



GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]
For the Period 2/1/14 to 2/28/14

TRADE ACTIVITY

Note: L indicates Long Term Realized Gain/Loss

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
2/19	Sale	JPM STRAT INCOME OPPORT FD - SEL FUND 3844 JP	(1,295.977)	11.93	15,461.00	(15,486.92)	(25.92) L
2/20	High Cost	MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 11.93 (ID: 4812A4-35-1)					
2/20	Sale	JPM STRAT INCOME OPPORT FD - SEL FUND 3844 JP	(1,719.923)	11.94	20,535.88	(20,553.08)	(17.20) L
2/21	High Cost	MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 11.94 (ID: 4812A4-35-1)					
Total Settled Sales/Maturities/Redemptions					\$35,996.88	(\$36,040.00)	(\$43.12) L

Trade Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settle Date					
Settled Securities Purchased					
2/19	Purchase	COLUMBIA FD SER TRT - COLUMBIA CONVERTIBLE	840.380	18.97	(15,942.00)
2/20		SECURITIES FD - Z (ID: 19765H-72-7)			

