DDR Name: The 2007 Jeffrey E Epstein Insurance Trust #2 - November 1, 2007 ~
DDR Status: Process Completed
Banker Information
Banker:
Paul V Morris/JPMCHASE
LE/OU:
Banker SID:
Banker BAC:
Banker FNEN:
Banker History:
03/04/2010 11:24:04 PM - CRD / Notrix changed: Banker from Mary C Casey/-JPMCHASE to Paul V Morris/JPMCHASE.
DM ID Link Information
The DM for this DDR is DM Name -JEFFREY E EPSTEIN CAS ID - 9710670708.
The following DDR's are associated with this Decision Maker .
DDR Name
116 East 65th St., LLC
Darren K. Indyke PLLC
[redacted]
EMMCAC, LLC
Epstein, Jeffrey
Financial Trust Company, Inc.
Financial Trust Company, Inc.
Freedom Air International, Inc.
HBRK Associates Inc.
Hyperion Air, Inc.
I-Correct.com LLC
Indyke, Darren K
Jege, Inc.
LYN & JOJO LLC
[redacted]
MAX Foundation
New York Strategy Group, LLC
Plan D, Inc.
The 2007 Jeffrey E Epstein Insurance Trust
#2 - November 1, 2007
The 2007 Jeffrey E Epstein Insurance Trust
#3 dtd November 1, 2007
The 2007 Jeffrey E. Epstein Insurance
Trust #1 Dtd November 1, 2007
The C.O.U.Q. Foundation, Inc.
The Haze Trust
Trust/Estate Name / Contact Information
Banker
Morris, Paul V
Morris, Paul V
Morris, Paul V
Morris, Paul V
Morris, Paul V
Morris, Paul V

Morris, Paul V
Morris, Paul V
Morris, Paul V
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Morris, Paul V
Morris, Paul V
Morris, Paul V
Morris, Paul V
Morris, Paul V
Security Services Search Date
02/02/2009
10/17/2008
02/08/2004
08/06/2004
03/07/2011
08/11/1999
05/21/2003
03/11/2010
10/17/2008
02/03/2009
01/30/2009
01/15/2010
02/03/2009
07/31/2006
02/08/2004
12/10/2009
04/16/2003
01/30/2009
12/01/2008
12/02/2008
11/25/2008
01/28/2004
102/29338
N256838
8634--CHBG
01
Full Legal Entity Name:
JPMC Internal Use Only
The 2007 Jeffrey E Epstein Insurance Trust #2 - November 1, 2007

Legal Entity:
Trust
Trust Tax ID #
[redacted]
You must ensure that a copy of an IRS application for tax id has been
received
from the client as evidence per Private Bank Policy.
Trust / Estate Situs (Domicile): XX
JPM Executor or Trustee?
Fiduciary Manager
Non JPM Trustee(s) / Executor(s):
Complete this section for Non-JPM trustees / executors.
Primary Non JPM
Trustee/Executor is an
List the following information for the Primary Non JPM trustee / executor.If
an Organization, please list under "Last
Name" section and mark "First name" with a dash (-).
Last Name :
First name :
Middle Name/Initial:
Address Line 1:
Address Line 2:
City:
State
Province:
Country:
Postal/Zip Code:
Contact Phone:
Date of Birth :(mm/dd/yyyy)
Darren
K
Indyke
457 Madison Ave
4th Floor
New York
NY
UNITED STATES
10022
()
[redacted]
What form of goverment issued identification document did you obtain for the
files?
Driver's License
Tax ID / Social Security Number [redacted]
Passport/Drivers License #
[redacted]
List any additional Non JPM trustees / executors in the following table.
Name
Date of Birth
(mm/dd/yyyy)
Lawrence Newman

05/31/1931
Grantor of Trust / Estate Assets:
List the following information for the grantor.
Grantor is an
If an Organization, Please list the legal name under "Last Name" section and mark "First name" with a dash (-).
Is the Grantor Deceased ?
Last Name :
First name :
Middle Name/Initial:
Address Line 1:
Address Line 2:
City:
State:
Epstein
Jeffrey
[redacted]
Driver's License
Form of Govt Issued ID
Obtained
Passport # / DL #
Tax ID
[redacted]

