



GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 4/1/14 to 4/30/14

Notes: \* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Settle Date	Type Selection Method	Description	Quantity Cost	Transaction Market Value *
<b>Securities Transferred Out</b>				
4/2	Free Delivery	BIRDVILLE TEXAS INDEPENDENT SCHOOL DIST PERM SCH GTD FUND UNLIMITED TAX 5% FEB 15 2029 DTD 01/15/2007 HELD BY DTC BOOK ENTRY ONLY PAR CALL 02/15/2017 @ 100 TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 04/01/14 (ID: 090874-FV-5)	(100,000.000) 105,938.98	(108,917.00)
4/2	Free Delivery	CITIGROUP INC NEW TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 04/01/14 (ID: 172967-42-4)	(358.000) 14,048.52	(17,112.40)
4/2	Free Delivery	CITIGROUP CAPITAL XIII 7 7/8% PFD TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 04/01/14 (ID: 173080-20-1)	(10,000.000) 261,300.00	(276,800.00)
4/2	Free Delivery	COUNTRYWIDE CAPITAL V 7% PFD TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 04/01/14 (ID: 222388-20-9)	(4,200.000) 98,778.96	(107,184.00)
4/2	Free Delivery	GENERAL ELEC CAP CORP MEDIUM TERM FLOATING RATE NOTE MAY 11 2016 DTD 05/11/2007 TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 04/01/14 (ID: 36962G-2V-5)	(50,000.000) 45,394.50	(50,095.00)
4/2	Free Delivery	GENERAL MOTORS CO TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 04/01/14 (ID: 37045V-10-0)	(1,373.000) 50,000.00	(47,148.82)
4/2	Free Delivery	GERON CORP TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 04/01/14 (ID: 374163-10-3)	(3,000.000) 18,129.32	(6,360.00)

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Page 8 of 14

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