



SECURITIES TRANSFERRED IN/OUT

Notes: * Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Settle Date	Type Selection Method	Description	Quantity Cost	Transaction Market Value *
Securities Transferred In				
3/28	Receipt of Assets	ASCENA RETAIL GROUP INC COM TRANSFER FROM J.P. MORGAN CLEARING CORP. JPMORGAN CLEARING CORP TRADE DATE 03/27/13 (ID: 04351G-10-1)	70,500.000 0.00	1,292,970.00
3/28	Receipt of Assets	BOMBARDIER INC CL B TRANSFER FROM J.P. MORGAN CLEARING CORP. JPMORGAN CLEARING CORP TRADE DATE 03/27/13 (ID: 097751-20-0)	20,000.000 0.00	79,649.92
3/28	Receipt of Assets	JP MORGAN CHASE & CO TRANSFER FROM J.P. MORGAN CLEARING CORP. JPMORGAN CLEARING CORP TRADE DATE 03/27/13 (ID: 46625H-10-0)	21,753.000 0.00	1,039,140.81
Total Securities Transferred In				\$2,411,760.73
Securities Transferred Out				
3/29	Free Delivery	APOLLO GLOBAL MANAGEMENT LLC ASSET TRANSFER TO A/C W92099-0 SOUTHERN FINANCIAL, LLC CLIENT REF 104768400 (ID: 037612-30-6)	(263,157.000) 4,999,983.00	(5,694,717.48)
3/29	Free Delivery	ASCENA RETAIL GROUP INC COM ASSET TRANSFER TO A/C W92099-0 SOUTHERN FINANCIAL, LLC CLIENT REF 104768400 (ID: 04351G-10-1)	(70,500.000) 0.00	(1,307,775.00)
3/29	Free Delivery	BOMBARDIER INC CL B ASSET TRANSFER TO A/C W92099-0 SOUTHERN FINANCIAL, LLC CLIENT REF 104768400 (ID: 097751-20-0)	(20,000.000) 0.00	(79,334.60)
3/29	Free Delivery	JP MORGAN CHASE & CO ASSET TRANSFER TO A/C W92099-0 SOUTHERN FINANCIAL, LLC CLIENT REF 104768400 (ID: 46625H-10-0)	(21,753.000) 0.00	(1,032,397.38)