



SOUTHERN FINANCIAL, LLC ACCT [REDACTED]
For the Period 11/1/13 to 11/30/13

Settle Date	Type Selection Method	Description	Quantity Cost	Amount
Withdrawals				
11/26	Misc Disbursement	TRANSFERRED BY WIRE TO PERSHING LLC FAO SOUTHERN FINANCIAL LLC AS REQUESTED		(5,992,414.75)

TRADE ACTIVITY

Note: **L** indicates Long Term Realized Gain/Loss **S** indicates Short Term Realized Gain/Loss
* Settled transaction was initiated in prior statement period and settled in current statement period

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
11/1 11/6	Sale High Cost	COUNTRYWIDE HOME LOANS 2003-26 CL B1 5.33684% 08/25/2033 DTD 06/01/2003 @ 80.00 JP MORGAN SECURITIES LLC (BIDL) FACE VALUE 3,325,000.00 (ID: 12669E-RR-9)	(1,310,426.807)	80.00	1,048,341.46	(1,009,028.64)	39,312.82 L
5/23 11/18	Sale High Cost	TO REVERSE ENTRY OF 08/15/2013 ZIONS BANCORPORATION VAR RT 12/31/2049 DTD 05/21/2013 @ 100.875 1,513,125.00 BROKERAGE 3,750.00 JP MORGAN SECURITIES LLC (BIDL) AS OF 08/15/13 (ID: 989701-BD-8)	1,500,000.000	100.625	(1,509,375.00)	1,500,000.00	(9,375.00) S*
5/23 11/18	Sale High Cost	ZIONS BANCORPORATION VAR RT 12/31/2049 DTD 05/21/2013 @ 101.125 1,516,875.00 BROKERAGE 3,750.00 JP MORGAN SECURITIES LLC (BIDL) (ID: 989701-BD-8)	(1,500,000.000)	100.875	1,513,125.00	(1,500,000.00)	13,125.00 S*
11/20 11/20	Principal Payment Pro Rata	SEQUOIA MORTGAGE TRUST 11 CL B1 VAR RT 12/20/2032 DTD 10/30/2002 PAYMENT A/C PRINCIPAL (ID: 81744A-AB-4)	(4,397.390)	100.00	4,397.39	(3,243.08)	1,154.31 S

J.P.Morgan