

Trade Date	Settlement Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
10/20	10/25	Sale	AMR CORP CV 6 1/4% OCT 15 2014 DTD 09/28/2009 @ 108.25 JP MORGAN SECURITIES LLC (BIDL) TRADE DATE 10/20/10	(1,000,000.000)	108.30	1,082,500.00	(1,001,250.00)	81,250.00 S
10/25	10/28	Sale	SHANGPHARMA CORPORATION A/D/R @ 13.59999 33,999.98 BROKERAGE 125.00 TAX &/OR SEC .58 J.P. MORGAN SECURITIES LLC TRADE DATE 10/25/10	(2,500.000)	13.55	33,874.40	(37,500.00)	(3,625.60) S
Total Settled Sales/Maturities/Redemptions						\$1,962,113.81	(\$1,878,010.00)	\$84,103.81 S

Trade Date	Settlement Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased						
9/27	10/1	Purchase	AMYRIS INC @ 16.00 J.P. MORGAN SECURITIES LLC TRADE DATE 09/27/10	2,500.000	16.00	(40,000.00) *
9/27	10/1	Purchase	VERISK ANALYTICS INC CLASS A @ 27.25 J.P. MORGAN SECURITIES LLC TRADE DATE 09/27/10	6,000.000	27.25	(163,500.00) *