

GHISLAINE MAXWELL





For the Period 1/1/13 to 1/31/13

Account Summary

Account  
Number

Investment Account(s)

GHISLAINE MAXWELL

GHISLAINE MAXWELL

GHISLAINE MAXWELL - OAP IHD

GHISLAINE MAXWELL - TAP DYS

GHISLAINE MAXWELL - TAP ARFI

Total Value

[REDACTED]<sup>1</sup>  
[REDACTED]<sup>2</sup>  
[REDACTED]  
[REDACTED]  
[REDACTED]

Beginning Net

Market Value

8,370,404.10

430.00

0.00

0.00

0.00

\$8,370,834.10

Ending Net

Market Value

4,384,319.71

430.00

994,949.71

998,079.64

998,440.56

\$7,376,219.62

This account summary is provided for informational purposes and includes assets at different entities.

(1) Assets held at JPMorgan Chase Bank, N.A., member Federal Deposit Insurance Corporation ("FDIC"), except for exchange-listed options, which are held at JPMorgan Clearing Corporation ("JPMCC"). The Asset Account Statement reflects brokerage transactions executed through J.P. Morgan Securities LLC ("JPMS"), see "Portfolio Activity Detail". Equity securities, fixed income securities, and listed options transactions are generally cleared through JPMCC, a wholly owned subsidiary of JPMS. Please see "Additional Information About Your Accounts" at the end of the Asset Account Statement.

(2) Assets held in Margin Account at JPMCC, member Financial Regulatory Authority ("FINRA") and Securities Insurance Protection Corporation ("SIPC"). The Margin Account Statement reflects brokerage transactions executed by JPMS, see "Portfolio Activity Detail". Such transactions are cleared and carried through JPMCC. Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

Change  
In Value  
(3,986,084.39)  
0.00  
994,949.71  
998,079.64  
998,440.56  
(\$994,614.48)

Start on

Page

4

36

41

54

68

Client News

2012 Year End Tax 1099's

You will be able to view your 2012 Form 1099 on Morgan Online beginning Feb 22, 2013. Unless you have selected edelivery, you should expect to receive them via mail shortly thereafter. You may contact your J.P. Morgan Team with any questions.

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Consolidated Statement Page 1

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For the Period 1/1/13 to 1/31/13

Consolidated Summary

INVESTMENT ACCOUNTS

Asset Allocation

Equity

Alternative Assets

Cash & Fixed Income

Other

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

727,098.85

79,615.03

7,380,655.73

162,318.55

\$8,349,688.16

21,145.94

\$8,370,834.10

Ending

Market Value

1,792,462.58

674,732.54

4,724,939.87

158,528.56

\$7,350,663.55

25,556.07

\$7,376,219.62

Change

In Value

1,065,363.73

595,117.51

(2,655,715.86)

(3,789.99)

(\$999,024.61)

4,410.13

(\$994,614.48)

Estimated

81,710.37

13,094.20

98,574.33

Current

Annual Income Allocation

24%

Other

9%

65%

2%

\$193,378.90

100%

Equity  
Alternative  
Assets  
Cash &  
Fixed Income  
Asset Allocation  
Current  
Portfolio Activity  
Beginning Market Value  
Net Contributions/Withdrawals  
Income & Distributions  
Change in Investment Value  
Ending Market Value

Accruals  
Market Value with Accruals

Period Value  
8,349,688.16  
(1,000,000.03)  
7,813.56  
(6,838.14)  
\$7,350,663.55  
25,556.07  
\$7,376,219.62

Year-to-Date  
Value  
8,349,688.16  
(1,000,000.03)  
7,813.56  
(6,838.14)  
\$7,350,663.55  
25,556.07  
\$7,376,219.62

This Consolidated Summary shows all of your investments at J.P. Morgan other than investments we hold in trust for you. These investments may be held in custody or investment

management account at JPMorgan Chase Bank, N.A. (the "Bank") or in a brokerage or margin account at J.P. Morgan Clearing Corp. ("JPMCC").

Brokerage and margin accounts are non-discretionary and all investment decisions are made by the client. J.P. Morgan Securities LLC ("JPMS") does not provide advice on asset allocation or investment management

services, nor do its personnel take discretion over any client accounts. Such advice and services are provided exclusively by the Bank.

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For the Period 1/1/13 to 1/31/13  
Consolidated Summary  
INVESTMENT ACCOUNT(S) YEAR-TO-DATE  
Portfolio Activity

GHISLAINE MAXWELL  
GHISLAINE MAXWELL  
GHISLAINE MAXWELL - OAP IHD  
GHISLAINE MAXWELL - TAP DYS  
GHISLAINE MAXWELL - TAP ARFI

Total Value

Tax Summary

GHISLAINE MAXWELL  
GHISLAINE MAXWELL - OAP IHD  
GHISLAINE MAXWELL - TAP DYS  
GHISLAINE MAXWELL - TAP ARFI

Total Value

Account

Number

[REDACTED]

\$7,103.42

\$710.14

<sup>1</sup>Unrealized Gain/Loss represents data from the time of account inception to the current statement period.

Account

Number

[REDACTED]

Beginning

Market Value

8,349,258.16

430.00

0.00

0.00

0.00

\$8,349,688.16

Taxable

Income

7,103.42

Net Contributions/

Withdrawals

(4,000,000.03)

1,000,000.00

1,000,000.00

1,000,000.00

(\$1,000,000.03)

Tax-Exempt  
Income  
710.14  
Other Income  
& Receipts  
\$7,813.56  
Income &  
Distributions  
7,813.56  
Change in  
Investment Value  
2,055.85  
(5,051.37)  
(1,998.42)  
(1,844.20)  
(\$6,838.14)  
Realized Gain/Loss  
Short-term  
Long-term  
Ending Market Value  
with Accruals  
4,384,319.71  
430.00  
994,949.71  
998,079.64  
998,440.56  
\$7,376,219.62  
Unrealized  
Gain/Loss<sup>1</sup>  
(45,151.64)  
(5,051.37)  
(1,998.42)  
(1,844.20)  
(\$54,045.63)

CONTINUED  
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JPMorgan Chase Bank, N.A.  
GHISLAINE MAXWELL  
270 Park Avenue, New York, NY 10017-2014  
ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Asset Account  
J.P. Morgan Team  
Kirsten Sichler  
Jason Bell  
Janet Young  
Gina Magliocco  
Banker  
Investment Specialist  
Client Service Team  
Client Service Team

[REDACTED]  
[REDACTED]  
[REDACTED]  
Table of Contents  
Account Summary  
Holdings  
Equity  
Alternative Assets  
Cash & Fixed Income  
Other Assets  
Portfolio Activity  
Online access

[www.jpmorganonline.com](http://www.jpmorganonline.com)

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

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10  
16  
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GHISLAINE MAXWELL

Account Summary

Asset Allocation

Equity

Alternative Assets

Cash & Fixed Income

Other

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

727,098.85

79,615.03

7,380,225.73

162,318.55

\$8,349,258.16

21,145.94

\$8,370,404.10

Ending

Market Value

729,384.54

79,588.66

3,391,625.78

158,528.56

\$4,359,127.54

25,192.17

\$4,384,319.71

Current

Portfolio Activity

Beginning Market Value

Withdrawals & Fees

Securities Transferred In

Securities Transferred Out

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

Period Value

8,349,258.16

(4,000,235.83)

1,581,132.77

(1,580,896.97)

(\$4,000,000.03)

7,813.56

2,055.85

\$4,359,127.54

25,192.17

\$4,384,319.71

Change  
In Value  
2,285.69  
(26.37)  
(3,988,599.95)  
(3,789.99)  
(\$3,990,130.62)  
4,046.23  
(\$3,986,084.39)  
Year-to-Date  
Value  
8,349,258.16  
(4,000,235.83)  
1,581,132.77  
(1,580,896.97)  
(\$4,000,000.03)  
7,813.56  
2,055.85  
\$4,359,127.54  
25,192.17  
\$4,384,319.71  
Estimated  
45,710.43  
44,829.69  
\$90,540.12  
Current  
Annual Income Allocation  
18%  
2%  
76%  
4%  
100%  
Cash &  
Equity  
Fixed Income  
Alternative  
Assets  
Other  
Asset Allocation  
ACCT. [REDACTED]  
For the Period 1/1/13 to 1/31/13  
Account [REDACTED] Page 2 of 32  
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GHISLAINE MAXWELL

Account Summary

Tax Summary

Foreign Dividends

Interest Income

Taxable Income

Tax-Exempt Income

Bond Premium Amortization

Tax-Exempt Income

CONTINUED

Current

Period Value

849.85

6,253.57

\$7,103.42

1,250.00

(539.86)

\$710.14

Year-to-Date

Value

849.85

6,253.57

\$7,103.42

1,250.00

(539.86)

\$710.14

Unrealized Gain/Loss

ACCT. ██████████

For the Period 1/1/13 to 1/31/13

To-Date Value

(\$45,151.64)

Note: \* This summary is for informational purposes only and is not to be used for any financial or tax purposes. This summary does not include securities which are taxable at the federal level but are state exempt. This summary may not include all income derived from Municipal Securities; Please consult your tax advisor for tax implications.

<sup>1</sup> Other category combines all the remaining Tax Exempt Income generated by securities in the account which may not have a state affiliation.

