



GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]
For the Period 6/1/13 to 6/30/13

TRADE ACTIVITY

Note: **S** indicates Short Term Realized Gain/Loss

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
6/6 6/7	Sale High Cost	PIMCO EMERGING LOCAL BOND-P (ID: 72201M-39-6)	(491.334)	10.27	5,046.00	(5,424.33)	(378.33) S
6/6 6/7	Sale High Cost	GATEWAY FUND-Y (ID: 367829-88-4)	(721.477)	28.17	20,324.00	(19,898.34)	425.66 S
6/7 6/10	Sale High Cost	PIMCO EMERGING LOCAL BOND-P (ID: 72201M-39-6)	(491.220)	10.25	5,035.00	(5,423.07)	(388.07) S
6/18 6/19	Sale High Cost	JPM TR I MLT SC INCOME FD - SEL FUND 2130 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 10.18 (ID: 48121A-29-0)	(1,003.831)	10.18	10,219.00	(10,299.31)	(80.31) S
6/24 6/25	Sale High Cost	JPM TR I MLT SC INCOME FD - SEL FUND 2130 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 9.98 (ID: 48121A-29-0)	(993.387)	9.98	9,914.00	(10,192.15)	(278.15) S
6/24 6/25	Sale High Cost	PRUDENTIAL TOTAL RETURN BD-Z (ID: 74440B-40-5)	(720.144)	13.85	9,974.00	(10,499.70)	(525.70) S
Total Settled Sales/Maturities/Redemptions					\$60,512.00	(\$61,736.90)	(\$1,224.90) S

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
6/11 6/12	Purchase	SIT DIVIDEND GROWTH FUND-I (ID: 82980D-70-7)	624.804	16.57	(10,353.00)
6/14 6/17	Purchase	COHEN & STEERS PREFERRED SECURITIES AND INCOME FUND INC (ID: 19248X-30-7)	751.274	13.34	(10,022.00)