Province:
Country:
Postal/Zip Code:
Contact Phone:
Date of Birth :(mm/dd/yyyy)
UNITED STATES
10022
()
01/20/1953
What form of goverment issued identification document did you obtain for the files?
Driver's License
If the grantor is deceased, please select "Other" and input a date of death.
090443348
Tax ID / Social Security Number
:
Passport/Drivers License #
You must ensure that a copy of an IRS application for tax id has been received
from the client as evidence per Private Bank Policy.
0904433481
List any additional grantors in the following table.If the grantor is deceased, indicate this in the Name field .If an
Organization, provide the legal name.
Name
Date of Birth
(mm/dd/yyyy)
Beneficiary (-ies):
Name
Mark Lawrence Epstein
Eva B Andersson
Introduction Information
Introduction Type:
Specific Information
Existing client
Met with Non JPM Trustee(s)/
Executor(s) ?
Background / Financial Information
Occupation, Business or Employer of Non-JPM
Trustee(s)/Executor(s)
Profession/Business Type:
Trust's/Estate's Value: $ (USD millions)
Source Of Wealth/Assets of the trust:
Other
(Provide detailed and specific information)
money manager
Relationship to Grantor,Trustee/Executor
Grantor's brother
Grantor's friend
Form of Govt Issued ID
Obtained

Passport # / DL #
Tax ID
Other
Other
Jeffrey Epstein earned his wealth managing money for
wealthy individuals
Transaction Profile/Expected Account Activity
What is the purpose/intended use of this
account?
What is the expected source of account
funding ?
Approximate $ amount to fund the account
:
checking account
Wire
$10,000
Please select each "Product Type" that applies. Within each product type,
please check ALL transaction types that apply
and provide a description of expected account activity. (document expected
sources of inflows and destinations of
transfers,countries, and types of payors/payees.Include where is the money
coming from - example : A specific bank or
firm and purpose of specific transactions, if known)
Product Type - Select all that apply
Transaction Types - Select all that apply and expected
activity level (L = 1-5, M = 6-12, H =>12 transactions
per month)
Deposit/Current Accounts
Cash (withdrawals/deposits) -
Anticipated $
Amount Totals per
month – Please
provide at least an
estimate

(Checking, Savings, Money Market)
Check deposits / Check paid -
Wire Incoming & Outgoing
Internal Transfers
Other
Please describe in detail expected account activity for this product :
Investment Products
(Custody,Brokerage,Agency,Margin)
-
-
-
Account will make
payments of life
insurance premiums.
Below $100000
Below $1MM
Fiduciary
(Investment Mgmt. / Trust)
Credit type facilities
(Line of Credit, Mortgage, Letter of Credit)
Non-JPM Trustee(s)/Executor(s) Checks
Date
Background Check
(Required If Check Carried
Out)
12/02/2008
Comments
(Required If Check Carried Out)
Darren Indyke is a long-time employee of Jeffrey Epstein.
Due diligence was completed for him under Darren K Indyke
PLLC.
Grantor Checks
If A Background Check Has Been Carried Out,
Then Both The Date Of The Check And Comments From The Findings Of That Check
Are Required.
At least one Background Check needs to be performed.
At least one Background Check needs to be performed OUTSIDE THE MORGAN
NETWORK (Third Party Validation)
Background Check
Date
(Required If Check Carried
Out)
12/02/2008
Comments
Jeffrey Epstein is a PB client of over 10 years.

Required Security Services Checks
Security Search Type:
Note for Security Services:
Please list any specific search
requests/instructions to Security
Services here
Security Services Information
A Security Services Check is REQUIRED for New Clients and Prospects
This section to be completed by the Security Services Group:
List Individuals and Corporate Entities Investigated:
Attachment:
Please Note: All research is subject to the availability of electronic
database resources.
Full
Trust domicile is U.S. Virgin Islands
Search Type
Is
Security Service Details:
Further
Review
by
Banker
Require
d?
Company Information
Corporate Records
Other Database Searches
(RDC/CDC/MIS)
Internal Database Search
(CSSS/FPS)
State Court Searches
No
No
No
No
No
Federal Court Searches No
Personal Particulars
Publications
Regulatory Sanctions
No
No
No
OFAC/Control List Search No
No records were found.
No additional research necessary.
No records were found.
Information confirmed; details included in the attachment.
No additional research necessary.
No additional research necessary.
No additional research necessary.

Publications revealed nothing derogatory.
No records were found.
No records were found.
Has this been identified as a Red Dot DDR? :
Banker
Response:
Expense No.:
99/31167
Total Costs:
Signed by:
Dated:
Shakeema X Kimble
12/01/2008 03:12:24 PM
Summary
Summary Of Findings:
Jeffrey Epstein is a PB client of over 10 years. This account is for a life insurance trust.

