



GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 9/1/11 to 9/30/11

**INFLOWS & OUTFLOWS**

Settle Date	Type Selection Method	Description	Quantity Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
9/12	Receipt of Assets	STATE OF NEW YORK DORMITORY AUTH REVS NONST SUPPORTED DEBT MUN HLTH FACS LEASE 4% SUB 2-1 JAN 15 2017 DTD 12/21/2001 HELD BY DTC BOOK ENTRY ONLY MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 09/12/11 (ID: 649903-F5-5)	100,000.00 0.00			
9/12	Receipt of Assets	HSBC FINANCE CORP MEDIUM TERM NOTE 2.9% OCT 15 2015 DTD 10/15/2010 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 09/12/11 (ID: 40429X-YB-6)	100,000.00 0.00			
9/13	Corporate Interest	MS 7YNC1Y STEP-UP NOTE 09/13/17 INITIAL RATE 2.75% CPN WHERE MAX RATE IS 6% PER ANNUM DD 9/8/10 (ID: 61745E-6Q-9)	150,000.00	0.014	2,062.50	
9/29	Corporate Interest	FHLB 1.25% 09/29/15 EARNED DISCOUNT (ID: 313371-2G-0)	100,000.00	0.006	625.00	
<b>Total Inflows &amp; Outflows</b>					<b>\$3,291.04</b>	

**TRADE ACTIVITY**

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
9/29	Redemption	FHLB 1.25% 09/29/15 ENTIRE ISSUE CALLED @	(100,000.00)	100.00	100,000.00	(100,000.00)	
9/29	Pro Rata	100.00 (ID: 313371-2G-0)					