Tax-Exempt Income

Summary by State\*

MA

Current

Period Value

710.14

Year-to-Date

Value

710.14

Tax-Exempt Income

Summary by State\*

Tax-Exempt Income

Current  
Period Value  
\$710.14  
Year-to-Date  
Value  
\$710.14

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Account Summary

Cost Summary

Equity

Cash & Fixed Income

Other

Total

CONTINUED

Cost

764,967.39

3,407,808.48

90,364.18

\$4,263,140.05

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GHISLAINE MAXWELL

Equity Summary

Asset Categories

US Large Cap Equity

US Small/Mid Cap Equity

Non-US Equity

Preferred Stocks

Concentrated & Other Equity

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Dividends

Yield

Beginning

Market Value

59,868.11

4,230.00

171.24

448,673.00

214,156.50

\$727,098.85

Ending

Market Value

60,363.21

4,740.00

169.33

449,186.00

214,926.00

\$729,384.54

Current

Period Value

729,384.54

764,967.39

(35,582.85)

45,710.43

4,852.58

6.24%

Concentrated & Other Equity

Change

In Value

495.10

510.00

(1.91)

513.00

769.50

\$2,285.69

Current

Allocation

1%

1%

1%

10%

5%

18%

US Large Cap Equity

US Small/Mid Cap Equity

Non-US Equity

Preferred Stocks

Asset Categories

ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Equity as a percentage of your portfolio - 18 %

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GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Equity Detail

Price

US Large Cap Equity

APACHE CORP

037411-10-5 APA

BANK OF AMERICA CORP

CITIGROUP INC NEW

060505-10-4 BAC

42.16

172967-42-4 C

PROSHARES ULTRASHORT S&P500 NEW

PROSHARES TRUST

SCHLUMBERGER LTD

Total US Large Cap Equity

74347B-30-0 SDS

27.96

74347X-23-7 QID

78.05

806857-10-8 SLB

\$60,363.21

\$116,137.71

(\$55,774.50)

\$257.93

\$19.73

US Small/Mid Cap Equity

GERON CORP

374163-10-3 GERN

0.43%

113.000

8,819.65

9,524.04

(704.39)

141.25

1.60%

200.000

5,592.00

26,203.50

(20,611.50)

48.86

250.000

12,215.00

44,809.50

(32,594.50)

358.000

15,093.28

14,048.52

1,044.76

14.32

3.58  
0.09%  
11.32  
944.000  
10,686.08  
10,148.85  
537.23  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Inc.  
Accrued Div.  
Yield  
83.76  
95.000  
7,957.20  
11,403.30  
(3,446.10)  
64.60  
16.15  
37.76  
0.81%  
0.35%  
1.58  
3,000.000  
4,740.00  
18,129.32  
(13,389.32)

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Price

Non-US Equity

RIO TINTO PLC

ISIN GB0007188757 SEDOL 0718875

766994-90-9 GBP

Preferred Stocks

CITIGROUP CAPITAL XIII

7 7/8% PFD

173080-20-1 C PNNA /BA1

COUNTRYWIDE CAPITAL V

7% PFD

222388-20-9 CFC PB

JPM CHASE CAPITAL XXIX

6.7% PFD

48125E-20-7 JPM PC

Total Preferred Stocks

26.10

2,500.000

65,250.00

\$449,186.00

62,500.00

\$422,578.96

2,750.00

\$26,607.04

4,187.50

\$31,227.50

\$1,837.50

Concentrated & Other Equity

GENERAL MOTORS CO

CV PFD B

37045V-20-9 GM PB

6.42%

6.95%

25.08

4,200.000

105,336.00

98,778.96

6,557.04

7,350.00

1,837.50

6.98%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Inc.

Accrued Div.

Yield  
56.44  
3.000  
169.33  
212.23  
(42.90)  
27.86  
10,000.000  
278,600.00  
261,300.00  
17,300.00  
19,690.00  
7.07%  
43.32  
1,000.000  
43,320.00  
50,000.00  
(6,680.00)  
2,375.00  
5.48%

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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 1/1/13 to 1/31/13  
Price  
Concentrated & Other Equity  
JPMORGAN CHASE & CO  
7.9% PFD STK APR 30 2049  
DTD 04/23/2008  
46625H-HA-1 BBB /BA1  
Total Concentrated & Other Equity  
\$214,926.00  
\$207,909.17  
\$7,016.83  
\$14,225.00  
\$2,995.35  
6.55%  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Inc.  
Accrued Div.  
Yield  
114.40  
150,000.000  
171,606.00  
157,909.17  
13,696.83  
11,850.00  
2,995.35  
6.82%  
Account [REDACTED] Page 8 of 32  
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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Alternative Assets Summary

Beginning

Asset Categories

Hard Assets

Estimated Value

79,615.03

Alternative Assets Detail

Price

Hard Assets

ETFS METAL SECURITIES LTD

ISIN JE00B1VS3770 SEDOL B1VS377

131994-91-5

SPDR GOLD TRUST

Total Hard Assets

78463V-10-7 GLD

\$79,588.66

\$76,999.78

161.20

190.000

30,628.00

31,931.40

162.66

301.000

48,960.66

45,068.38

Quantity

Estimated

Value

Cost

Ending

Estimated Value

79,588.66

Change

In Value

(26.37)

Current

Allocation

2%

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

Non-USD Cash

Non-USD Short Term

US Fixed Income

Non-US Fixed Income

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Interest

Yield

Market Value

5,860,810.03

359,195.43

114,484.23

631,270.49

414,465.55

\$7,380,225.73

Ending

Market Value

1,868,108.85

21,745.40

457,527.87

630,447.41

413,796.25

\$3,391,625.78

Current

Period Value

3,391,625.78

3,407,808.48

(16,182.70)

44,829.69

20,339.59

1.35%

US Fixed Income

Cash & Fixed Income as a percentage of your portfolio - 76 %

Change

In Value

(3,992,701.18)

(337,450.03)

343,043.64

(823.08)

(669.30)

(\$3,988,599.95 )

Current  
Allocation

42%

1%

10%

14%

9%

76%

Non-US Fixed Income

Non-USD Cash

Cash

Asset Categories

Non-USD Short Term

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1-5 years<sup>1</sup>

10+ years<sup>1</sup>

Total Value

1

SUMMARY BY TYPE

Market

Value

2,610,020.09

556,195.69

225,410.00

\$3,391,625.78

% of Bond

Portfolio

78%

16%

6%

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Note:

A - Bonds purchased at a premium show amortization.

1

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

JPM NY MUNI MM FD - MORGAN

FUND 3

7-Day Annualized Yield: .01%

Total Cash

1.00

1.00

1,868,107.85

1.00

1,868,107.85

1.00

\$1,868,108.85

1,868,107.85

1.00

\$1,868,108.85

\$0.00

\$186.81

\$43.04

0.01%

186.81  
43.04  
0.01% <sup>1</sup>  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Income  
Accrued Interest  
Yield  
Cash & Fixed Income  
Cash  
NON USD Cash  
Corporate Bonds  
Municipal Bonds  
International Bonds  
Mutual Funds  
Total Value  
Market  
Value  
1,868,108.85  
21,745.40  
306,072.00  
225,410.00  
949,092.62  
21,196.91  
\$3,391,625.78  
% of Bond  
Portfolio  
56%  
1%  
9%  
6%  
27%  
1%  
100%

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Price

Non-USD Cash

AUSTRALIAN DOLLAR

POUND STERLING

HONG KONG DOLLAR

TURKISH LIRA NEW

PRINCIPAL CURRENCY

Total Non-USD Cash

1.04

1.59

0.13

0.57

100.00

6,580.73

950.00

19,500.00

104.30

10,433.41

122.49

11,085.20

\$21,745.40

103.25

10,520.25

122.44

10,763.97

\$21,509.91

1.05

(86.84)

0.05

321.23

\$235.49

\$0.00

0.00%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

Non-USD Short Term

JP MORGAN CHASE BANK - CAD

100.12

LONDON TIME DEPOSITS 0.5700%

DATED 01/25/2013 MATURITY 02/01/2013

HELD BY LONDON TREASURY SERVICES

Bearer

2533P9-9D-5 CAD  
JP MORGAN CHASE BANK - NOK  
LONDON TIME DEPOSITS 0.8500%  
DATED 01/31/2013 MATURITY 02/07/2013  
HELD BY LONDON TREASURY SERVICES

Bearer

2533S9-9D-2 NOK

18.27

1,236,257.09

225,823.06

208,655.53

17,167.53

1,919.49

5.19

0.88%

115,773.67

115,906.96

113,382.24

2,524.72

660.65

0.61%

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Price

Non-USD Short Term

KFW - TRY

MTN 9 3/4% JUL 16 2013

DTD 02/10/2010

HELD BY EUROCLEAR

ISIN XS0484842470 SEDOL B637YV8

14085A-9A-6 AAA /AAA TRY

Total Non-USD Short Term

\$457,527.87

\$457,593.33

(\$65.46)

\$13,665.35

\$6,079.20

US Fixed Income

JPM MANAGED RESERVES C

(DIST) - GBP (HEDGED)

051398-91-5 GBP

ISHARES MARKIT IBOXX CORPORATE BOND

ISIN IE00B00FV011 SEDOL B00FV01

461278-91-3 GBP

HSBC FINANCE CORP

MEDIUM TERM NOTE 2.9% OCT 15 2015

DTD 10/15/2010

40429X-YB-6 A /BAA

GENERAL ELEC CAP CORP

MEDIUM TERM FLOATING RATE NOTE

MAY 11 2016 DTD 05/11/2007

36962G-2V-5 AA+ /A1

BANK OF AMERICA CORP

MEDIUM TERM NOTE

3.6% NOV 15 2016

DTD 11/04/2010

06050W-DW-2 A- /BAA

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103.29

150,000.00

154,939.50

150,000.00

4,939.50

5,400.00

1,140.00

2.68%

98.98

50,000.00

49,488.50

45,394.50

4,094.00

333.42  
75.90  
0.98%  
101.64  
100,000.00  
101,644.00  
100,000.00  
1,644.00  
2,900.00  
853.80  
2.27%  
201.88  
105.00  
21,196.91  
19,023.08  
2,173.83  
10,169.81  
7.65  
77,768.50  
80,157.26  
(2,388.76)  
1.97%  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Income  
Accrued Interest  
Yield  
57.90  
200,000.00  
115,797.85  
135,555.56  
(19,757.71)  
11,085.21  
6,074.01  
5.44%

GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Price

US Fixed Income

A MI ST MUNI BOND AUTH

ST CLEAN WTR REVOLVING FD 5%

OCT 01 2026 DTD 11/02/2006

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 10/01/2016 @ 100

59455T-PF-3 AAA /AAA

A BIRDVILLE TEXAS INDEPENDENT SCHOOL

DIST PERM SCH GTD FUND UNLIMITED TAX

5% FEB 15 2029 DTD 01/15/2007

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 02/15/2017 @ 100

090874-FV-5 AAA /AAA

A MA ST HLTH & EDUCNTL FACS AUTH

REVENUE HARVARD UNIV 5% B

JUL 15 2032 DTD 08/03/2005

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 07/15/2015 @ 100

57586C-FW-0 AAA /AAA

Total US Fixed Income

\$630,447.41

\$609,746.79

\$614,541.84

Non-US Fixed Income

BLUEBAY HIGH YIELD BD FD GBP HDG-DB

ISIN LU0222772518

G13289-92-4 GBP

ISHARES BARCLAYS EURO INDEX-LINK

ISIN IE00B1FZSD53 SEDOL B1G52V0

46578W-92-2 GBP

21.71

5,790.00

125,716.75

118,212.32

7,504.43

202.00

187.90

37,955.81

39,293.00

(1,337.19)

\$20,700.62

\$18,633.42

\$5,319.60

2.50%

109.22

50,000.00

54,611.50

52,775.30  
54,040.00  
1,836.20  
2,500.00  
111.10  
4.30%  
113.81  
100,000.00  
113,814.00  
108,226.75  
110,503.00  
5,587.25  
5,000.00  
2,305.50  
3.84%  
113.97  
50,000.00  
56,984.50  
54,169.90  
55,424.00  
2,814.60  
2,500.00  
833.30  
3.69%  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Income  
Accrued Interest  
Yield

