



GHISLAINE MAXWELL

For the Period 11/1/11 to 11/30/11

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Est. Settle Date	Selection Method						
Pending Sales, Maturities, Redemptions							
11/28 12/1	Sale	RESEARCH IN MOTION LIMITED (ID: 760975-10-2)	(400.000)	16.40	6,535.87	(44,361.72)	(37,825.85) L
11/29 12/2	Sale	HUMANA INC (ID: 444859-10-2)	(63.000)	86.45	5,442.46		
11/30 12/5	Sale	DOMINION RESOURCES INC VA (ID: 25746U-10-9)	(182.000)	51.58	9,376.45		
11/30 12/5	Sale	RESEARCH IN MOTION LIMITED (ID: 760975-10-2)	(400.000)	18.00	7,175.86	(40,329.00)	(33,153.14) L
Total Pending Sales, Maturities, Redemptions					\$92,325.76	(\$130,792.72)	(\$110,621.12) L

COST ADJUSTMENTS

Settle Date	Type	Description	Quantity	Cost Basis Adjustments
11/17	Amortization	STATE OF NEW YORK DORMITORY AUTH REVS NON ST SUPPORTED DEBT MEM SLOAN KETTERING 4% SUBSER A2 JUL 01 2018 DTD 05/13/2008 HELD BY DTC BOOK ENTRY ONLY MUNICIPAL BOND PREMIUM AMORTIZATIO (ID: 649903-B4-2)	100,000.000	(4.22)
11/17	Amortization	STATE OF NEW YORK DORMITORY AUTH REVS NONST SUPPORTED DEBT MUN HLTH FACS LEASE 4% SUB 2-1 JAN 15 2017 DTD 12/21/2001 HELD BY DTC BOOK ENTRY ONLY MUNICIPAL BOND PREMIUM AMORTIZATIO (ID: 649903-F5-5)	100,000.000	(13.28)
Total Cost Adjustments				(\$17.50)

J.P.Morgan