Mr. Epstein was convicted of a felony charge and is currently serving an 18 month prison
sentence. Jes Staley conferred with Stephen Cutler and the decision was made to keep Mr.
Epstein as a client.
Anticipated Product(s)
Deposits
Client Hierarchy Assignments
GRAY SHADED AREA CONTAINS SPN/CAS ID ASSIGNMENT INFORMATION
Banker SID
N256838
Banker BAC:
FNEN:
Does the DDR have Multiple
Entities?
8634--CHBG
01
No
Client Hierarchy and Additional Entity Assignment:
Name
THE 2007 JEFFREY E EPSTEIN INSURANCE TRUST #2 -
NOVEMBER 1, 2007
Linked to DM Name
JEFFREY E EPSTEIN
Date of Birth
.
.
Tax ID #
Existing
CAS Id
266387203
SPN No
9018595
Contact Information for each entity named within the Client Hierarchy Table:
Associated Entity
Goverment issued
I.D Obtained
THE 2007 JEFFREY E EPSTEIN
INSURANCE TRUST #2 -
NOVEMBER 1, 2007
Do any of the above entities require a joint SPN?
Select Yes, if multiple entities will share the same
account (OMNI, CASII or Olympic)
Does the DDR have Multiple Owners?
No
This area is used to document individuals who DO NOT require a new CAS or SPN, but have authority over the entity or
entities for which this DDR was created. Individuals documented within the above client hierarchy area do not need to be
repeated in this section
Name

Goverment issued
I.D Obtained
Tax ID #
Date of Birth
No
Tax ID #
266387203
.
.
Linking to
DM Id
9710670
708
CAS ID
0220819398
Citizenship
Attention
Address Line 1
State
Citizenship
Zip
Address Line 2
Province
City
Country
Approval Information
Public/High Profile Figure
No
High Risk Jurisdiction
High Risk Business
Is this a Foreign Bank?
No
No
No

"Foreign Bank" as defined in the USA
PATRIOT Act.
Banker:
LE/OU:
Senior Manager:
Paul V Morris/JPMCHASE
102/29338
Marcus Sheridan/JPMCHASE
Annual / Periodic Review Information
Annual/Periodic Review
Due in:
36 months
Periodic Review Comments :
Temporary Waiver Information
Waiver Status:
Reason for Waiver:
Waiver Requested By:
Date of Waiver Request:
Approver for Waiver:
Waiver Approved On:
DDR Complete
Security Services is still performing the client background check.
Lucy Baglivo
11/17/2008
Elizabeth X Hogan
11/17/2008
Banker Approval Information
Sponsorship affirmation -
Based on my due diligence and the information provided , I approve and
accept sponsorship of The 2007 Jeffrey E
Epstein Insurance Trust #2 - November 1, 2007 ~ as a Client from inception
and throughout the client relationship.
Mary C Casey 12/02/2008 02:12:45 PM
Senior Manager Approval Information
Based on the information provided and the approval granted by Mary C Casey,
I approve the acceptance of The 2007 Jeffrey
E Epstein Insurance Trust #2 - November 1, 2007 ~ as a Client.
Marcus Sheridan 12/02/2008 03:21:57 PM
Quality Reviewer Information
1st Quality Review Comment:
2nd Quality Review Comment:
3rd Quality Review Comment:
4th Quality Review Comment:
5th Quality Review Comment:
Admin Comments
Admin Comments:
By:
Date:
Admin Comments History :
Document History
Created: 11/17/2008

Last Modified: 11/27/2009
Removed HR flag. Will remain on Sonar alerts only. Jeffrey Epstein DDR already flagged
as HR.
Bonnie K Perry/JPMCHASE
11/27/2009 09:21:21 AM
Bonnie K Perry on 12/4/2008 10:29:24 AM Comments : Ok to approve.
By: Lucy Baglivo
By: Bonnie K Perry

Submitted: 12/02/2008 03:21:57 PM
By: Marcus Sheridan
Audit History
11. Input/Update High Profile figure set to 'No' performed by: Bonnie K Perry on: 11/27/2009 09:21:00 AM
10. 'Area Head Approval' performed by: Catherine Keating on: 12/11/2008 01:35:47 PM
9. Quality Review performed by: Bonnie K Perry on: 12/04/2008 10:29:29 AM
8. 'Senior Manager Approval' performed by: Marcus Sheridan on: 12/02/2008 03:21:56 PM
7. 'Banker Approval' performed by: Mary C Casey on: 12/02/2008 02:12:46 PM
6. Submitted for Banker Approval SS Type = Full performed by: Shakeema X Kimble on: 12/01/2008 03:12:25 PM
5. Button: 'Flag: Security Check has begun' performed by: Desmia X Dale on: 11/17/2008 04:42:44 PM
4. Button: 'Approve Waiver' performed by: Elizabeth X Hogan on: 11/17/2008 04:40:16 PM
3. Button: 'Print' performed by: Desmia X Dale on: 11/17/2008 04:33:05 PM
2. Button :'Request Waiver' performed by: Lucy Baglivo on: 11/17/2008 04:32:29 PM
1. Submitted for Security Services Approval performed by: Lucy Baglivo on: 11/17/2008 04:31:47 PM
Converted Tracking Document History:
CN=Ujwala Srinath/O=JPMCHASE: 9790208B.doc