



GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 3/1/12 to 4/30/12

TRADE ACTIVITY

Note: S indicates Short Term Realized Gain/Loss

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
3/16	Sale	E M C CORP MASS @ 28.96057 13,553.55 BROKERAGE	(468.000)	28.746	13,453.30	(12,378.64)	1,074.66 S
3/21	High Cost	100.00 TAX &/OR SEC .25 J.P. MORGAN SECURITIES LLC (ID: 268648-10-2)					
3/16	Sale	KROGER CO @ 24.30 6,439.50 BROKERAGE 100.00 TAX	(265.000)	23.922	6,339.38	(6,587.16)	(247.78) S
3/21	High Cost	&/OR SEC .12 J.P. MORGAN SECURITIES LLC (ID: 501044-10-1)					
3/16	Sale	UNITED TECHNOLOGIES CORP @ 85.75074 13,891.62	(162.000)	85.132	13,791.36	(13,783.83)	7.53 S
3/21	High Cost	BROKERAGE 100.00 TAX &/OR SEC .26 J.P. MORGAN SECURITIES LLC (ID: 913017-10-9)					
3/23	Sale	WALT DISNEY CO @ 43.08 9,865.32 BROKERAGE 13.74	(229.000)	43.019	9,851.40	(9,015.40)	836.00 S
3/28	High Cost	TAX &/OR SEC .18 J.P. MORGAN SECURITIES LLC (ID: 254687-10-6)					
3/23	Sale	E I DU PONT DE NEMOURS & CO @ 52.07571	(224.000)	52.015	11,651.31	(11,537.62)	113.69 S
3/28	High Cost	11,664.96 BROKERAGE 13.44 TAX &/OR SEC .21 J.P. MORGAN SECURITIES LLC (ID: 263534-10-9)					
4/20	Redemption	BARCLAYS BANK PLC MEDIUM TERM NOTE 2 2/1% OCT	(150,000.000)	100.00	150,000.00	(150,000.00)	
4/20	Pro Rata	20 2017 DTD 10/20/2010 ENTIRE ISSUE CALLED @ 100.00 (ID: 06740P-VG-8)					
Total Settled Sales/Maturities/Redemptions					\$205,086.75	(\$203,302.65)	\$1,784.10 S