



SOUTHERN FINANCIAL, LLC ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

**SECURITIES TRANSFERRED IN/OUT**

Notes: \* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Settle Date	Type Selection Method	Description	Quantity Cost	Transaction Market Value *
<b>Securities Transferred Out</b>				
9/25	Free Delivery	ALLY FINANCIAL INC 8 1/2% PFD ASSET TRANSFER TO A/C W92099-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 02005N-30-8)	(126,600.000) 2,782,242.51	(3,411,870.00)
9/25	Free Delivery	ARIAD PHARMACEUTICALS INC ASSET TRANSFER TO A/C W92099-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 04033A-10-0)	(16,535.000) 290,997.40	(327,558.35)
9/25	Free Delivery	BANK OF AMERICA CORP 8 1/8% PFD STK DEC 29 2049 DTD 04/30/2008 ASSET TRANSFER TO A/C W92099-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 060505-DT-8)	(2,000,000.000) 2,081,180.56	(2,218,860.00)
9/25	Free Delivery	BARCLAYS BANK PLC 7.750% 04/10/2023 DTD 04/10/2013 ASSET TRANSFER TO A/C W92099-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 06739F-HK-0)	(300,000.000) 300,000.00	(310,434.00)
9/25	Free Delivery	BIOGEN IDEC INC ASSET TRANSFER TO A/C W92099-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 09062X-10-3)	(2,095.000) 250,454.95	(510,216.30)
9/25	Free Delivery	DEUTSCHE BK AG LDN BRH DBL LONG ETN38 ASSET TRANSFER TO A/C W92099-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 25154H-55-8)	(50,000.000) 352,529.00	(420,500.00)
9/25	Free Delivery	EARTHLINK INC 8 7/8% MAY 15 2019 DTD 05/17/2011 ASSET TRANSFER TO A/C W92099-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 270321-AC-6)	(1,000,000.000) 1,033,500.00	(956,250.00)

J.P.Morgan