



GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]  
For the Period 12/1/13 to 12/31/13

**TRADE ACTIVITY**

Note: **S** indicates Short Term Realized Gain/Loss

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
<b>Settled Sales/Maturities/Redemptions</b>							
12/16 12/16	LT Capital Gain Distribution	METROPOLITAN WEST FDS TOTAL RET CL I 12/13/13 LONG TERM CAPITAL GAINS @ 0.065 PER SHARE AS OF 12/13/13 (ID: 592905-50-9)	7,192.344	0.065	464.27		
12/17 12/17	LT Capital Gain Distribution	NUVEEN GLOBAL INFRASTRUCT-I 12/16/13 LONG TERM CAPITAL GAINS @ 0.045 PER SHARE AS OF 12/16/13 (ID: 670690-51-0)	2,025.302	0.045	90.94		
12/18 12/19	Sale High Cost	HARBOR HIGH YIELD BOND-INST (ID: 411511-55-3)	(951.161)	10.77	10,244.00	(10,691.05)	(447.05) S
12/19 12/19	LT Capital Gain Distribution	HARBOR HIGH YIELD BOND-INST 12/17/13 LONG TERM CAPITAL GAINS @ 0.251 PER SHARE AS OF 12/17/13 (ID: 411511-55-3)	3,596.081	0.318	1,141.81		
12/19 12/19	LT Capital Gain Distribution	HARBOR CONVERTIBLE SEC-INST 12/17/13 LONG TERM CAPITAL GAINS @ 0.107 PER SHARE AS OF 12/17/13 (ID: 411512-73-4)	2,833.314	0.107	304.10		
12/19 12/19	LT Capital Gain Distribution	SIT DIVIDEND GROWTH FUND-I 12/18/13 LONG TERM CAPITAL GAINS @ 0.573 PER SHARE AS OF 12/18/13 (ID: 82980D-70-7)	2,958.635	0.573	1,695.82		
12/23 12/23	LT Capital Gain Distribution	BLACKROCK HIGH YIELD BOND 12/20/13 LONG TERM CAPITAL GAINS @ 0.102 PER SHARE AS OF 12/20/13 (ID: 091929-63-8)	7,292.312	0.102	742.29		
12/24 12/24	LT Capital Gain Distribution	HSBC FDS TOTAL RETURN I 12/24/13 LONG TERM CAPITAL GAINS @ 0.002 PER SHARE (ID: 40428X-15-6)	3,858.567	0.002	7.39		

