



GHISLAINE MAXWELL-HY ACCT. [REDACTED]

For the Period 9/1/12 to 9/30/12

Trade Date						
Est. Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost	
Pending Securities Purchased						
9/28	Purchase	ROYAL BANK SCOTLAND GRP PLC 5.05% JAN 08 2015	2,000.000	103.75	(2,075.00)	
10/3		DTD 12/08/2004 (ID: 780097-AP-6)				
Total Pending Securities Purchased					(\$4,143.75)	