GHISLAINE MAXWELL ACCT. [REDACTED]  
 For the Period 1/1/13 to 1/31/13  
 Price  
 Non-US Fixed Income  
 INTER AMERICAN DEVELOPMENT BANK- INR  
 MEDIUM TERM NOTE 3% MAR 25 2014  
 DTD 03/25/2010  
 HELD BY EUROCLEAR  
 ISIN XS0495010562 SEDOL B5114T3  
 U45818-9G-5 AAA /AAA INR  
 INTER AMERICAN DEVEL BK - IDR  
 MEDIUM TERM NOTE 6.50% JUN 04 2014  
 DTD 06/04/2010  
 HELD BY EUROCLEAR  
 ISIN XS0513770957 SEDOL B4YWHC0  
 45818W-9K-4 AAA /AAA IDR  
 Total Non-US Fixed Income  
 \$413,796.25  
 \$450,849.60  
 (\$37,053.35)  
 \$12,344.11  
 \$8,897.75  
 5.07%  
 0.01 1,300,000,000.00  
 135,616.00  
 150,112.11  
 (14,496.11)  
 8,675.56  
 5,751.85  
 5.44%  
 1.71  
 12/31/12  
 6,700,000.00  
 114,507.69  
 143,232.17  
 (28,724.48)  
 3,668.55  
 3,145.90  
 11.88%  
 Quantity  
 Value  
 Adjusted Tax Cost  
 Original Cost  
 Unrealized  
 Gain/Loss  
 Est. Annual Income  
 Accrued Interest  
 Yield



GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Other Summary

Asset Categories

Balanced

Beginning

Estimated Value

162,318.55

Ending

Estimated Value

158,528.56

Change

In Value

(3,789.99)

Current

Allocation

4%

Current

Market Value/Cost

Estimated Value

Tax Cost

Estimated Gain/Loss

Note:

\*\*

Period Value

158,528.56

90,364.18

4,025.03

Unrealized Gain/Loss is shown as "N/A" in cases where we do not have appropriate cost information for all tax lots comprising the position.

Please contact your J.P. Morgan team for additional

information.

Other Detail

Price

Balanced

JPM INC OPP - GBP - A - DIST(HEDGED)

ISIN LU0323456201

287991-93-9 GBP

146.40

438.109

64,139.35

N/A \*\*

N/A

Quantity

Value

Adjusted Cost

Original Cost

Unrealized

Gain/Loss

Accruals

Account [REDACTED] Page 16 of 32



GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 1/1/13 to 1/31/13  
Price  
Balanced  
PIMCO FUNDS GLOBAL INVESTORS SERIES  
- GLOBAL INVESTMENT GRADE CREDIT  
FUND  
ISIN IE00B3BMD843 SEDOL B3BMD84  
695421-91-7 GBP  
Total Balanced  
\$158,528.56  
\$90,364.18  
\$4,025.03  
21.64  
4,361.513  
94,389.21  
90,364.18  
4,025.03  
Quantity  
Value  
Adjusted Cost  
Original Cost  
Unrealized  
Gain/Loss  
Accruals  
Account [REDACTED] Page 17 of 32  
Consolidated Statement Page 20

GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 1/1/13 to 1/31/13  
Portfolio Activity Summary - U S Dollar  
Beginning Cash Balance

PRINCIPAL  
Current  
Transactions  
INFLOWS  
Total Inflows  
Income  
OUTFLOWS \*\*  
Total Outflows  
Withdrawals  
Ending Cash Balance

Period Value  
5,860,809.03  
7,298.82  
\$7,298.82  
(4,000,000.00)  
(\$4,000,000.00)  
\$1,868,107.85

Year-To-Date  
Value\*  
-7,298.82  
\$7,298.82  
(4,000,000.00)  
(\$4,000,000.00)

-INCOME

Current

Period

Value

0.00

Year-To-Date

Value\*

-\$0.00

\$0.00

\$0.00

\$0.00

\$0.00

-Current

Cost

Adjustments

Amortization

Total Cost Adjustments

Period Value

(539.86)

(\$539.86)

\* Year to date information is calculated on a calendar year basis.

\*\* Your account's standing instructions use a HIGH COST method for relieving  
assets

from your position

Year-To-Date

Value\*

(539.86)

(\$539.86)

Account [REDACTED] Page 18 of 32

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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 1/1/13 to 1/31/13  
Portfolio Activity Detail - U S Dollar  
INFLOWS & OUTFLOWS

Type  
Settle Date Selection Method  
1/2  
1/2  
1/11  
1/15

Interest Income  
Interest Income  
Foreign Dividend  
Municipal Interest

Description  
DEPOSIT SWEEP INTEREST FOR 12/01/12 - 12/31/12  
@ .01% RATE ON AVG COLLECTED BALANCE OF  
\$5,860,762.50 AS OF 01/01/13  
JPM CHASE CAPITAL XXIX 6.7% PFD @ 0.41875 PER  
SHARE (ID: 48125E-20-7)  
SCHLUMBERGER LTD @ 0.275 PER SHARE  
(ID: 806857-10-8)  
MA ST HLTH & EDUCTNL FACS AUTH REVENUE HARVARD  
UNIV 5% B JUL 15 2032 DTD 08/03/2005 HELD BY  
DTC BOOK ENTRY ONLY PAR CALL 07/15/2015 @ 100  
(ID: 57586C-FW-0)

1/22  
1/28  
1/28  
1/28  
1/30

Misc Disbursement FUNDS TRANSFERRED FROM ASSET AC# [REDACTED] TO  
INVESTMENT MANAGEMENT AC# A45685004 AS  
REQUESTED

Misc Disbursement FUNDS TRANSFERRED FROM PRN A/C# [REDACTED] TO  
PRN A/C# [REDACTED] AS REQUESTED

Misc Disbursement FUNDS TRANSFERRED FROM PRN A/C# [REDACTED] TO  
PRN A/C# [REDACTED] AS REQUESTED

Misc Disbursement FUNDS TRANSFERRED FROM PRN A/C# [REDACTED] TO  
PRN A/C# [REDACTED] AS REQUESTED

Interest Income

Total Inflows & Outflows  
CITIGROUP CAPITAL XIII 7 7/8% PFD @ 0.492188  
PER SHARE (ID: 173080-20-1)

10,000.000  
0.492  
(1,000,000.00)  
(1,000,000.00)  
(1,000,000.00)  
4,921.88  
(\$3,992,701.18)

(1,000,000.00)

2,500.000

113.000

50,000.000

0.419

0.275

0.025

1,046.88

31.08

1,250.00

Quantity

Cost

Per Unit

Amount

PRINCIPAL

Amount

48.98

INCOME

Amount

Account [REDACTED] Page 19 of 32

Consolidated Statement Page 22

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

COST ADJUSTMENTS

Settle Date

1/15

Type

Amortization

Description

MA ST HLTH & EDUCTNL FACS AUTH REVENUE HARVARD

UNIV 5% B JUL 15 2032 DTD 08/03/2005 HELD BY

DTC BOOK ENTRY ONLY PAR CALL 07/15/2015 @ 100

MUNICIPAL BOND PREMIUM AMORTIZATIO

(ID: 57586C-FW-0)

Quantity

50,000.000

Cost Basis

Adjustments

(539.86)

Account [REDACTED] Page 20 of 32

Consolidated Statement Page 23

GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 1/1/13 to 1/31/13  
Portfolio Activity Summary - Canadian Dollar  
PRINCIPAL

US Dollar Value

Current

Transactions

Beginning Cash Balance

INFLOWS

Total Inflows

Income

OUTFLOWS \*\*

Total Outflows

Withdrawals

Ending Cash Balance

Period Value

-51.83

\$51.83

(51.83)

(\$51.83)

-Year-To-Date

Value\*

-51.83

\$51.83

(51.83)

(\$51.83)

-Local

Value

Current

Period Value

0.00

51.32

51.32

(51.32)

(51.32)

0.00

INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

Period Value

--Year-To-Date

Value\*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date  
Value\*  
--Year-To-Date  
Value\*  
-51.32  
51.32  
(51.32)  
(51.32)  
-Account

██████████ Page 21 of 32  
Consolidated Statement Page 24

GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 1/1/13 to 1/31/13  
Portfolio Activity Summary - Canadian Dollar  
Current

Securities Transferred In/Out

Securities Transferred In

Securities Transferred Out

Period Value

467,686.37

(467,634.55)

Year-To-Date

Value\*

467,686.37

(467,634.55)

\* Year to date information is calculated on a calendar year basis.

\*\* Your account's standing instructions use a HIGH COST method for relieving  
assets

from your position

Portfolio Activity Detail - Canadian Dollar

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

1/4

Foreign Interest

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 12/28/2012 MATURITY 01/04/2013

HELD BY LONDON TREASURY SERVICES

(ID: 2533B9-9V-0)

1/4

1/11

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

Foreign Interest

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 01/04/2013 MATURITY 01/11/2013

HELD BY LONDON TREASURY SERVICES

(ID: 2533F9-9H-7)

1/11

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

(12.830)

(13.00)

(12.83)

Account [REDACTED] Page 22 of 32

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(12.830)

115,735.180

(13.02)

(12.83)

13.00  
12.83  
Quantity  
115,722.350  
Amount USD  
Local Value  
PRINCIPAL  
Amount USD  
Local Value  
13.02  
12.83  
INCOME  
Amount USD  
Local Value  
Currency  
Gain/Loss USD

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

1/18

Foreign Interest

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 01/11/2013 MATURITY 01/18/2013

HELD BY LONDON TREASURY SERVICES

(ID: 2533J9-9C-4)

1/18

1/25

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

Foreign Interest

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 01/18/2013 MATURITY 01/25/2013

HELD BY LONDON TREASURY SERVICES

(ID: 2533L9-9Y-3)

1/25

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

Total Inflows & Outflows

SECURITIES TRANSFERRED IN/OUT

Notes:

Settle Date Selection Method

Securities Transferred In

1/4

Receipt of Assets

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 01/04/2013 MATURITY 01/11/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2533F9-9H-7)

(12.830)

(12.79)

(12.83)

\$0.00

(12.830)

115,760.840

(13.02)

(12.83)

12.79

12.83

Quantity

115,748.010

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

13.02

12.83

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

\* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax

purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

115,735.180

113,343.43

Transaction

Market Value \*

117,491.68

Account [REDACTED] Page 23 of 32

Consolidated Statement Page 26

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Notes:

\* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred In

1/11

Receipt of Assets

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS  
0.5700% DATED 01/11/2013 MATURITY 01/18/2013  
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD  
(ID: 2533J9-9C-4)

1/18

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS  
0.5700% DATED 01/18/2013 MATURITY 01/25/2013  
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD  
(ID: 2533L9-9Y-3)

