



GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 9/1/11 to 9/30/11

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div.	Yield
<b>US Large Cap Equity</b>							
PROSHARES ULTRASHORT S&P 500 74347R-88-3 SDS	25.49	1,000.000	25,490.00	44,809.50	(19,319.50)		
PROSHARES TRUST 74347X-23-7 QID	54.39	200.000	10,878.00	26,203.50	(15,325.50)		
<b>Total US Large Cap Equity</b>			<b>\$64,888.00</b>	<b>\$102,623.00</b>	<b>(\$37,735.00)</b>	<b>\$1,720.00</b>	<b>2.65%</b>
<b>US Small/Mid Cap Equity</b>							
GERON CORP 374163-10-3 GERN	2.12	3,000.000	6,360.00	18,129.32	(11,769.32)		
<b>Non-US Equity</b>							
RESEARCH IN MOTION LIMITED 760975-10-2 RIMM	20.30	1,600.000	32,480.00	160,288.72	(127,808.72)		
<b>Preferred Stocks</b>							
CITIGROUP CAPITAL XIII 7 7/8% PFD 173080-20-1 C PNNA /BA1	26.41	10,000.000	264,100.00	261,300.00	2,800.00	19,690.00	7.46%
COUNTRYWIDE CAPITAL V 7% PFD 222388-20-9 CFC PB	19.20	4,200.000	80,640.00	98,778.96	(18,138.96)	7,350.00	9.11%
JPM CHASE CAPITAL XXIX 6.7% PFD 48125E-20-7 JPM PC	25.06	2,500.000	62,650.00	62,500.00	150.00	4,187.50 1,046.88	6.68%
<b>Total Preferred Stocks</b>			<b>\$407,390.00</b>	<b>\$422,578.96</b>	<b>(\$15,188.96)</b>	<b>\$31,227.50 \$1,046.88</b>	<b>7.67%</b>

J.P.Morgan