



GHISLAINE MAXWELL

For the Period 9/1/12 to 9/30/12

TRADE ACTIVITY

Note: L indicates Long Term Realized Gain/Loss

Trade Date	Type	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settle Date	Selection Method						
Settled Sales/Maturities/Redemptions							
9/13	Redemption	MS 7YNC1Y STEP-UP NOTE 09/13/17 INITIAL RATE	(150,000.000)	100.00	150,000.00	(150,000.00)	
9/13	Pro Rata	2.75% CPN WHERE MAX RATE IS 6% PER ANNUM DD 9/8/10 ENTIRE ISSUE CALLED @ 100.00 (ID: 61745E-6Q-9)					
9/11	Sale	ISHARES SILVER TRUST @ 32.41 32,410.00	(1,000.000)	32.349	32,349.27	(44,010.03)	(11,660.76) L
9/14	High Cost	BROKERAGE 60.00 TAX &/OR SEC .73 J.P. MORGAN SECURITIES LLC (ID: 46428Q-10-9)					
Total Settled Sales/Maturities/Redemptions					\$182,349.27	(\$194,010.03)	(\$11,660.76) L

Trade Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settle Date					
Settled Securities Purchased					
9/11	Purchase	SPDR GOLD TRUST @ 168.00 31,920.00 BROKERAGE	190.000	168.06	(31,931.40)
9/14		11.40 J.P. MORGAN SECURITIES LLC (ID: 78463V-10-7)			

J.P.Morgan