1/25

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS  
0.5700% DATED 01/25/2013 MATURITY 02/01/2013  
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD  
(ID: 2533P9-9D-5)

Total Securities Transferred In

Securities Transferred Out

1/4

Free Delivery

\$467,686.37

115,773.670

113,382.24

115,398.62

115,760.840

113,369.45

117,470.03

Quantity

Cost

115,748.010

113,356.43

Transaction

Market Value \*

117,326.04

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS  
0.5700% DATED 12/28/2012 MATURITY 01/04/2013  
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD  
(ID: 2533B9-9V-0)

1/11

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 01/04/2013 MATURITY 01/11/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2533F9-9H-7)

(115,735.180)

113,343.43

(117,313.04)

(115,722.350)

113,330.41

(117,478.66)

Account [REDACTED] Page 24 of 32

Consolidated Statement Page 27

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Notes:

\* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

1/18

Free Delivery

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 01/11/2013 MATURITY 01/18/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2533J9-9C-4)

1/25

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 01/18/2013 MATURITY 01/25/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2533L9-9Y-3)

Total Securities Transferred Out

(\$467,634.55)

(115,760.840)

113,369.45

(115,385.84)

Quantity

Cost

(115,748.010)

113,356.43

Transaction

Market Value \*

(117,457.01)

Account [REDACTED] Page 25 of 32

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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 1/1/13 to 1/31/13  
Portfolio Activity Summary - Norwegian Krone  
PRINCIPAL

US Dollar Value

Current

Transactions

Beginning Cash Balance

INFLOWS

Total Inflows

Income

OUTFLOWS \*\*

Total Outflows

Withdrawals

Ending Cash Balance

Period Value

-184.00

\$184.00

(184.00)

(\$184.00)

-Year-To-Date

Value\*

-184.00

\$184.00

(184.00)

(\$184.00)

-Local

Value

Current

Period Value

0.00

1,021.12

1,021.12

(1,021.12)

(1,021.12)

0.00

INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

Period Value

--Year-To-Date

Value\*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date  
Value\*  
--Year-To-Date  
Value\*  
-1,021.12  
1,021.12  
(1,021.12)  
(1,021.12)  
-Account

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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 1/1/13 to 1/31/13  
Portfolio Activity Summary - Norwegian Krone  
Current

Securities Transferred In/Out

Securities Transferred In

Securities Transferred Out

Period Value

1,113,446.40

(1,113,262.42)

Year-To-Date

Value\*

1,113,446.40

(1,113,262.42)

\* Year to date information is calculated on a calendar year basis.

\*\* Your account's standing instructions use a HIGH COST method for relieving  
assets

from your position

Portfolio Activity Detail - Norwegian Krone

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

1/3

Foreign Interest

Description

HELD BY LONDON TREASURY SERVICES

(ID: 2533B9-9L-2)

1/3

1/10

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Foreign Interest

HELD BY LONDON TREASURY SERVICES

(ID: 2533F9-9D-6)

1/10

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

(204.190)

(36.46)

(204.19)

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(204.160)

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,235,440.130

0.8500% DATED 01/03/2013 MATURITY 01/10/2013

(36.96)

(204.16)

36.46

204.19

Quantity

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,235,235.970

0.8500% DATED 12/27/2012 MATURITY 01/03/2013

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

36.96

204.16

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

1/17

Foreign Interest

Description

HELD BY LONDON TREASURY SERVICES

(ID: 2533H9-9X-0)

1/17

1/24

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Foreign Interest

HELD BY LONDON TREASURY SERVICES

(ID: 2533L9-9S-6)

1/24

1/31

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Foreign Interest

HELD BY LONDON TREASURY SERVICES

(ID: 2533P9-9A-1)

1/31

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Total Inflows & Outflows

(204.290)

(37.26)

(204.29)

\$0.00

(204.260)

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,236,052.800

0.8500% DATED 01/24/2013 MATURITY 01/31/2013

(36.65)

(204.26)

37.26

204.29

(204.220)

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,235,848.540

0.8500% DATED 01/17/2013 MATURITY 01/24/2013

(36.67)

(204.22)

36.65

204.26

Quantity

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,235,644.320

0.8500% DATED 01/10/2013 MATURITY 01/17/2013

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

36.67

204.22

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

Account [REDACTED] Page 28 of 32

Consolidated Statement Page 31

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

SECURITIES TRANSFERRED IN/OUT

Notes:

Settle Date Selection Method

Securities Transferred In

1/3

Receipt of Assets

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 01/03/2013 MATURITY 01/10/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2533F9-9D-6)

1/10

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 01/10/2013 MATURITY 01/17/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2533H9-9X-0)

1/17

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 01/17/2013 MATURITY 01/24/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2533L9-9S-6)

1/24

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 01/24/2013 MATURITY 01/31/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2533P9-9A-1)

1/31

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 01/31/2013 MATURITY 02/07/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2533S9-9D-2)

Total Securities Transferred In

\$1,113,446.40

1,236,257.090

208,655.53

225,466.81

1,236,052.800

208,618.27

221,779.14

1,235,848.540

208,581.62

221,909.72

1,235,644.320

208,544.95

220,631.06

\* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

1,235,440.130

208,508.49

Transaction

Market Value \*

223,659.67

Account [REDACTED] Page 29 of 32

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Notes:

\* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

1/3

Free Delivery

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 12/27/2012 MATURITY 01/03/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2533B9-9L-2)

1/10

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 01/03/2013 MATURITY 01/10/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2533F9-9D-6)

1/17

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 01/10/2013 MATURITY 01/17/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2533H9-9X-0)

1/24

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 01/17/2013 MATURITY 01/24/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2533L9-9S-6)

1/31

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 01/24/2013 MATURITY 01/31/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2533P9-9A-1)

Total Securities Transferred Out

(\$1,113,262.42)

(1,236,052.800 )

208,618.27

(225,429.55)

(1,235,848.540 )

208,581.62

(221,742.50)

(1,235,644.320 )

208,544.95

(221,873.05)  
(1,235,440.130 )  
208,508.49  
(220,594.61)  
Quantity  
Cost  
(1,235,235.970 )  
208,471.53  
Transaction  
Market Value \*  
(223,622.71)

Account [REDACTED] Page 30 of 32  
Consolidated Statement Page 33

GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 1/1/13 to 1/31/13  
Portfolio Activity Summary - Pound Sterling  
PRINCIPAL

US Dollar Value  
Current  
Transactions  
Beginning Cash Balance  
INFLOWS  
Total Inflows  
Income  
Ending Cash Balance  
Period Value

-818.77  
\$818.77  
-Year-To-Date  
Value\*

-818.77  
\$818.77  
-Local  
Value  
Current  
Period Value  
6,069.27  
511.46  
511.46  
6,580.73

INCOME  
US Dollar Value  
Current  
Transactions  
Beginning Cash Balance  
Ending Cash Balance  
Period Value

--Year-To-Date  
Value\*  
--Local  
Value  
Current  
Period Value  
0.00  
0.00

\* Year to date information is calculated on a calendar year basis.  
Your account's standing instructions use a HIGH COST method for relieving  
assets  
from your position

Year-To-Date  
Value\*  
--Year-To-Date  
Value\*  
-511.46

511.46

-Account

██████████ Page 31 of 32

Consolidated Statement Page 34

GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 1/1/13 to 1/31/13  
Portfolio Activity Detail - Pound Sterling  
INFLOWS & OUTFLOWS  
Per Unit  
Type  
Settle Date Selection Method  
1/10  
Foreign Dividend  
Description  
BLUEBAY HIGH YIELD BD FD GBP HDG-DB ISIN  
LU0222772518 @ 2.722 PER SHARE CONV. @ "CONV  
RATE" (ID: G13289-92-4)  
Quantity  
187.898  
Amount USD  
Local Value  
4.358  
2.722  
PRINCIPAL  
Amount USD  
Local Value  
818.77  
511.46  
INCOME  
Amount USD  
Local Value  
Currency  
Gain/Loss USD  
Account [REDACTED] Page 32 of 32  
Consolidated Statement Page 35

J.P. Morgan Securities LLC  
GHISLAINE MAXWELL  
383 Madison Avenue, New York, NY 10179  
ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Margin Account

J.P. Morgan Team

Kirsten Sichler

Jason Bell

Janet Young

Gina Magliocco

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

[www.jpmorganonline.com](http://www.jpmorganonline.com)

Transactions cleared and carried through J.P. Morgan Clearing Corp. - Three  
Chase Metrotech Center, Brooklyn, NY 11245-0001,  
[REDACTED]

Please see disclosures located at the end of this statement package for  
important information relating to each J.P.Morgan account(s).

[REDACTED]  
[REDACTED]  
[REDACTED]  
Table of Contents

Account Summary

Holdings

Cash & Fixed Income

Portfolio Activity

3

5

Page

2

Account [REDACTED] Page 1 of 5

Consolidated Statement Page 36

GHISLAINE MAXWELL

Account Summary

MARGIN

Cash

Asset Allocation

Cash & Fixed Income

Market Value

Market Value

0.00

\$0.00

Margin

Market Value

430.00

\$430.00

Current

Portfolio Activity

Beginning Market Value

Ending Market Value

Cost Summary

Cash & Fixed Income

Total

Period Value

430.00

\$430.00

Short

Market Value

0.00

\$0.00

Year-to-Date

Value

430.00

\$430.00

430.00

Cost

\$430.00

Investment Objectives

Speculation Permitted

Capital Appreciation

Total

430.00

\$430.00

Estimated

\$0.00

Current

Market Value Annual Income Allocation

100%

100%

ACCT. XXXXXXXXXX

For the Period 1/1/13 to 1/31/13

Yes

Yes



GHISLAINE MAXWELL

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

Market Value

430.00

ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Ending

Market Value

430.00

Change

In Value

0.00

Current

Allocation

100%

Current

Market Value/Cost

Market Value

Tax Cost

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1

Period Value

430.00

430.00

SUMMARY BY TYPE

Market

Value

430.00

% of Bond

Portfolio

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Cash & Fixed Income

Cash

Market

Value

430.00

% of Bond

Portfolio

100%

Account [REDACTED] Page 3 of 5

Consolidated Statement Page 38



GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Cash & Fixed Income Detail

Quantity

Price

Cash

US DOLLAR

1.00

430.00

Margin

430.00

430.00

Account

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

Account [REDACTED] Page 4 of 5

Consolidated Statement Page 39

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Portfolio Activity Summary

PRINCIPAL

Current

Transactions

Portfolio Activity Detail

Beginning Cash Balance

Ending Cash Balance

Period Value

430.00

\$430.00

Year-To-Date

Value

--INCOME

Current

Period

Value

0.00

\$0.00

Year-To-Date

Value

--No

Activity This Period

Account [REDACTED] Page 5 of 5

Consolidated Statement Page 40

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JPMorgan Chase Bank, N.A.  
GHISLAINE MAXWELL - OAP IHD  
270 Park Avenue, New York, NY 10017-2014  
ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Investment Management Account

