



SOUTHERN FINANCIAL, LLC ACCT. [REDACTED]
For the Period 10/1/13 to 10/31/13

Notes: * Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Settle Date	Type Selection Method	Description	Quantity Cost	Transaction Market Value *
Securities Transferred Out				
10/11	Free Delivery	JP MORGAN CHASE & CO PERSHING & COMPANY TRADE DATE 10/11/13 CLIENT REF 105664157 (ID: 46625H-10-0)	(21,753.000) 0.00	(1,142,467.56)
10/11	Free Delivery	JPMORGAN CHASE & CO VAR RT PFD STK 04/30/2049 DTD 04/23/2008 PERSHING & COMPANY TRADE DATE 10/11/13 CLIENT REF 105664157 (ID: 46625H-HA-1)	(5,000,000.000) 5,498,006.95	(5,481,000.00)
10/11	Free Delivery	JPMORGAN CHASE & CO VAR RT 07/24/2013 DTD 07/29/2013 PERSHING & COMPANY TRADE DATE 10/11/13 CLIENT REF 105664157 (ID: 48126H-AA-8)	(750,000.000) 750,000.00	(704,947.50)
10/11	Free Delivery	ROYAL BANK SCOTLAND GROUP PLC ADR NON-CUMULATIVE PERSHING & COMPANY TRADE DATE 10/11/13 CLIENT REF 105664157 (ID: 780097-71-3)	(120,000.000) 2,924,400.00	(2,818,800.00)
10/11	Free Delivery	SANGAMO BIOSCIENCES INC PERSHING & COMPANY TRADE DATE 10/11/13 CLIENT REF 105664157 (ID: 800677-10-6)	(50,000.000) 422,214.00	(561,500.00)
10/11	Free Delivery	SERVICEMASTER COMPANY 8% FEB 15 2020 DTD 02/13/2012 PERSHING & COMPANY TRADE DATE 10/11/13 CLIENT REF 105664157 (ID: 81760N-AN-9)	(2,500,000.000) 2,665,625.00	(2,468,750.00)
10/11	Free Delivery	SOUTHERN CAL EDISON 6 1/4% DEC 31 2049 DTD 01/17/2012 PERSHING & COMPANY TRADE DATE 10/11/13 CLIENT REF 105664157 (ID: 842400-FU-2)	(3,000,000.000) 3,016,649.17	(3,103,500.00)
10/18	Free Delivery	JPM TR I VALUE ADVANTAGE FD - INSTL FUND 1400 PERSHING & COMPANY TRADE DATE 10/18/13 CLIENT REF 105664157 (ID: 4812A2-58-7)	(78,709.170) 2,000,000.00	(2,083,431.73)

J.P.Morgan