



FINANCIAL TRUST COMPANY INC ACCT. W23560001
For the Period 10/1/12 to 10/31/12

TRADE ACTIVITY

Note: **L** indicates Long Term Realized Gain/Loss **S** indicates Short Term Realized Gain/Loss
O indicates Ordinary Income Realized Gain
 * Settled transaction was initiated in prior statement period and settled in current statement period
 ** Realized Gain/Loss and tax cost are shown as "N/A" in cases where we do not have appropriate cost information for all tax lots comprising the sale. Please contact your J.P. Morgan team for additional information.

Trade Date	Type			Per Unit			Realized
Settle Date	Selection Method	Description	Quantity	Amount	Proceeds	Tax Cost	Gain/Loss
Settled Sales/Maturities/Redemptions							
9/25	Sale	PENNA P ARK INVESTMENT CORP @ 10.77 32,310.00	(3,000.000)	10.73	32,189.27	(32,460.00)	(270.73) S*
10/1	FIFO	BROKERAGE 120.00 TAX &/OR SEC .73 J.P. MORGAN SECURITIES LLC (ID: 708062-10-4)					
9/26	Sale	GRUPO FIN SANTANDER-ADR B @ 12.65 50,600.00	(4,000.000)	12.61	50,438.86	(48,739.60)	1,699.26 S*
10/1	FIFO	BROKERAGE 160.00 TAX &/OR SEC 1.14 J.P. MORGAN SECURITIES LLC (ID: 40053C-10-5)					
9/28	Sale	CASELLA WASTE SYSTEMS INC CL A @ 4.27793	(8,000.000)	4.238	33,902.67	(32,000.00)	1,902.67 S*
10/3	FIFO	34,223.44 BROKERAGE 320.00 TAX &/OR SEC .77 J.P. MORGAN SECURITIES LLC (ID: 147448-10-4)					
9/28	Sale	QUALYS INC @ 12.05 12,050.00 BROKERAGE 100.00	(1,000.000)	11.95	11,949.73	(12,000.00)	(50.27) S*
10/3	FIFO	TAX &/OR SEC .27 J.P. MORGAN SECURITIES LLC (ID: 74758T-30-3)					
9/28	Sale	WESTERN ASSET MORTGAGE CAPIT @ 22.33 78,155.00	(3,500.000)	22.289	78,013.24	(77,700.00)	313.24 S*
10/4	FIFO	BROKERAGE 140.00 TAX &/OR SEC 1.76 J.P. MORGAN SECURITIES LLC (ID: 95790D-10-5)					
10/3	Sale	APOLLO COMMERCIAL REAL ESTATE FINANCE INC @	(4,500.000)	16.822	75,698.29	(76,410.00)	(711.71) S
10/9	FIFO	16.86222 75,879.99 BROKERAGE 180.00 TAX &/OR SEC 1.70 J.P. MORGAN SECURITIES LLC (ID: 03762U-10-5)					
10/3	Sale	RIGEL PHARMACEUTICALS INC @ 9.5185 19,037.00	(2,000.000)	9.468	18,936.57	(19,000.00)	(63.43) S
10/9	FIFO	BROKERAGE 100.00 TAX &/OR SEC .43 J.P. MORGAN SECURITIES LLC (ID: 766559-60-3)					

