



FINANCIAL TRUST COMPANY INC ACCT. [REDACTED]  
For the Period 11/1/12 to 11/30/12

| Trade Date<br>Settle Date           | Type     | Description   | Quantity    | Per Unit<br>Amount | Market Cost  |
|-------------------------------------|----------|---|-------------|--------------------|--------------|
| <b>Settled Securities Purchased</b> |          |   |             |                    |              |
| 11/5<br>11/29                       | Purchase | GLOBAL MORTGAGE SECURITIZATION LTD 2004-A CL B3<br>5.250% 11/25/2032 DTD 11/01/2004 @ 80.50 JP<br>MORGAN SECURITIES LLC (BIDL) FACE VALUE<br>1,750,000.00 (ID: 378961-AH-9) | 724,969.830 | 80.50              | (583,600.71) |

**Total Settled Securities Purchased** **(\$6,934,321.10)**

| Trade Date<br>Est. Settle Date                | Type<br>Selection Method | Description  | Quantity        | Per Unit<br>Amount | Proceeds     | Tax Cost       | Realized<br>Gain/Loss |
|---|--------------------------|--|-----------------|--------------------|--------------|----------------|-----------------------|
| <b>Pending Sales, Maturities, Redemptions</b> |                          |  |                 |                    |              |                |                       |
| 11/29<br>12/4                                 | Sale                     | EQUITY RESIDENTIAL S/B/I (ID: 29476L-10-7)   | (2,000.000)     | 55.68              | 111,257.50   | (109,500.00)   | 1,757.50 S            |
| 11/29<br>12/4                                 | Sale                     | WELLS FARGO MTGE SEC 2004-EE CL B1 VAR RT<br>12/25/2034 DTD 12/01/2004 (ID: 949779-AD-4) | (2,042,064.189) | 80.00              | 1,633,651.35 | (1,485,601.70) | 148,049.65 S          |

**Total Pending Sales, Maturities, Redemptions** **\$1,744,908.85** **(\$1,595,101.70)** **\$149,807.15 S**

| Trade Date<br>Est. Settle Date      | Type     | Description                                 | Quantity  | Per Unit<br>Amount | Market Cost  |
|-------------------------------------|----------|---|-----------|--------------------|--------------|
| <b>Pending Securities Purchased</b> |          |   |           |                    |              |
| 11/28<br>12/4                       | Purchase | EQUITY RESIDENTIAL S/B/I (ID: 29476L-10-7)  | 2,000.000 | 54.75              | (109,500.00) |
| 11/29<br>12/5                       | Purchase | AVALONBAY COMMUNITIES INC (ID: 053484-10-1) | 1,250.000 | 130.00             | (162,500.00) |

**Total Pending Securities Purchased** **(\$272,000.00)**

