



GHISLAINE MAXWELL - TAP DYS ACCT [REDACTED]
For the Period 1/1/14 to 1/31/14

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
1/17 1/23	Sale High Cost	NUVEEN GLOBAL INFRASTRUCT-I (ID: 670690-51-0)	(535.696)	10.30	5,517.67	(5,314.11)	203.56 S
Total Settled Sales/Maturities/Redemptions					\$31,005.67	(\$30,605.17)	\$400.50 S

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
1/14 1/17	Purchase	CLEARBRIDGE EQUITY INC FD-I CAP & INCOME I (ID: 52469H-59-4)	296.210	18.47	(5,471.00)
1/15 1/21	Purchase	CLEARBRIDGE EQUITY INC FD-I CAP & INCOME I (ID: 52469H-59-4)	283.181	18.55	(5,253.00)
1/16 1/22	Purchase	CLEARBRIDGE EQUITY INC FD-I CAP & INCOME I (ID: 52469H-59-4)	282.731	18.53	(5,239.00)
1/17 1/23	Purchase	CLEARBRIDGE EQUITY INC FD-I CAP & INCOME I (ID: 52469H-59-4)	298.430	18.47	(5,512.00)
Total Settled Securities Purchased					(\$21,475.00)

J.P.Morgan