J.P. Morgan Team

Kirsten Sichler

Jason Bell

Janet Young

Gina Magliocco

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

[www.jpmorganonline.com](http://www.jpmorganonline.com)

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]  
[REDACTED]  
[REDACTED]  
Table of Contents

Account Summary

Page

2

Holdings

Equity

Portfolio Activity

4

10

Account [REDACTED] Page 1 of 13

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GHISLAINE MAXWELL - OAP IHD

Account Summary

Asset Allocation

Equity

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

0.00

\$0.00

0.00

\$0.00

Ending

Market Value

994,948.63

\$994,948.63

1.08

\$994,949.71

Current

Portfolio Activity

Beginning Market Value

Contributions

Net Contributions/Withdrawals

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

Period Value

0.00

1,000,000.00

\$1,000,000.00

(5,051.37)

\$994,948.63

1.08

\$994,949.71

Change

In Value

994,948.63

\$994,948.63

1.08

\$994,949.71

Year-to-Date

Value

0.00

1,000,000.00

\$1,000,000.00

(5,051.37)

\$994,948.63

1.08

\$994,949.71

Estimated  
34,174.21  
\$34,174.21  
Current  
Annual Income Allocation  
100%  
100%  
ACCT. [REDACTED]  
For the Period 1/1/13 to 1/31/13  
Account [REDACTED] Page 2 of 13  
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GHISLAINE MAXWELL - OAP IHD

Account Summary

CONTINUED

Tax Summary

Unrealized Gain/Loss

Cost Summary

Equity

Total

1,000,000.00

Cost

\$1,000,000.00

ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

To-Date Value

(\$5,051.37)

Account [REDACTED] Page 3 of 13

Consolidated Statement Page 43

GHISLAINE MAXWELL - OAP IHD

Equity Summary

Asset Categories

EAFE Equity

Beginning

Market Value

0.00

Ending

Market Value

994,948.63

Change

In Value

994,948.63

Current

Allocation

100%

ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Current

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Dividends

Yield

Period Value

994,948.63

1,000,000.00

(5,051.37)

34,174.21

1.08

3.43%

Account [REDACTED] Page 4 of 13

Consolidated Statement Page 44

GHISLAINE MAXWELL - OAP IHD

ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Note:

P

1

indicates position adjusted for Pending Trade Activity.

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Equity Detail

Price

P

P

P

P

P

P

P

P

EAFE Equity

ABB LTD

SPONS ADR

000375-20-4 ABB

ASTRAZENECA PLC

SPONS ADR

046353-10-8 AZN

BAE SYSTEMS PLC

SPONS ADR

05523R-10-7 BAES

BAYER A G

SPONS ADR

072730-30-2 BAYR

BHP LTD

SPONS ADR

088606-10-8 BHP

BOC HONG KONG HOLDINGS LTD

SPONS ADR

096813-20-9 BHKL

BRITISH AMERICAN TOBACCO PLC

SPONS ADR

110448-10-7 BTI

103.90

340.000

35,326.00

35,393.08

(67.08)

1,432.08

4.05%

68.98

400.000

27,593.20  
27,815.96  
(222.76)  
1,121.60  
4.06%  
78.72  
320.000  
25,190.40  
25,126.50  
63.90  
716.80  
2.85%  
21.54  
98.66  
1,130.000  
200.000  
24,336.81  
19,731.20  
25,040.80  
19,912.00  
(703.99)  
(180.80)  
1,301.76  
312.00  
5.35%  
1.58%  
48.18  
350.000  
16,863.00  
17,545.50  
(682.50)  
980.00  
5.81%  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Inc.  
Accrued Div.  
Yield  
21.41  
1,280.000  
27,404.80  
27,613.70  
(208.90)  
887.04  
3.24%



GHISLAINE MAXWELL - OAP IHD  
ACCT. [REDACTED]  
For the Period 1/1/13 to 1/31/13  
Price  
EAFE Equity  
P COMPANHIA ENERGETICA DE MINAS GERAIS  
SPONS ADR  
REP NON VOTING PFD  
204409-60-1 CIG AAA /P-2  
P  
P  
P  
P  
P  
P  
P  
P

COST OF PENDING PURCHASES

DEUTSCHE POST AG  
SPONS ADR  
25157Y-20-2 DPSG  
DIAGEO P L C  
SPONS ADR NEW  
25243Q-20-5 DEO  
GDF SUEZ  
SPONS ADR  
36160B-10-5 GDFZ  
GLAXOSMITHKLINE PLC  
SPONS ADR  
37733W-10-5 GSK  
HSBC HOLDINGS PLC  
SPONS ADR  
404280-40-6 HBC  
ISRAEL CHEMICALS LTD  
ADR  
465036-20-0 ISCH  
LUKOIL OAO SPONS ADR  
MTN GROUP LTD  
677862-10-4 LUKO  
19.60  
SPONS ADR  
62474M-10-8 MTNO

Account [REDACTED] Page 6 of 13  
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1,660.000  
32,537.66  
32,502.80  
34.86  
2,040.14  
6.27%  
67.30

410.000  
27,594.23  
27,482.30  
111.93  
1,252.14  
4.54%  
13.22  
1,850.000  
24,462.55  
24,845.50  
(382.95)  
1,137.75  
4.65%  
56.86  
570.000  
32,410.20  
32,437.90  
(27.70)  
1,168.50  
3.61%  
45.61  
330.000  
15,051.30  
15,052.95  
(1.65)  
765.27  
5.08%  
20.51  
720.000  
14,767.20  
14,960.95  
(193.75)  
1,517.76  
10.28%  
119.30  
80.000  
9,544.00  
9,456.78  
87.22  
229.76  
2.41%  
1.00  
23.48  
(965,805.920)  
1,260.000  
(965,805.92)  
29,579.76  
(965,805.92)  
30,114.00  
(534.24)  
10.98

890.000  
9,772.20  
9,975.21  
(203.01)  
525.99  
5.38%  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Inc.  
Accrued Div.  
Yield

GHISLAINE MAXWELL - OAP IHD  
ACCT. ██████████  
For the Period 1/1/13 to 1/31/13  
Price  
EAFE Equity  
P MUENCHENER RUECKVERSICHERUNGS -  
GESELLSCHAFT  
ADR  
P  
P  
P  
P  
P  
P  
P  
P  
626188-10-6 MURG  
NESTLE S A  
SPONS ADR REPSTG REG SH  
641069-40-6 NSRG  
NOVARTIS A G  
ADR  
66987V-10-9 NVS  
PETROCHINA CO LTD  
ADR  
71646E-10-0 PTR  
RECKITT BENCKISER GROUP PLC  
SPONSORED ADR  
756255-20-4 RBGL  
ROCHE HOLDINGS LTD  
SPONS ADR  
771195-10-4 RHHB  
ROYAL DUTCH SHELL PLC  
ADR  
780259-10-7 RDS  
SANOFI  
80105N-10-5 SNY  
SIEMENS A G  
SPONS ADR  
826197-50-1 SI  
72.72  
48.68  
109.52  
300.000  
720.000  
250.000  
21,816.00  
35,049.60  
27,380.00  
22,375.65  
35,103.55

27,527.50  
(559.65)  
(53.95)  
(147.50)  
1,032.00  
1,030.32  
735.50  
4.73%  
2.94%  
2.69%  
55.30  
550.000  
30,414.45  
30,299.50  
114.95  
848.10  
2.79%  
13.32  
2,590.000  
34,509.16  
35,120.40  
(611.24)  
924.63  
2.68%  
142.20  
170.000  
24,174.00  
24,512.78  
(338.78)  
760.41  
3.15%  
67.82  
590.000  
40,013.80  
40,047.61  
(33.81)  
1,242.54  
3.11%  
70.18  
570.000  
40,003.17  
39,991.20  
11.97  
1,010.04  
2.52%  
18.37  
1,650.000  
30,315.45  
30,162.00  
153.45  
904.20

2.98%  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Inc.  
Accrued Div.  
Yield  
Account [REDACTED] Page 7 of 13  
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GHISLAINE MAXWELL - OAP IHD  
ACCT. ██████████  
For the Period 1/1/13 to 1/31/13  
Price  
EAFE Equity  
P  
P  
P  
P  
SINGAPORE TELECOMMUNICATIONS LTD  
ADR  
82929R-30-4 SGAP  
SMITHS GROUP PLC  
SPONSORED ADR  
83238P-20-3 SMGZ  
STATOIL ASA  
SPONS ADR  
85771P-10-2 STO  
TAIWAN SEMICONDUCTOR MANUFACTURING  
CO LTD  
SPONS ADR  
874039-10-0 TSM  
P  
P  
P  
P  
P  
TELEKOMUNIKASI IND  
SPONS ADR  
715684-10-6 TLK  
TESCO PLC  
SPONS ADR  
881575-30-2 TSCD  
TOTAL SA  
SPONS ADR  
89151E-10-9 TOT  
UNILEVER N V  
904784-70-9 UN  
UNITED OVERSEAS BANK LTD  
SPONS ADR  
911271-30-2 UOVE  
US DOLLAR  
40.48  
30.46  
1.00  
930.000  
900.000  
1,000,000.000  
37,646.40  
27,411.30  
1,000,000.00

37,665.00  
27,486.00  
1,000,000.00  
Account [REDACTED] Page 8 of 13  
(18.60)  
(74.70)  
1,224.81  
857.70  
100.00  
1.08  
3.25%  
3.13%  
0.01%  
Consolidated Statement Page 48  
16.95  
54.29  
870.000  
460.000  
14,743.89  
24,973.40  
14,972.70  
25,092.04  
(228.81)  
(118.64)  
573.33  
1,156.44  
3.89%  
4.63%  
39.65  
760.000  
30,134.00  
29,976.22  
157.78  
833.72  
2.77%  
17.74  
1,130.000  
20,046.20  
20,114.00  
(67.80)  
449.74  
2.24%  
26.53  
950.000  
25,203.50  
25,206.64  
(3.14)  
858.80  
3.41%  
28.28  
19.45

1,060.000  
1,270.000  
29,972.56  
24,705.31  
29,955.60  
25,082.50  
16.96  
(377.19)  
1,308.04  
746.76  
4.36%  
3.02%  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Inc.  
Accrued Div.  
Yield

GHISLAINE MAXWELL - OAP IHD  
ACCT. [REDACTED]  
For the Period 1/1/13 to 1/31/13  
Price  
EAFE Equity  
P  
P  
VODAFONE GROUP PLC  
SPONS ADR  
92857W-20-9 VOD  
ZURICH INSURANCE GROUP-ADR  
Total EAFE Equity  
989825-10-4 ZURV  
\$994,948.63  
\$1,000,000.00  
(\$5,051.37)  
\$34,174.21  
\$1.08  
3.43%  
28.75  
1,050.000  
30,190.65  
29,893.50  
297.15  
27.32  
1,460.000  
39,887.20  
39,945.60  
(58.40)  
2,188.54  
5.49%  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Inc.  
Accrued Div.  
Yield  
Account [REDACTED] Page 9 of 13  
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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Total Inflows

Contributions

Ending Cash Balance

Current

Period Value

0.00

1,000,000.00

\$1,000,000.00

\$1,000,000.00

\* Year to date information is calculated on a calendar year basis.

