



SOUTHERN FINANCIAL, LLC ACCT. [REDACTED]
For the Period 9/1/13 to 9/30/13

Notes: * Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

| Settle Date | Type Selection Method | Description | Quantity Cost | Transaction Market Value * |
|--|--------------------------|--|-------------------------------|-------------------------------|
| Securities Transferred In | | | | |
| 9/25 | Receipt of Assets | JPMORGAN CHASE & CO VAR RT 07/24/2013 DTD 07/29/2013 ASSET TRANSFER FROM A/C W91850-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 48126H-AA-8) | 750,000.000 750,000.00 | 716,535.00 |
| 9/25 | Receipt of Assets | ROYAL BANK SCOTLAND GROUP PLC ADR NON-CUMULATIVE ASSET TRANSFER FROM A/C W91850-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 780097-71-3) | 120,000.000 2,924,400.00 | 2,802,000.00 |
| 9/25 | Receipt of Assets | SANGAMO BIOSCIENCES INC ASSET TRANSFER FROM A/C W91850-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 800677-10-6) | 50,000.000 422,214.00 | 518,000.00 |
| 9/25 | Receipt of Assets | SERVICEMASTER COMPANY 8% FEB 15 2020 DTD 02/13/2012 ASSET TRANSFER FROM A/C W91850-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 81760N-AN-9) | 2,500,000.000 2,665,625.00 | 2,518,750.00 |
| 9/25 | Receipt of Assets | SOUTHERN CAL EDISON 6 1/4% DEC 31 2049 DTD 01/17/2012 ASSET TRANSFER FROM A/C W91850-0 SOUTHERN FINANCIAL, LLC AS OF 09/25/13 (ID: 842400-FU-2) | 3,000,000.000 3,016,649.17 | 3,114,450.00 |
| 9/27 | Receipt of Assets | JPM LATIN AMERICA FD - SEL FUND 3815 ASSET TRANSFER FROM A/C W91850-0 SOUTHERN FINANCIAL, LLC CLIENT REF 3264036 (ID: 4812A3-47-8) | 49,504.950 1,000,000.00 | 915,841.58 |
| 9/27 | Receipt of Assets | JPM VALUE ADVANTAGE FD - INSTL FUND 1400 ASSET TRANSFER FROM A/C W91850-0 SOUTHERN FINANCIAL, LLC CLIENT REF 3264036 (ID: 4812A2-58-7) | 78,709.170 2,000,000.00 | 2,032,270.77 |
| Total Securities Transferred In | | | | \$37,981,777.00 |

J.P.Morgan