



SOUTHERN FINANCIAL, LLC ACCT. [REDACTED]
 For the Period 9/1/13 to 9/30/13

	Price	Quantity	Value	Adjusted Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div.	Yield
Concentrated & Other Equity							
LONG TOTAL RETURN SWAP 1,999,999.368942 USD NOTIONAL KOSPTREQ INDEX MAT MAR 20 2014 DEAL 30140386 N/O Client SWPBDR-UH-6	1.00	888,972.000	(29,980.51)	N/A **	N/A		
Unclassified							
P JPM CONT BUFF EQ SX7P 10/16/14 85% CONTIN BARRIER- 0%CPN 25% CAP INITIAL LEVEL-09/24/13 SX7P:186.77 48126N-VE-4	100.00	1,000,000.000	1,000,000.00	1,000,000.00			