

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 9/1/09 to 9/30/09

TRADE ACTIVITY

Note: L indicates Long Term Realized Gain/Loss
* Settled transaction was initiated in prior statement period and settled in current statement period

Trade Date	Settlement Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
9/24	9/29	Sale	CITY OF NEW YORK N Y NYC PUBLIC IMPS UNLIMITED TAX 4% SUBSER L-1 APR 01 2019 DTD 4/23/2008 HELD BY DTC BOOK ENTRY PAR CALL 04/01/2018 @ 100 @ 104.50 JP MORGAN SECURITIES INC (BIDL) TRADE DATE 09/24/09	(200,000.000)	104.50	209,000.00	(198,768.00)	10,232.00 L

Trade Date	Settlement Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased						
8/19	9/14	Purchase	FEDERAL HOME LOAN BANK 1 1/2% DEC 14 2012 DTD 09/14/2009 STEP CPN @ 100.00 JP MORGAN SECURITIES INC (BIDL) TRADE DATE 08/19/09	100,000.000	100.00	(100,000.00) *