



SOUTHERN FINANCIAL, LLC ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Notes: * Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Settle Date	Type Selection Method	Description	Quantity Cost	Transaction Market Value *
Securities Transferred Out				
9/25	Free Delivery	JPMORGAN CHASE & CO VAR RT 07/24/2013 DTD 07/29/2013 ASSET TRANSFER TO A/C W92099-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 48126H-AA-8)	(750,000.000) 750,000.00	(716,535.00)
9/25	Free Delivery	ROYAL BANK SCOTLAND GROUP PLC ADR NON-CUMULATIVE ASSET TRANSFER TO A/C W92099-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 780097-71-3)	(120,000.000) 2,924,400.00	(2,802,000.00)
9/25	Free Delivery	SANGAMO BIOSCIENCES INC ASSET TRANSFER TO A/C W92099-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 800677-10-6)	(50,000.000) 422,214.00	(518,000.00)
9/25	Free Delivery	SERVICEMASTER COMPANY 8% FEB 15 2020 DTD 02/13/2012 ASSET TRANSFER TO A/C W92099-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 81760N-AN-9)	(2,500,000.000) 2,665,625.00	(2,518,750.00)
9/25	Free Delivery	SOUTHERN CAL EDISON 6 1/4% DEC 31 2049 DTD 01/17/2012 ASSET TRANSFER TO A/C W92099-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 842400-FU-2)	(3,000,000.000) 3,016,649.17	(3,114,450.00)
9/27	Free Delivery	JPM LATIN AMERICA FD - SEL FUND 3815 ASSET TRANSFER TO A/C W92099-0 SOUTHERN FINANCIAL, LLC CLIENT REF 3264036 (ID: 4812A3-47-8)	(49,504.950) 1,000,000.00	(915,841.58)
9/27	Free Delivery	JPM VALUE ADVANTAGE FD - INSTL FUND 1400 ASSET TRANSFER TO A/C W92099-0 SOUTHERN FINANCIAL, LLC CLIENT REF 3264036 (ID: 4812A2-58-7)	(78,709.170) 2,000,000.00	(2,032,270.77)
Total Securities Transferred Out				(\$37,981,777.00)

J.P.Morgan

Account [REDACTED] Page 21 of 34 Consolidated Statement Page 24

Confidential Treatment Requested by JPMorgan
Chase
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