



FINANCIAL TRUST COMPANY INC ACCT. [REDACTED]
For the Period 9/1/12 to 9/30/12

| Trade Date | Type | Description | Quantity | Per Unit Amount | Proceeds | Tax Cost | Realized Gain/Loss |
|---|-------------------|---|-----------------|-----------------|--------------|----------------|--------------------|
| Settle Date | Selection Method | | | | | | |
| Settled Sales/Maturities/Redemptions | | | | | | | |
| 9/25 | Principal Payment | MORTGAGEIT TRUST 2005-2 CL 1M1 VAR RT | (15,829.800) | 100.00 | 15,829.80 | (11,080.86) | 4,748.94 S |
| 9/25 | Pro Rata | 05/25/2035 DTD 04/27/2005 PAYMENT A/C PRINCIPAL (ID: 61915R-AD-8) | | | | | |
| 9/20 | Sale | APPROACH RESOURCES INC @ 29.28507 292,850.70 | (10,000.000) | 29.244 | 292,444.14 | (305,000.00) | (12,555.86) S |
| 9/25 | FIFO | BROKERAGE 400.00 TAX &/OR SEC 6.56 J.P. MORGAN SECURITIES LLC (ID: 03834A-10-3) | | | | | |
| 9/20 | Sale | TRULIA INC @ 23.85125 19,081.00 BROKERAGE | (800.000) | 23.726 | 18,980.57 | (13,600.00) | 5,380.57 S |
| 9/25 | FIFO | 100.00 TAX &/OR SEC .43 J.P. MORGAN SECURITIES LLC (ID: 897888-10-3) | | | | | |
| 9/24 | Sale | GS CONT BUFF EQ SPX 7/9/13 80% CONTIN BARRIER- | (3,000,000.000) | 105.40 | 3,162,000.00 | (3,000,000.00) | 162,000.00 S |
| 9/27 | FIFO | 10.5%CPN 15% CAP INITIAL LEVEL-6/20/12 SPX:1355.69 @ 105.40 JP MORGAN SECURITIES LLC (BIDL) (ID: 38143U-Z9-5) | | | | | |
| 9/24 | Sale | HSBC MARKET PLUS SPX 05/17/13 72% KO BARRIER- | (5,000,000.000) | 106.30 | 5,315,000.00 | (5,000,000.00) | 315,000.00 S |
| 9/27 | FIFO | 0%CPN ,UNCAPPED INITIAL LEVEL-02/14/12 SPX:1350.50 @ 106.30 JP MORGAN SECURITIES LLC (BIDL) (ID: 4042K1-XQ-1) | | | | | |
| 9/25 | Sale | APOLLO RESIDENTIAL MTG INC PFD 8% @ 25.07853 | (15,000.000) | 25.038 | 375,569.52 | (375,000.00) | 569.52 S |
| 9/28 | FIFO | 376,177.95 BROKERAGE 600.00 TAX &/OR SEC 8.43 J.P. MORGAN SECURITIES LLC (ID: 03763V-20-1) | | | | | |
| 9/25 | Sale | APOLLO RESIDENTIAL MTG INC PFD 8% @ 25.08 | (10,000.000) | 25.039 | 250,394.38 | (250,000.00) | 394.38 S |
| 9/28 | FIFO | 250,800.00 BROKERAGE 400.00 TAX &/OR SEC 5.62 J.P. MORGAN SECURITIES LLC (ID: 03763V-20-1) | | | | | |
| 9/25 | Sale | BANK OF NEW YORK MELLON PFD 5.2% @ 24.76309 | (51,000.000) | 24.723 | 1,260,849.30 | (1,275,000.00) | (14,150.70) S |
| 9/28 | FIFO | 1,262,917.59 BROKERAGE 2,040.00 TAX &/OR SEC 28.29 J.P. MORGAN SECURITIES LLC (ID: 064058-20-9) | | | | | |

J.P.Morgan