Your account's standing instructions use a HIGH COST method for relieving assets

from your position

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

1/28

Misc Receipt

Description

FUNDS TRANSFERRED FROM PRN A/C# [REDACTED] TO

PRN A/C# [REDACTED] AS REQUESTED

Quantity

Cost

Per Unit

Amount

Amount

1,000,000.00

Year-To-Date

Value\*

-1,000,000.00

\$1,000,000.00

-Account

[REDACTED] Page 10 of 13

Consolidated Statement Page 50

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

TRADE ACTIVITY

Trade Date

Est. Settle Date Type

Pending Securities Purchased

1/29

Purchase

2/1

1/29

2/1

1/29

2/1

1/29

2/1

1/29

2/1

1/29

2/1

1/29

2/1

1/29

2/1

1/29

2/1

1/29

2/1

1/29

2/1

1/29

2/1

1/29

2/1

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Purchase

Description

ABB LTD SPONS ADR (ID: 000375-20-4)

ASTRAZENECA PLC SPONS ADR (ID: 046353-10-8)

BAE SYSTEMS PLC SPONS ADR (ID: 05523R-10-7)

BAYER A G SPONS ADR (ID: 072730-30-2)  
BHP LTD SPONS ADR (ID: 088606-10-8)  
BOC HONG KONG HOLDINGS LTD SPONS ADR  
(ID: 096813-20-9)  
BRITISH AMERICAN TOBACCO PLC SPONS ADR  
(ID: 110448-10-7)  
COMPANHIA ENERGETICA DE MINAS GERAIS SPONS ADR  
REP NON VOTING PFD (ID: 204409-60-1)  
DEUTSCHE POST AG SPONS ADR (ID: 25157Y-20-2)  
DIAGEO P L C SPONS ADR NEW (ID: 25243Q-20-5)  
GDF SUEZ SPONS ADR (ID: 36160B-10-5)  
GLAXOSMITHKLINE PLC SPONS ADR (ID: 37733W-10-5)  
HSBC HOLDINGS PLC SPONS ADR (ID: 404280-40-6)

Quantity

1,280.000

350.000

1,130.000

200.000

320.000

400.000

340.000

890.000

1,260.000

80.000

720.000

330.000

570.000

Per Unit

Amount

21.533

50.09

22.12

99.52

78.48

69.499

104.057

11.178

23.86

118.169

20.697

45.575

56.868

Market Cost

(27,613.70)

(17,545.50)

(25,040.80)

(19,912.00)

(25,126.50)

(27,815.96)

(35,393.08)

(9,975.21)

(30,114.00)  
(9,456.78)  
(14,960.95)  
(15,052.95)  
(32,437.90)

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Trade Date

Est. Settle Date Type

Pending Securities Purchased

1/29

Purchase

2/1

1/29

2/1

1/29

2/1

1/29

2/1

1/29

2/1

1/29

2/1

1/29

2/1

1/29

2/1

1/29

2/1

1/29

2/1

1/29

2/1

1/29

2/1

1/29

2/1

Purchase

Description

ISRAEL CHEMICALS LTD ADR (ID: 465036-20-0)

MTN GROUP LTD SPONS ADR (ID: 62474M-10-8)

MUENCHENER RUECKVERSICHERUNGS - GESELLSCHAFT  
ADR (ID: 626188-10-6)

NESTLE S A SPONS ADR REPSTG REG SH  
(ID: 641069-40-6)

NOVARTIS A G ADR (ID: 66987V-10-9)  
LUKOIL OAO SPONS ADR (ID: 677862-10-4)  
TELEKOMUNIKASI IND SPONS ADR (ID: 715684-10-6)  
PETROCHINA CO LTD ADR (ID: 71646E-10-0)  
RECKITT BENCKISER GROUP PLC SPONSORED ADR  
(ID: 756255-20-4)  
ROCHE HOLDINGS LTD SPONS ADR (ID: 771195-10-4)  
ROYAL DUTCH SHELL PLC ADR (ID: 780259-10-7)  
SANOFI (ID: 80105N-10-5)  
SIEMENS A G SPONS ADR (ID: 826197-50-1)

Quantity

1,850.000

1,660.000

1,650.000

570.000

590.000

410.000

760.000

170.000

2,590.000

550.000

300.000

720.000

250.000

Per Unit

Amount

13.40

19.55

18.25

70.12

67.837

66.99

39.402

144.152

13.53

55.05

74.545

48.617

110.07

Market Cost

(24,845.50)

(32,502.80)

(30,162.00)

(39,991.20)

(40,047.61)

(27,482.30)

(29,976.22)

(24,512.78)

(35,120.40)

(30,299.50)

(22,375.65)

(35,103.55)

(27,527.50)

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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Trade Date

Est. Settle Date Type

Pending Securities Purchased

1/29

Purchase

2/1

1/29

2/1

1/29

2/1

1/29

2/1

1/29

2/1

1/29

2/1

1/29

2/1

1/29

2/1

1/29

2/1

1/29

2/1

Total Pending Securities Purchased

(\$965,805.92)

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Description

SINGAPORE TELECOMMUNICATIONS LTD ADR

(ID: 82929R-30-4)

SMITHS GROUP PLC SPONSORED ADR (ID: 83238P-20-3)

STATOIL ASA SPONS ADR (ID: 85771P-10-2)

TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD SPONS

ADR (ID: 874039-10-0)

TESCO PLC SPONS ADR (ID: 881575-30-2)

TOTAL SA SPONS ADR (ID: 89151E-10-9)

UNILEVER N V (ID: 904784-70-9)

UNITED OVERSEAS BANK LTD SPONS ADR

(ID: 911271-30-2)

VODAFONE GROUP PLC SPONS ADR (ID: 92857W-20-9)

ZURICH INSURANCE GROUP-ADR (ID: 989825-10-4)

Quantity  
1,060.000  
1,270.000  
950.000  
1,130.000  
870.000  
460.000  
930.000  
900.000  
1,460.000  
1,050.000  
Per Unit  
Amount  
28.22  
19.72  
26.493  
17.77  
17.18  
54.399  
40.46  
30.50  
27.32  
28.43  
Market Cost  
(29,955.60)  
(25,082.50)  
(25,206.64)  
(20,114.00)  
(14,972.70)  
(25,092.04)  
(37,665.00)  
(27,486.00)  
(39,945.60)  
(29,893.50)

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JPMorgan Chase Bank, N.A.  
GHISLAINE MAXWELL - TAP DYS  
270 Park Avenue, New York, NY 10017-2014  
ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Investment Management Account

J.P. Morgan Team

Kirsten Sichler

Jason Bell

Janet Young

Gina Magliocco

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

[www.jpmorganonline.com](http://www.jpmorganonline.com)

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]  
[REDACTED]  
[REDACTED]  
Table of Contents

Account Summary

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Holdings

Equity

Alternative Assets

Cash & Fixed Income

Portfolio Activity

4

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GHISLAINE MAXWELL - TAP DYS

Account Summary

Asset Allocation

Equity

Alternative Assets

Cash & Fixed Income

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

0.00

0.00

0.00

\$0.00

0.00

\$0.00

Ending

Market Value

68,129.41

248,138.40

681,733.77

\$998,001.58

78.06

\$998,079.64

Current

Portfolio Activity

Beginning Market Value

Contributions

Net Contributions/Withdrawals

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

Period Value

0.00

1,000,000.00

\$1,000,000.00

(1,998.42)

\$998,001.58

78.06

\$998,079.64

Change

In Value

68,129.41

248,138.40

681,733.77

\$998,001.58

78.06

\$998,079.64

Alternative

Assets

Year-to-Date

Value

0.00

1,000,000.00

\$1,000,000.00

(1,998.42)

\$998,001.58

78.06

\$998,079.64

Estimated

1,825.73

6,657.59

31,731.15

Current

Annual Income Allocation

7%

25%

68%

\$40,214.47

100%

Equity

Cash &

Fixed Income

Asset Allocation

ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

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GHISLAINE MAXWELL - TAP DYS

Account Summary

CONTINUED

Tax Summary

Unrealized Gain/Loss

Cost Summary

Equity

Cash & Fixed Income

Total

683,745.00

Cost

67,964.00

\$751,709.00

ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

To-Date Value

(\$1,998.42)

Account [REDACTED] Page 3 of 14

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GHISLAINE MAXWELL - TAP DYS

Equity Summary

Asset Categories

US Large Cap Equity

Asia ex-Japan Equity

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Yield

Beginning

Market Value

0.00

0.00

\$0.00

Ending

Market Value

35,847.64

32,281.77

\$68,129.41

Current

Period Value

68,129.41

67,964.00

165.41

1,825.73

2.67%

Equity as a percentage of your portfolio - 7 %

Asia ex-Japan Equity

Change

In Value

35,847.64

32,281.77

\$68,129.41

Current

Allocation

4%

3%

7%

US Large Cap Equity

Asset Categories

ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

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GHISLAINE MAXWELL - TAP DYS  
ACCT. [REDACTED]  
For the Period 1/1/13 to 1/31/13  
Equity Detail  
Price  
US Large Cap Equity  
SIT MUT FDS INC DIVIDEND GRWTH  
82980D-70-7 SDVG X  
Asia ex-Japan Equity  
MATTHEWS ASIA DIVIDEND INSTITUTIONAL  
577130-75-0 MIPI X  
14.97  
2,156.431  
32,281.77  
32,023.00  
258.77  
1,239.94  
3.84%  
15.36  
2,333.831  
35,847.64  
35,941.00  
(93.36)  
585.79  
1.63%  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Inc.  
Accrued Div.  
Yield  
Account [REDACTED] Page 5 of 14  
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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Alternative Assets Summary

Beginning

Asset Categories

Hedge Funds

Real Estate & Infrastructure

Total Value

Estimated Value

0.00

0.00

\$0.00

Ending

Estimated Value

197,492.16

50,646.24

\$248,138.40

Change

In Value

197,492.16

50,646.24

\$248,138.40

Current

Allocation

20%

5%

25%

Real Estate & Infrastructure

Hedge Funds

Asset Categories

Alternative Assets Detail

Alternative Assets as a percentage of your portfolio - 25 %

Price

Hedge Funds

EATON VANCE FLOATING-RATE

ADVANTAGE I

277923-63-7 EIFA X

GATEWAY FUND - Y

367829-88-4 GTEY X

27.60

2,379.985

65,687.59

65,640.00

11.18

7,524.150

84,120.00

84,120.00

Quantity

Estimated

Value

Cost



GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Price

Hedge Funds

HSBC FDS

TOTAL RETURN I

40428X-15-6 HTRI X

Total Hedge Funds

\$197,492.16

\$197,584.00

Quantity

Estimated

Value

Cost

10.26

4,647.619

47,684.57

47,824.00

Quantity/Original

Commitment Amount

Real Estate & Infrastructure

MANAGED PORTFOLIO SER

TORTOIS INSTL

56166Y-40-4 TORI X

Cost/Net Capital Called

Since Inception

Net Distributions

Since Inception

Estimated

Value

Est. Annual Inc.

