



SOUTHERN FINANCIAL, LLC ACCT. W92099006
For the Period 9/1/13 to 9/30/13

SECURITIES TRANSFERRED IN/OUT

Notes: * Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Settle Date	Type Selection Method	Description	Quantity Cost	Transaction Market Value *
Securities Transferred In				
9/25	Receipt of Assets	ALLY FINANCIAL INC 8 1/2% PFD ASSET TRANSFER FROM A/C W91850-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 02005N-30-8)	126,600.000 2,782,242.51	3,411,870.00
9/25	Receipt of Assets	ARIAD PHARMACEUTICALS INC ASSET TRANSFER FROM A/C W91850-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 04033A-10-0)	16,535.000 290,997.40	327,558.35
9/25	Receipt of Assets	BANK OF AMERICA CORP 8 1/8% PFD STK DEC 29 2049 DTD 04/30/2008 ASSET TRANSFER FROM A/C W91850-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 060505-DT-8)	2,000,000.000 2,081,180.56	2,218,860.00
9/25	Receipt of Assets	BARCLAYS BANK PLC 7.750% 04/10/2023 DTD 04/10/2013 ASSET TRANSFER FROM A/C W91850-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 06739F-HK-0)	300,000.000 300,000.00	310,434.00
9/25	Receipt of Assets	BIOGEN IDEC INC ASSET TRANSFER FROM A/C W91850-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 09062X-10-3)	2,095.000 250,454.95	510,216.30
9/25	Receipt of Assets	DEUTSCHE BK AG LDN BRH DBL LONG ETN38 ASSET TRANSFER FROM A/C W91850-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 25154H-55-8)	50,000.000 352,529.00	420,500.00
9/25	Receipt of Assets	EARTHLINK INC 8 7/8% MAY 15 2019 DTD 05/17/2011 ASSET TRANSFER FROM A/C W91850-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 270321-AC-6)	1,000,000.000 1,033,500.00	956,250.00

J.P.Morgan

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Confidential Treatment Requested by JPMorgan
Chase
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