



SOUTHERN TRUST COMPANY, INC ACCT. [REDACTED]
For the Period 10/1/13 to 10/31/13

SECURITIES TRANSFERRED IN/OUT

Notes: * Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Settle Date	Type Selection Method	Description	Quantity Cost	Transaction Market Value *
Securities Transferred Out				
10/7	Free Delivery	ALLY FINANCIAL INC 8 1/2% PFD TRANSFER TO PERSHING & COMPANY TRADE DATE 10/04/13 (ID: 02005N-30-8)	(57,000.000) 1,489,980.00	(1,523,610.00)
10/16	Free Delivery	HSBC BRL STEP UP NOTE 3/27/14 LNKD TO BRL VS USD 85%BARRIER- 5%CPN-28.5% MXRTRN 3/13/13; INITIAL STRIKE: 1.9619 TRANSFER TO PERSHING & COMPANY TRADE DATE 10/04/13 (ID: 40432X-D3-2)	(1,000,000.000) 1,000,000.00	(883,300.00)
10/17	Free Delivery	HSBC BRL STEP UP NOTE 4/21/14 LNKD TO BRL VS USD 85%BARRIER- 5%CPN-28.5% MXRTRN 4/5/13 INITIAL STRIKE: 2.0035 TRANSFER TO PERSHING & COMPANY TRADE DATE 10/14/13 (ID: 40432X-E5-6)	(1,000,000.000) 1,000,000.00	(918,700.00)
Total Securities Transferred Out				(\$3,325,610.00)