Accrued Div.

Yield

2,296.77

30,616.00

30,616.00

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Quantity/Original

Commitment Amount

Real Estate & Infrastructure

NUVEEN INVT FDS INC

GLOB INFRAST I

670690-51-0 FGIY X

Total Real Estate & Infrastructure

\$50,707.00

\$0.00

\$50,646.24

\$435.43

Amounts shown above under "Estimated Value" for private equity funds are estimates based on the latest fund values received from each underlying fund, which value may be as of a date (underlying fund value date) prior to the period covered by this statement. The values provided by the underlying fund have been adjusted for any cash flows between your account and such fund that have occurred subsequent to the underlying fund value date to derive the "Estimated Value". Therefore, such "Estimated Value" may not reflect the value of your interest shown on any fund's actual books and records as of the date of this statement. For additional information, please contact your J.P. Morgan representative.

For private equity funds, Estimated Values are based on estimates provided by the underlying funds that are generally presented on a US GAAP basis, which records investments at fair value, or "marked-to-market". Most of these underlying funds also present their audited financial statements on a US GAAP basis (i.e., "marked-to-market"). However, some of these underlying funds present their audited financial statements using the Income Tax Basis of Accounting, which records investments "at cost" based on the accrual basis of accounting for Federal income taxes. Where the underlying fund provides periodic estimates on a "marked-to-market" basis but reflects investments "at cost" in its audited financial statements, the marked-to-market Estimated Value shown herein for a private equity fund may be materially different from the value reflected on such fund's audited financial statements (which are also based on the audited financial statements of the underlying fund).

Cost/Net Capital Called

Since Inception

Net Distributions

Since Inception

Estimated

Value

Est. Annual Inc.

Accrued Div.

Yield

2,025.30

20,091.00

20,030.24

435.43

2.17%

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

US Fixed Income

Non-US Fixed Income

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Interest

Yield

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1

Market Value

0.00

0.00

0.00

\$0.00

Ending

Market Value

28,198.00

623,410.37

30,125.40

\$681,733.77

Current

Period Value

681,733.77

683,745.00

(2,011.23)

31,731.15

66.83

4.65%

Cash & Fixed Income as a percentage of your portfolio - 68 %

SUMMARY BY TYPE

Market

Value

681,733.77

% of Bond

Portfolio

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Cash & Fixed Income

Cash

International Bonds

Mutual Funds

Other

Total Value

Market

Value

28,198.00

113,435.35

480,575.36

59,525.06

\$681,733.77

% of Bond

Portfolio

4%

16%

72%

8%

100%

US Fixed Income

Change

In Value

28,198.00

623,410.37

30,125.40

\$681,733.77

Current

Allocation

3%

62%

3%

68%

Non-US Fixed Income

Cash

Asset Categories

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Note:

1

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

1.00

28,198.00

28,198.00

28,198.00

2.81

0.48

US Fixed Income

COHEN & STEERS PREFERRED SECURITIES

AND INCOME FUND INC

19248X-30-7

HARBOR HIGH YIELD BOND FUND - INS

HARBOR CONVERTIBLE SEC FD-IS

JPM TR I MLT SC INCM SL

JPM STR INC OPP FD

411511-55-3

10.24

411512-73-4

10.24

48121A-29-0

11.92

FUND 3844

4812A4-35-1

PRUDENTIAL TOTAL RETURN BD F

CL Z

74440B-40-5

14.57

5,318.18

77,485.82

77,539.00

(53.18)

3,015.90

35,949.53

36,040.00

(90.47)

1,236.51

66.35

2,839.90

3.44%

3.67%

9,246.10  
94,680.07  
94,865.00  
(184.93)  
3,689.19  
3.90%  
1,872.78  
19,177.27  
19,196.00  
(18.73)  
501.90  
2.62%  
11.19  
4,547.24  
50,883.64  
51,111.00  
(227.36)  
2,960.25  
5.82%  
13.44  
3,002.07  
40,347.79  
40,648.00  
(300.21)  
2,557.76  
6.34%  
0.01% <sup>1</sup>  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Income  
Accrued Interest  
Yield

Account [REDACTED] Page 10 of 14  
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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Price

US Fixed Income

DOUBLELINE FDS TR

TTL RTN BD I

258620-10-3

RIDGEWORTH FDS

SEIX FLRT HI I

76628T-67-8

T. ROWE PRICE INSTL INCOME FDS FLTG

RATE FD F

77958B-10-5

BLACKROCK HIGH YIELD BOND

Total US Fixed Income

091929-63-8

\$623,410.37

\$625,199.00

(\$1,788.63)

\$30,610.39

\$66.35

Non-US Fixed Income

PIMCO EMERGING MARKETS CORPORATE

BOND FUND

72201W-85-7

12.18

2,473.35

30,125.40

30,348.00

(222.60)

1,117.95

3.71%

4.91%

8.16

6,023.17

49,149.08

49,390.00

(240.92)

3,011.58

6.13%

10.25

5,394.06

55,289.12

55,397.00

(107.88)

2,653.87

4.80%

9.03

6,502.66

58,718.97

58,784.00

(65.03)  
2,848.16  
4.85%  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Income  
Accrued Interest  
Yield  
11.34  
12,498.16  
141,729.08  
142,229.00  
(499.92)  
8,311.27  
5.86%

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Total Inflows

Contributions

TRADE ACTIVITY

Total Trade Activity

Settled Securities Purchased

Ending Cash Balance

Current

Period Value

0.00

1,000,000.00

\$1,000,000.00

(971,802.00)

(\$971,802.00)

\$28,198.00

\* Year to date information is calculated on a calendar year basis.

Your account's standing instructions use a HIGH COST method for relieving assets

from your position

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

1/28

Misc Receipt

Description

FUNDS TRANSFERRED FROM PRN A/C# [REDACTED] TO  
PRN A/C# [REDACTED] AS REQUESTED

Quantity

Cost

Per Unit

Amount

Amount

1,000,000.00

Year-To-Date

Value\*

-1,000,000.00

\$1,000,000.00

(971,802.00)

(\$971,802.00)

-Account

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

TRADE ACTIVITY

Trade Date

Settle Date

Type

Settled Securities Purchased

1/29

Purchase

1/30

1/29

1/30

1/29

1/30

1/29

1/30

1/29

1/30

1/29

1/30

1/29

1/30

1/29

1/30

1/29

1/30

1/29

1/30

1/29

1/30

1/29

1/30

Purchase

Description

JPM STR INC OPP FD FUND 3844 JP MORGAN CHASE

BANK AS SHAREHOLDER SERVICING AGENT @ 11.95

(ID: 4812A4-35-1)

JPM TR I MLT SC INCM SL JP MORGAN CHASE BANK AS

SHAREHOLDER SERVICING AGENT @ 10.26

(ID: 48121A-29-0)

PIMCO EMERGING MARKETS CORPORATE BOND FUND

(ID: 72201W-85-7)  
SIT MUT FDS INC DIVIDEND GRWTH (ID: 82980D-70-7)  
PRUDENTIAL TOTAL RETURN BD F CL Z  
(ID: 74440B-40-5)  
MANAGED PORTFOLIO SER TORTOIS INSTL  
(ID: 56166Y-40-4)  
RIDGEWORTH FDS SEIX FLRT HI I (ID: 76628T-67-8)  
GATEWAY FUND - Y (ID: 367829-88-4)  
NUVEEN INVT FDS INC GLOB INFRAST I  
(ID: 670690-51-0)  
MATTHEWS ASIA DIVIDEND INSTITUTIONAL  
(ID: 577130-75-0)  
EATON VANCE FLOATING-RATE ADVANTAGE I  
(ID: 277923-63-7)  
T. ROWE PRICE INSTL INCOME FDS FLTG RATE FD F  
(ID: 77958B-10-5)  
2,473.350  
2,333.831  
5,318.176  
2,296.774  
6,502.655  
2,379.985  
2,025.302  
2,156.431  
7,524.150  
5,394.060  
12.27  
15.40  
14.58  
13.33  
9.04  
27.58  
9.92  
14.85  
11.18  
10.27  
(30,348.00)  
(35,941.00)  
(77,539.00)  
(30,616.00)  
(58,784.00)  
(65,640.00)  
(20,091.00)  
(32,023.00)  
(84,120.00)  
(55,397.00)  
9,246.101  
10.26  
(94,865.00)  
Quantity  
3,015.900

Per Unit

Amount

11.95

Market Cost

(36,040.00)

Account [REDACTED] Page 13 of 14

Consolidated Statement Page 66

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Trade Date

Settle Date

Type

Settled Securities Purchased

1/29

Purchase

1/30

1/29

1/30

1/29

1/30

1/29

1/30

1/29

1/30

1/29

1/30

Total Settled Securities Purchased

(\$971,802.00)

Purchase

Purchase

Purchase

Purchase

Purchase

Description

BLACKROCK HIGH YIELD BOND (ID: 091929-63-8)

COHEN & STEERS PREFERRED SECURITIES AND INCOME

FUND INC (ID: 19248X-30-7)

HSBC FDS TOTAL RETURN I (ID: 40428X-15-6)

HARBOR HIGH YIELD BOND FUND - INS

(ID: 411511-55-3)

HARBOR CONVERTIBLE SEC FD-IS (ID: 411512-73-4)

DOUBLELINE FDS TR TTL RTN BD I (ID: 258620-10-3)

Quantity

6,023.171

3,002.068

4,647.619

4,547.242

1,872.780

12,498.155

Per Unit

Amount

8.20

13.54

10.29

11.24

10.25

11.38

Market Cost

(49,390.00)

(40,648.00)

(47,824.00)

(51,111.00)

(19,196.00)

(142,229.00)

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JPMorgan Chase Bank, N.A.  
GHISLAINE MAXWELL - TAP ARFI  
270 Park Avenue, New York, NY 10017-2014  
ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Investment Management Account

