



GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 3/1/13 to 3/31/13

|                                                                                          | Price  | Quantity    | Value               | Adjusted Tax Cost<br>Original Cost | Unrealized<br>Gain/Loss | Est. Annual Inc.<br>Accrued Div.  | Yield         |
|------------------------------------------------------------------------------------------|--------|-------------|---------------------|------------------------------------|-------------------------|-----------------------------------|---------------|
| <b>Preferred Stocks</b>                                                                  |        |             |                     |                                    |                         |                                   |               |
| CITIGROUP CAPITAL XIII<br>7 7/8% PFD<br>[REDACTED] PNNA /BA1                             | 28.60  | 10,000.000  | 286,000.00          | 261,300.00                         | 24,700.00               | 19,690.00                         | 6.88 %        |
| COUNTRYWIDE CAPITAL V<br>7% PFD<br>[REDACTED] CFC PB                                     | 25.55  | 4,200.000   | 107,310.00          | 98,778.96                          | 8,531.04                | 7,350.00                          | 6.85 %        |
| JPM CHASE CAPITAL XXIX<br>6.7% PFD<br>[REDACTED] JPM PC                                  | 25.85  | 2,500.000   | 64,625.00           | 62,500.00                          | 2,125.00                | 4,187.50<br>1,046.88              | 6.48 %        |
| <b>Total Preferred Stocks</b>                                                            |        |             | <b>\$457,935.00</b> | <b>\$422,578.96</b>                | <b>\$35,356.04</b>      | <b>\$31,227.50<br/>\$1,046.88</b> | <b>6.82 %</b> |
| <b>Concentrated &amp; Other Equity</b>                                                   |        |             |                     |                                    |                         |                                   |               |
| GENERAL MOTORS CO<br>CV PFD B<br>[REDACTED] GM PB                                        | 42.94  | 1,000.000   | 42,940.00           | 50,000.00                          | (7,060.00)              | 2,375.00                          | 5.53 %        |
| JPMORGAN CHASE & CO<br>7.9% PFD STK APR 30 2049<br>DTD 04/23/2008<br>[REDACTED] BBB /BA1 | 115.93 | 150,000.000 | 173,895.00          | 157,909.17                         | 15,985.83               | 11,850.00<br>4,970.40             | 6.72 %        |
| <b>Total Concentrated &amp; Other Equity</b>                                             |        |             | <b>\$216,835.00</b> | <b>\$207,909.17</b>                | <b>\$8,925.83</b>       | <b>\$14,225.00<br/>\$4,970.40</b> | <b>6.48 %</b> |