



GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED] 5006
For the Period 2/1/14 to 2/28/14

Notes: * Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Settle Date	Type Selection Method	Description	Quantity Cost	Transaction Market Value *
Securities Transferred Out				
2/26	Free Delivery	AVENUE MUT FDS TR CRED STRA INST TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14 (ID: 05358F-30-1)	(2,734.963) 30,896.00	(31,780.27)
2/26	Free Delivery	BLACKROCK HIGH YIELD BOND TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14 (ID: 091929-63-8)	(7,292.312) 59,201.48	(60,817.88)
2/26	Free Delivery	COHEN & STEERS PREFERRED SECURITIES AND INCOME FUND INC TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14 (ID: 19248X-30-7)	(4,493.967) 60,407.99	(59,679.88)
2/26	Free Delivery	COLUMBIA FD SER TRT - COLUMBIA CONVERTIBLE SECURITIES FD - Z TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14 (ID: 19765H-72-7)	(1,987.557) 36,465.00	(38,200.85)
2/26	Free Delivery	DOUBLELINE TOTAL RET BD-I TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14 (ID: 258620-10-3)	(12,498.155) 142,229.00	(137,479.71)
2/26	Free Delivery	EATON VANCE FLOATING-RATE ADVANTAGE I TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14 (ID: 277923-63-7)	(7,524.150) 84,120.00	(84,195.24)
2/26	Free Delivery	GATEWAY FUND-Y TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14 (ID: 367829-88-4)	(1,089.208) 30,040.36	(31,358.30)
2/26	Free Delivery	HSBC FDS TOTAL RETURN I TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14 (ID: 40428X-15-6)	(3,858.567) 39,704.65	(38,778.60)

J.P.Morgan

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Confidential Treatment Requested by JPMorgan
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