



SOUTHERN FINANCIAL, LLC ACCT. [REDACTED]
For the Period 10/1/13 to 10/31/13

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
10/25	Principal Payment	COUNTRYWIDE HOME LOANS 2003-26 CL B1 5.33684%	(19,463.640)	100.00	19,463.64	(14,987.00)	4,476.64 L
10/25	Pro Rata	08/25/2033 DTD 06/01/2003 PAYMENT A/C PRINCIPAL (ID: 12669E-RR-9)					
10/25	Principal Payment	IMPAC CMB TRUST 2005-2 CL 1M2 VAR RT 04/25/2035	(13,468.350)	100.00	13,468.35	(9,394.17)	4,074.18 S
10/25	Pro Rata	DTD 03/03/2005 PAYMENT A/C PRINCIPAL (ID: 45254N-NC-7)					
10/25	Principal Payment	STRUCTURED ASSET SECURITIES CO 2003-10 CL B2	(36,212.050)	100.00	36,212.05	(36,212.05)	
10/25	Pro Rata	6.000% 04/25/2033 DTD 03/28/2003 PAYMENT A/C PRINCIPAL (ID: 86359A-QW-6)					
Total Settled Sales/Maturities/Redemptions					\$4,516,928.17	(\$4,237,657.60)	\$82,226.64 L \$192,741.85 S \$4,302.08 O

Trade Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settle Date					
Settled Securities Purchased					
9/24	Purchase	JPM CONT BUFF EQ SX7P 10/16/14 85% CONTIN	1,000,000.000	100.00	(1,000,000.00) *
10/1		BARRIER- 0%CPN 25% CAP INITIAL LEVEL-09/24/13 SX7P:186.77 @ 100.00 JP MORGAN SECURITIES LLC (BIDL) (ID: 48126N-VE-4)			
9/27	Purchase	ENDURO ROYALTY TRUST @ 13.85 J.P. MORGAN	5,000.000	13.85	(69,250.00) *
10/2		SECURITIES LLC (ID: 29269K-10-0)			
9/27	Purchase	VIOLIN MEMORY INC @ 9.00 J.P. MORGAN SECURITIES	500.000	9.00	(4,500.00) *
10/2		LLC (ID: 92763A-10-1)			
9/27	Purchase	UIL HOLDINGS CORP @ 37.25 J.P. MORGAN	2,500.000	37.25	(93,125.00) *
10/2		SECURITIES LLC (ID: 902748-10-2)			

J.P.Morgan