



FINANCIAL TRUST COMPANY INC ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Est. Settle Date	Selection Method						
Pending Sales, Maturities, Redemptions							
1/31 2/5	Sale	VANGUARD NATURAL RESOURCES LLC (ID: 92205F-10-6)	(3,500.000)	27.75	96,982.82	(97,475.00)	(492.18) S
1/31 2/5	Sale	KERYX BIOPHARMACEUTICALS INC (ID: 492515-10-1)	(1,500.000)	9.50	14,189.68	(12,735.00)	1,454.68 S
Total Pending Sales, Maturities, Redemptions					\$1,130,414.62	(\$1,130,710.00)	(\$295.38) S

Trade Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Est. Settle Date					
Pending Securities Purchased					
1/29 2/5	Purchase	JPMORGAN CHASE & CO PFD 5.450% (ID: 46637G-12-4)	60,000.000	25.00	(1,500,000.00)
1/29 2/4	Purchase	PIEDMONT NATURAL GAS CO INC (ID: 720186-10-5)	6,500.000	32.00	(208,000.00)
1/31 2/5	Purchase	KERYX BIOPHARMACEUTICALS INC (ID: 492515-10-1)	1,500.000	8.49	(12,735.00)
1/31 2/5	Purchase	VANGUARD NATURAL RESOURCES LLC (ID: 92205F-10-6)	3,500.000	27.85	(97,475.00)
1/31 2/6	Purchase	ZOETIS INC (ID: 98978V-10-3)	25,000.000	26.00	(650,000.00)
Total Pending Securities Purchased					(\$2,468,210.00)

J.P.Morgan

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Confidential Treatment Requested by JPMorgan
Chase
CONFIDENTIAL

JPM-SDNY-00016738

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