



FINANCIAL TRUST COMPANY INC ACCT. W23560001
For the Period 9/1/12 to 9/30/12

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
9/7	Sale	JPMORGAN CHASE & CO PFD 5.500% @ 24.96062	(40,000.000)	24.92	996,802.43	(1,000,000.00)	(3,197.57) S
9/12	FIFO	998,424.80 BROKERAGE 1,600.00 TAX &/OR SEC 22.37 J.P. MORGAN SECURITIES LLC (ID: 48126E-75-0)					
9/7	Sale	VOCERA COMMUNICATIONS INC @ 29.47309 81,051.00	(2,750.000)	29.432	80,939.18	(79,062.50)	1,876.68 S
9/12	FIFO	BROKERAGE 110.00 TAX &/OR SEC 1.82 J.P. MORGAN SECURITIES LLC (ID: 92857F-10-7)					
9/11	Sale	AMERICAN INTERNATIONAL GROUP INC NEW @ 32.94939	(21,000.000)	32.909	691,081.69	(682,500.00)	8,581.69 S
9/14	FIFO	691,937.19 BROKERAGE 840.00 TAX &/OR SEC 15.50 J.P. MORGAN SECURITIES LLC (ID: 026874-78-4)					
9/11	Sale	EL PASO PIPELINE PARTNERS LP @ 34.29 68,580.00	(2,000.000)	34.239	68,478.46	(68,680.00)	(201.54) S
9/14	FIFO	BROKERAGE 100.00 TAX &/OR SEC 1.54 J.P. MORGAN SECURITIES LLC (ID: 283702-10-8)					
9/11	Sale	FIFTH STREET FINANCE CORP @ 10.719 75,033.00	(7,000.000)	10.679	74,751.31	(75,530.00)	(778.69) S
9/14	FIFO	BROKERAGE 280.00 TAX &/OR SEC 1.69 J.P. MORGAN SECURITIES LLC (ID: 31678A-10-3)					
9/17	Redemption	CIT GROUP INC 144A 7% MAY 02 2016 JUN 15 2011	(1,123,607.750)	100.00	1,123,607.80	(1,061,809.32)	37,267.59 L
9/17	Pro Rata	ENTIRE ISSUE CALLED @ 100.00 (ID: 125581-FZ-6)					24,530.89 O
9/12	Sale	EXACTTARGET INC @ 23.27393 46,547.86 BROKERAGE	(2,000.000)	23.223	46,446.81	(45,000.00)	1,446.81 S
9/17	FIFO	100.00 TAX &/OR SEC 1.05 J.P. MORGAN SECURITIES LLC (ID: 30064K-10-5)					
9/12	Sale	VANGUARD NATURAL RESOURCES LLC @ 27.44	(2,500.000)	27.399	68,498.46	(68,775.00)	(276.54) S
9/18	FIFO	68,600.00 BROKERAGE 100.00 TAX &/OR SEC 1.54 J.P. MORGAN SECURITIES LLC (ID: 92205F-10-6)					
9/14	Sale	CAPITAL ONE FINANCIAL CO PFD 6% @ 24.93331	(40,000.000)	24.893	995,710.05	(1,000,000.00)	(4,289.95) S
9/19	FIFO	997,332.40 BROKERAGE 1,600.00 TAX &/OR SEC 22.35 J.P. MORGAN SECURITIES LLC (ID: 14040H-40-2)					

J.P.Morgan