



GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]
For the Period 2/1/14 to 2/28/14

INFLOWS & OUTFLOWS

Settle Date	Type Selection Method	Description	Quantity Cost	Per Unit Amount	Amount
2/26	Interest Income	INTEREST FOR 02/01/14 THRU 02/25/14 @ 0.030% ON NET AVERAGE COLLECTED BALANCE OF 69,668.73		0.03	1.38
2/27	Stock Split	ROCHE HOLDINGS LTD SPONS ADR 2 FOR 1 STOCK SPLIT (ID: 771195-10-4)	700.000 0.00		
2/27	Fees & Commissions	SCHAFFER CULLEN CAPITAL MANAGEMENT MANAGEMENT FEE FOR 01-01-2014 TO 02-24-2014			(1,186.75)
Total Inflows & Outflows					(\$28,359.64)

SECURITIES TRANSFERRED IN/OUT

Notes: * Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Settle Date	Type Selection Method	Description	Quantity Cost	Transaction Market Value *
Securities Transferred In				
2/27	NonTaxableExchange	VODAFONE GROUP PLC-SP ADR REVERSE SPLIT - HOLDERS RECEIVE 6 NEW SPONSORED ADRS FOR EVERY 11 OLD SPONSORED ADRS OF VODAFONE GROUP PLC, CUSIP: 92857W209, HELD. (ID: 92857W-30-8)	1,039.000 53,061.37	42,152.23
Securities Transferred Out				
2/26	Free Delivery	ABB LTD SPONS ADR TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14 (ID: 000375-20-4)	(1,475.000) 31,585.04	(37,214.25)
2/26	Free Delivery	ALSTOM ADR TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14 (ID: 021244-20-7)	(4,875.000) 17,770.91	(12,894.38)

