



SOUTHERN FINANCIAL, LLC ACCT. [REDACTED]
For the Period 10/1/13 to 10/31/13

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
9/27 10/2	Purchase	RINGCENTRAL INC-CLASS A @ 13.00 J.P. MORGAN SECURITIES LLC (ID: 76680R-20-6)	500.000	13.00	(6,500.00) *
10/2 10/7	Purchase	SEQUOIA MORTGAGE TRUST 2004-7 CL B1 VAR RT 08/20/2034 DTD 07/29/2004 @ 67.00 JP MORGAN SECURITIES LLC (BIDL) FACE VALUE 8,900,000.00 (ID: 81744F-DB-0)	2,931,416.407	67.00	(1,964,049.00)
10/16 10/22	Purchase	ENTRY REVERSED ON 10/29/2013 GLOBAL MORTGAGE SECURITIZATION 2005-A CL B2 5.250% 04/25/2032 DTD 04/28/2005 @ 92.00 JP MORGAN SECURITIES LLC (BIDL) FACE VALUE 8,250,000.00 (ID: 378961-AV-8)	3,180,028.665	92.00	(2,925,626.38)
10/16 10/29	Purchase	TO REVERSE ENTRY OF 10/22/2013 GLOBAL MORTGAGE SECURITIZATION 2005-A CL B2 5.250% 04/25/2032 DTD 04/28/2005 @ 92.00 JP MORGAN SECURITIES LLC (BIDL) FACE VALUE 8,250,000.00 AS OF 10/22/13 (ID: 378961-AV-8)	(3,180,028.665)	92.00	2,925,626.38
10/16 10/29	Purchase	GLOBAL MORTGAGE SECURITIZATION 2005-A CL B2 5.250% 04/25/2032 DTD 04/28/2005 @ 92.00 JP MORGAN SECURITIES LLC (BIDL) FACE VALUE 8,250,000.00 (ID: 378961-AV-8)	3,127,105.920	92.00	(2,876,937.44)
Total Settled Securities Purchased					(\$6,014,361.44)

J.P.Morgan