



TRADE ACTIVITY

Note: **L** indicates Long Term Realized Gain/Loss **S** indicates Short Term Realized Gain/Loss
O indicates Ordinary Income Realized Gain
 * Settled transaction was initiated in prior statement period and settled in current statement period
 ** Realized Gain/Loss and tax cost are shown as "N/A" in cases where we do not have appropriate cost information for all tax lots comprising the sale. Please contact your J.P. Morgan team for additional information.

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
9/25	Sale	PENNPARK INVESTMENT CORP @ 10.77 32,310.00	(3,000.000)	10.73	32,189.27	(32,460.00)	(270.73) S*
10/1	FIFO	BROKERAGE 120.00 TAX &/OR SEC .73 J.P. MORGAN SECURITIES LLC (ID: 708062-10-4)					
9/26	Sale	GRUPO FIN SANTANDER-ADR B @ 12.65 50,600.00	(4,000.000)	12.61	50,438.86	(48,739.60)	1,699.26 S*
10/1	FIFO	BROKERAGE 160.00 TAX &/OR SEC 1.14 J.P. MORGAN SECURITIES LLC (ID: 40053C-10-5)					
9/28	Sale	CASELLA WASTE SYSTEMS INC CL A @ 4.27793	(8,000.000)	4.238	33,902.67	(32,000.00)	1,902.67 S*
10/3	FIFO	34,223.44 BROKERAGE 320.00 TAX &/OR SEC .77 J.P. MORGAN SECURITIES LLC (ID: 147448-10-4)					
9/28	Sale	QUALYS INC @ 12.05 12,050.00	(1,000.000)	11.95	11,949.73	(12,000.00)	(50.27) S*
10/3	FIFO	BROKERAGE 100.00 TAX &/OR SEC .27 J.P. MORGAN SECURITIES LLC (ID: 74758T-30-3)					
9/28	Sale	WESTERN ASSET MORTGAGE CAPIT @ 22.33 78,155.00	(3,500.000)	22.289	78,013.24	(77,700.00)	313.24 S*
10/4	FIFO	BROKERAGE 140.00 TAX &/OR SEC 1.76 J.P. MORGAN SECURITIES LLC (ID: 95790D-10-5)					
10/3	Sale	APOLLO COMMERCIAL REAL ESTATE FINANCE INC @	(4,500.000)	16.822	75,698.29	(76,410.00)	(711.71) S
10/9	FIFO	16.86222 75,879.99 BROKERAGE 180.00 TAX &/OR SEC 1.70 J.P. MORGAN SECURITIES LLC (ID: 03762U-10-5)					
10/3	Sale	RIGEL PHARMACEUTICALS INC @ 9.5185 19,037.00	(2,000.000)	9.468	18,936.57	(19,000.00)	(63.43) S
10/9	FIFO	BROKERAGE 100.00 TAX &/OR SEC .43 J.P. MORGAN SECURITIES LLC (ID: 766559-60-3)					