



GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/13 to 10/31/13

| | Price | Quantity | Value | Adjusted Tax Cost Original Cost | Unrealized Gain/Loss | Est. Annual Inc. Accrued Div. | Yield |
|--|--------|-------------|---------------------|------------------------------------|-------------------------|-----------------------------------|--------------|
| Non-US Equity | | | | | | | |
| RIO TINTO PLC ISIN GB0007188757 SEDOL 0718875 [REDACTED] | 50.74 | 3.000 | 152.21 | 212.23 | (60.02) | | |
| Preferred Stocks | | | | | | | |
| CITIGROUP CAPITAL XIII 7 7/8% PFD [REDACTED] | 27.50 | 10,000.000 | 275,000.00 | 261,300.00 | 13,700.00 | 19,690.00 | 7.16% |
| COUNTRYWIDE CAPITAL V 7% PFD [REDACTED] | 25.05 | 4,200.000 | 105,210.00 | 98,778.96 | 6,431.04 | 7,350.00 1,837.50 | 6.99% |
| JPM CHASE CAPITAL XXIX 6.7% PFD [REDACTED] | 25.70 | 2,500.000 | 64,250.00 | 62,500.00 | 1,750.00 | 4,187.50 | 6.52% |
| Total Preferred Stocks | | | \$444,460.00 | \$422,578.96 | \$21,881.04 | \$31,227.50 \$1,837.50 | 7.03% |
| Concentrated & Other Equity | | | | | | | |
| JPMORGAN CHASE & CO VAR RT PFD STK 04/30/2049 DTD 04/23/2008 [REDACTED] | 110.90 | 150,000.000 | 166,353.00 | 157,909.17 | 8,443.83 | 11,850.00 32.85 | 7.06% |