



SOUTHERN FINANCIAL, LLC ACCT. [REDACTED]
For the Period 3/1/13 to 3/31/13

SECURITIES TRANSFERRED IN/OUT

Notes: * Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Settle Date	Type Selection Method	Description	Quantity Cost	Transaction Market Value *
Securities Transferred In				
3/29	Receipt of Assets	JPM PRIME MM FD - INSTL FUND 829 ASSET TRANSFER FROM A/C Q78805-0 FINANCIAL TRUST COMPANY, INC. CLIENT REF 3195513 (ID: [REDACTED])	9,619.200 9,619.20	9,619.20
3/29	Receipt of Assets	APOLLO GLOBAL MANAGEMENT LLC ASSET TRANSFER FROM A/C Q30171-0 FINANCIAL TRUST COMPANY INC CLIENT REF 104768400 (ID: [REDACTED])	263,157.000 4,999,983.00	5,694,717.48
3/29	Receipt of Assets	ASCENA RETAIL GROUP INC COM ASSET TRANSFER FROM A/C Q30171-0 FINANCIAL TRUST COMPANY INC CLIENT REF 104768400 (ID: [REDACTED])	70,500.000 0.00	1,307,775.00
3/29	Receipt of Assets	BOMBARDIER INC CL B ASSET TRANSFER FROM A/C Q30171-0 FINANCIAL TRUST COMPANY INC CLIENT REF 104768400 (ID: [REDACTED])	20,000.000 0.00	79,334.60
3/29	Receipt of Assets	JP MORGAN CHASE & CO ASSET TRANSFER FROM A/C Q30171-0 FINANCIAL TRUST COMPANY INC CLIENT REF 104768400 (ID: [REDACTED])	21,753.000 0.00	1,032,397.38
3/29	Receipt of Assets	JPM PRIME MM FD - INSTL FUND 829 ASSET TRANSFER FROM A/C Q30171-0 FINANCIAL TRUST COMPANY INC CLIENT REF 104768400 (ID: [REDACTED])	189,417.420 189,417.42	189,417.42
Total Securities Transferred In				\$8,313,261.08

