



Notes: * Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Settle Date	Type Selection Method	Description	Quantity Cost	Transaction Market Value *
Securities Transferred Out				
3/29	Free Delivery	EARTHLINK INC 8 7/8% MAY 15 2019 DTD 05/17/2011 ASSET TRANSFER TO A/C W91850-0 SOUTHERN FINANCIAL, LLC CLIENT REF 104768082 (ID: 270321-AC-6)	(1,000,000.000) 1,033,500.00	(1,011,080.00)
3/29	Free Delivery	FACEBOOK INC-A ASSET TRANSFER TO A/C W91850-0 SOUTHERN FINANCIAL, LLC CLIENT REF 104768082 (ID: 30303M-10-2)	(17,500.000) 665,000.00	(447,650.00)
3/29	Free Delivery	GMAC CAPITAL TRUST I PFD STK 8 1/8% ASSET TRANSFER TO A/C W91850-0 SOUTHERN FINANCIAL, LLC CLIENT REF 104768082 (ID: 361860-20-8)	(80,000.000) 1,882,773.60	(2,176,000.00)
3/29	Free Delivery	GENERAL ELEC CAP CORP 7.125% DEC 15 2049 DTD 06/12/2012 ASSET TRANSFER TO A/C W91850-0 SOUTHERN FINANCIAL, LLC CLIENT REF 104768082 (ID: 369622-SN-6)	(2,000,000.000) 2,122,916.67	(2,323,820.00)
3/29	Free Delivery	GENERAL MOTORS CO CV PFD B ASSET TRANSFER TO A/C W91850-0 SOUTHERN FINANCIAL, LLC CLIENT REF 104768082 (ID: 37045V-20-9)	(71,000.000) 3,585,969.22	(3,048,740.00)
3/29	Free Delivery	HEADWATERS INC 7.625 04/01/2019 DTD 03/11/2011 ASSET TRANSFER TO A/C W91850-0 SOUTHERN FINANCIAL, LLC CLIENT REF 104768082 (ID: 42210P-AQ-5)	(1,000,000.000) 1,035,000.00	(1,070,000.00)
3/29	Free Delivery	JPMORGAN CHASE & CO 7.9% PFD STK APR 30 2049 DTD 04/23/2008 ASSET TRANSFER TO A/C W91850-0 SOUTHERN FINANCIAL, LLC CLIENT REF 104768082 (ID: 46625H-HA-1)	(5,000,000.000) 5,404,861.12	(5,796,500.00)