



SOUTHERN FINANCIAL, LLC ACCT. [REDACTED]

For the Period 7/1/13 to 7/31/13

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Cost	Realized Gain/Loss
<b>Settled Sales/Maturities/Redemptions</b>							
6/27 7/3	Sale High Cost	HD SUPPLY HOLDINGS INC @ 18.00 252,000.00 BROKERAGE 560.00 TAX &/OR SEC 4.39 J.P. MORGAN SECURITIES LLC (ID: 40416M-10-5)	(14,000.000)	17.96	251,435.61	(252,000.00)	(564.39) S*
7/9 7/12	Sale High Cost	HOME PROPERTIES INC @ 63.50 63,500.00 BROKERAGE 40.00 TAX &/OR SEC 1.11 J.P. MORGAN SECURITIES LLC (ID: 437306-10-3)	(1,000.000)	63.459	63,458.89	(63,000.00)	458.89 S
7/9 7/15	Sale High Cost	AMERIGAS PARTNERS L P UNIT LIMITED PARTNERSHIP INT @ 46.90 58,625.00 BROKERAGE 50.00 TAX &/OR SEC 1.03 J.P. MORGAN SECURITIES LLC (ID: 030975-10-6)	(1,250.000)	46.859	58,573.97	(59,500.00)	(926.03) S
7/10 7/15	Sale High Cost	AMERIGAS PARTNERS L P UNIT LIMITED PARTNERSHIP INT @ 46.90 117,250.00 BROKERAGE 100.00 TAX &/OR SEC 2.05 J.P. MORGAN SECURITIES LLC (ID: 030975-10-6)	(2,500.000)	46.859	117,147.95	(119,000.00)	(1,852.05) S
7/15 7/15	Expired Option High Cost	WTI CALL OPTION USD PUT OPTION STRIKE 111.00 EXPIRING 07/11/2013 100,000 BARRELS EXPIRATION OF PURCHASED OTC CALL (ID: OTCBDC-PA-H)	(100.000)			(86,000.00)	(86,000.00) S
7/15 7/15	Expired Option High Cost	WTI PUT OPTION USD CALL OPTION STRIKE 87.50 EXPIRING 07/11/2013 100,000 BARRELS EXPIRATION OF WRITTEN OTC PUT (ID: OTCBDP-JZ-Y)	100.000			86,000.00	86,000.00 S
7/12 7/17	Sale High Cost	MERRIMACK PHARMACEUTICALS INC @ 5.01 10,020.00 BROKERAGE 80.00 TAX &/OR SEC .18 J.P. MORGAN SECURITIES LLC (ID: 590328-10-0)	(2,000.000)	4.97	9,939.82	(10,000.00)	(60.18) S
7/16 7/19	Sale High Cost	HEALTHCARE REALTY TRUST @ 26.75 53,500.00 BROKERAGE 80.00 TAX &/OR SEC .94 J.P. MORGAN SECURITIES LLC (ID: 421946-10-4)	(2,000.000)	26.71	53,419.06	(52,800.00)	619.06 S
7/16 7/19	Sale High Cost	PENNANTPARK FLOATING RATE CA COM @ 14.00 56,000.00 BROKERAGE 160.00 TAX &/OR SEC .98 J.P. MORGAN SECURITIES LLC (ID: 70806A-10-6)	(4,000.000)	13.96	55,839.02	(56,800.00)	(960.98) S

J.P.Morgan

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Confidential Treatment Requested by JPMorgan  
Chase  
CONFIDENTIAL

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