



FINANCIAL TRUST COMPANY INC ACCT. W23560001
For the Period 9/1/12 to 9/30/12

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
9/25	Principal Payment	MORTGAGEIT TRUST 2005-2 CL 1M1 VAR RT	(15,829.800)	100.00	15,829.80	(11,080.86)	4,748.94 S
9/25	Pro Rata	05/25/2035 DTD 04/27/2005 PAYMENT A/C PRINCIPAL (ID: 61915R-AD-8)					
9/20	Sale	APPROACH RESOURCES INC @ 29.28507 292,850.70	(10,000.000)	29.244	292,444.14	(305,000.00)	(12,555.86) S
9/25	FIFO	BROKERAGE 400.00 TAX &/OR SEC 6.56 J.P. MORGAN SECURITIES LLC (ID: 03834A-10-3)					
9/20	Sale	TRULIA INC @ 23.85125 19,081.00 BROKERAGE	(800.000)	23.726	18,980.57	(13,600.00)	5,380.57 S
9/25	FIFO	100.00 TAX &/OR SEC .43 J.P. MORGAN SECURITIES LLC (ID: 897888-10-3)					
9/24	Sale	GS CONT BUFF EQ SPX 7/9/13 80% CONTIN BARRIER-	(3,000,000.000)	105.40	3,162,000.00	(3,000,000.00)	162,000.00 S
9/27	FIFO	10.5%CPN 15% CAP INITIAL LEVEL-6/20/12 SPX:1355.69 @ 105.40 JP MORGAN SECURITIES LLC (BIDL) (ID: 38143U-Z9-5)					
9/24	Sale	HSBC MARKET PLUS SPX 05/17/13 72% KO BARRIER-	(5,000,000.000)	106.30	5,315,000.00	(5,000,000.00)	315,000.00 S
9/27	FIFO	0%CPN ,UNCAPPED INITIAL LEVEL-02/14/12 SPX:1350.50 @ 106.30 JP MORGAN SECURITIES LLC (BIDL) (ID: 4042K1-XQ-1)					
9/25	Sale	APOLLO RESIDENTIAL MTG INC PFD 8% @ 25.07853	(15,000.000)	25.038	375,569.52	(375,000.00)	569.52 S
9/28	FIFO	376,177.95 BROKERAGE 600.00 TAX &/OR SEC 8.43 J.P. MORGAN SECURITIES LLC (ID: 03763V-20-1)					
9/25	Sale	APOLLO RESIDENTIAL MTG INC PFD 8% @ 25.08	(10,000.000)	25.039	250,394.38	(250,000.00)	394.38 S
9/28	FIFO	250,800.00 BROKERAGE 400.00 TAX &/OR SEC 5.62 J.P. MORGAN SECURITIES LLC (ID: 03763V-20-1)					
9/25	Sale	BANK OF NEW YORK MELLON PFD 5.2% @ 24.76309	(51,000.000)	24.723	1,260,849.30	(1,275,000.00)	(14,150.70) S
9/28	FIFO	1,262,917.59 BROKERAGE 2,040.00 TAX &/OR SEC 28.29 J.P. MORGAN SECURITIES LLC (ID: 064058-20-9)					

J.P.Morgan