J.P. Morgan Team

Kirsten Sichler

Jason Bell

Janet Young

Gina Magliocco

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

[www.jpmorganonline.com](http://www.jpmorganonline.com)

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]  
[REDACTED]  
[REDACTED]  
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Holdings

Alternative Assets

Cash & Fixed Income

Portfolio Activity

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GHISLAINE MAXWELL - TAP ARFI

Account Summary

Asset Allocation

Alternative Assets

Cash & Fixed Income

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

0.00

0.00

\$0.00

0.00

\$0.00

Ending

Market Value

347,005.48

651,150.32

\$998,155.80

284.76

\$998,440.56

Current

Portfolio Activity

Beginning Market Value

Contributions

Net Contributions/Withdrawals

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

Period Value

0.00

1,000,000.00

\$1,000,000.00

(1,844.20)

\$998,155.80

284.76

\$998,440.56

Change

In Value

347,005.48

651,150.32

\$998,155.80

284.76

\$998,440.56

Year-to-Date

Value

0.00

1,000,000.00

\$1,000,000.00

(1,844.20)  
\$998,155.80  
284.76  
\$998,440.56  
Alternative  
Assets  
Estimated  
6,436.61  
22,013.49  
Current  
Annual Income Allocation  
35%  
65%  
\$28,450.10  
100%  
Cash &  
Fixed Income  
Asset Allocation  
ACCT. [REDACTED]  
For the Period 1/1/13 to 1/31/13  
Account [REDACTED] Page 2 of 8  
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GHISLAINE MAXWELL - TAP ARFI

Account Summary

CONTINUED

Tax Summary

Unrealized Gain/Loss

Cost Summary

Cash & Fixed Income

Total

652,921.00

Cost

\$652,921.00

ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

To-Date Value

(\$1,844.20)

Account [REDACTED] Page 3 of 8

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GHISLAINE MAXWELL - TAP ARFI  
Alternative Assets Summary  
Beginning  
Asset Categories  
Hedge Funds  
Estimated Value  
0.00  
Alternative Assets Detail  
Price  
Hedge Funds  
HSBC FDS  
TOTAL RETURN I  
40428X-15-6 HTRI X  
PIMCO FDS  
UNCONSTR BD  
72201M-45-3 PUCP X  
PRUDENTIAL INVT PORTFOLIOS 9  
PRU ABRTN FD Z  
74441J-82-9 PADZ X  
Total Hedge Funds  
\$347,005.48  
\$347,079.00  
10.04  
9,986.070  
100,260.14  
100,360.00  
11.53  
17,107.465  
197,249.07  
197,078.00  
Quantity  
Estimated  
Value  
Cost  
ACCT. [REDACTED]  
For the Period 1/1/13 to 1/31/13  
Ending  
Estimated Value  
347,005.48  
Change  
In Value  
347,005.48  
Current  
Allocation  
35%  
10.26  
4,824.198  
49,496.27  
49,641.00  
Account [REDACTED] Page 4 of 8  
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GHISLAINE MAXWELL - TAP ARFI

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

US Fixed Income

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Interest

Yield

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1

Market Value

0.00

0.00

\$0.00

ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Ending

Market Value

19,838.00

631,312.32

\$651,150.32

Current

Period Value

651,150.32

652,921.00

(1,770.68)

22,013.49

284.76

3.38%

Cash & Fixed Income as a percentage of your portfolio - 65 %

SUMMARY BY TYPE

Market

Value

651,150.32

% of Bond

Portfolio

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Cash & Fixed Income

Cash

International Bonds

Mutual Funds

Total Value

Market

Value

19,838.00

151,119.66

480,192.66

\$651,150.32

% of Bond

Portfolio

3%

23%

74%

100%

US Fixed Income

Change

In Value

19,838.00

631,312.32

\$651,150.32

Current

Allocation

2%

63%

65%

Cash

Asset Categories

Account [REDACTED] Page 5 of 8

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GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Note:

1

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

1.00

19,838.00

19,838.00

19,838.00

1.98

0.52

US Fixed Income

JPM TR I MLT SC INCM SL

JPM STR INC OPP FD

48121A-29-0

11.92

FUND 3844

4812A4-35-1

DOUBLELINE FDS TR

TTL RTN BD I

258620-10-3

EATON VANCE FLOATING RATE I

RBC FDS TR

277911-49-1

10.13

BLUBAY ABRTR I

74926P-34-0

Total US Fixed Income

\$631,312.32

\$633,083.00

(\$1,770.68)

\$22,011.51

\$284.24

3.49%

12,911.80

130,796.52

131,313.00

(516.48)

9.17

5,463.94

50,104.36

50,159.00

(54.64)

2,218.36

5.33  
4.43%  
11.34  
13,146.13  
149,077.16  
149,603.00  
(525.84)  
12,677.82  
151,119.66  
151,500.00  
(380.34)  
5,197.90  
278.91  
8,742.17  
3.44%  
5.86%  
10.24  
14,669.40  
150,214.62  
150,508.00  
(293.38)  
5,853.08  
3.90%  
0.01% <sup>1</sup>  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Income  
Accrued Interest  
Yield

GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Total Inflows

Contributions

TRADE ACTIVITY

Total Trade Activity

Settled Securities Purchased

Ending Cash Balance

Current

Period Value

0.00

1,000,000.00

\$1,000,000.00

(980,162.00)

(\$980,162.00)

\$19,838.00

\* Year to date information is calculated on a calendar year basis.

Your account's standing instructions use a HIGH COST method for relieving assets

from your position

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

1/28

Misc Receipt

Description

FUNDS TRANSFERRED FROM PRN A/C# [REDACTED] TO PRN A/C# [REDACTED] AS REQUESTED

Quantity

Cost

Per Unit

Amount

Amount

1,000,000.00

Year-To-Date

Value\*

-1,000,000.00

\$1,000,000.00

(980,162.00)

(\$980,162.00)

-Account

GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 1/1/13 to 1/31/13

TRADE ACTIVITY

Trade Date

Settle Date

Type

Settled Securities Purchased

1/29

Purchase

1/30

1/29

1/30

1/29

1/30

1/29

1/30

1/29

1/30

1/29

1/30

1/29

1/30

Total Settled Securities Purchased

(\$980,162.00)

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Description

JPM STR INC OPP FD FUND 3844 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 11.95 (ID: 4812A4-35-1)

JPM TR I MLT SC INCM SL JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 10.26 (ID: 48121A-29-0)

PIMCO FDS UNCONSTR BD (ID: 72201M-45-3)

PRUDENTIAL INVT PORTFOLIOS 9 PRU ABRTN FD Z (ID: 74441J-82-9)

RBC FDS TR BLUBAY ABRTR I (ID: 74926P-34-0)

EATON VANCE FLOATING RATE I (ID: 277911-49-1)

HSBC FDS TOTAL RETURN I (ID: 40428X-15-6)

DOUBLELINE FDS TR TTL RTN BD I (ID: 258620-10-3)

17,107.465

9,986.070

12,911.799

5,463.943

4,824.198  
13,146.134  
11.52  
10.05  
10.17  
9.18  
10.29  
11.38  
(197,078.00)  
(100,360.00)  
(131,313.00)  
(50,159.00)  
(49,641.00)  
(149,603.00)  
14,669.396  
10.26  
(150,508.00)  
Quantity  
12,677.824  
Per Unit  
Amount  
11.95  
Market Cost  
(151,500.00)  
Account [REDACTED] Page 8 of 8  
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For the Period 1/1/13 to 1/31/13

For your convenience we have combined statement(s) for activity you conduct through J.P. Morgan in one package. Below are important disclosures relating to these different accounts.

These statements may relate to various account types. Some of the disclosures are applicable to all of your accounts. For ease of reference the disclosures applicable to a particular type of account have been grouped together by descriptive headers.

**IMPORTANT GENERAL INFORMATION APPLICABLE TO ALL OF YOUR ACCOUNT(S)**

**Important Information about Pricing, Valuations, Estimated Annual Income, and Estimated Yield**

Market value information (including without limitation, prices, exchange rates, accrued income and bond ratings) furnished herein, some of which has been provided by pricing sources that J.P. Morgan believes to be reliable, is not guaranteed for accuracy but provided for informational purposes and is furnished for the exclusive use of the client. The current price is the value of the financial asset share, unit or contract as priced at the close of the market on the last day of the statement period or the last available price. All values provided for structured yield deposits (for example, JPMorgan London Time Deposits) reflect the original deposit amount only. The value for Real Estate, Mineral Interests and Miscellaneous Assets may not reflect the most current value of the asset.

Important information regarding Auction Rate Securities (ARS). ARS are debt or preferred securities with an interest or dividend rate reset periodically in an auction. Although there may be daily, weekly and monthly resets, there is no guarantee that there will be liquidity. If there are not enough bids at an auction to redeem the securities available for sale, the result may be a failed auction. In the event of a failed auction, there is no assurance that a secondary market will develop or that the security will trade at par or any other price reflected on statements and online. Accordingly, investors should not rely on pricing information appearing in their statements or online with respect to ARS. When J.P. Morgan is unable to obtain a price from an internal or outside source for a particular ARS, the price column on your statement will indicate "unpriced".

Valuations of over-the-counter derivative transactions, including certain derivatives-related deposit products, have been prepared on a mid-market basis. These valuations are sourced from the various issuers of the securities or they are sourced from a third party valuation provider. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the models or estimates used in deriving the valuations, (2) any errors or omissions in computing or disseminating the valuations, and (3) any uses to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use. Please refer to the trade confirmation for details of each transaction.

Certain assets, including but not limited to, pooled and private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of such asset may have been provided to us by third

parties who may or may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified.

In cases where we are unable to obtain a current market value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced". Although such securities may have value, please note that the value of a security indicated as "unpriced" will not be included in your overall current market value as reflected on the statement.

J.P. Morgan makes no representation, warranty or guarantee, express or implied, that any quoted value represents the actual terms at which securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated. Such values may only be indicative.

When we are unable to obtain a current value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced".

If a partial call is made with respect to an issue of securities included in your Accounts we will allocate the call by a method we deem fair and equitable.

To the extent applicable, please note the following regarding estimated annual income (EAI) and estimated yield (EY): EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

Offshore Deposits - London and Nassau

Disclosures Page 1 of 5

For the Period 1/1/13 to 1/31/13

Deposits in Foreign Branches are not insured by the FDIC or any other Agency of the Federal Government; amounts in such foreign accounts do not have the benefit of any domestic preference applicable to U.S. Banks; certain Foreign accounts are considered reportable to the Internal Revenue Service on a Report of Foreign Bank and Financial Accounts (TD F 90-22.1).

Bank products and services are offered through JPMorgan Chase Bank, N.A. ("JPMCB") and its banking affiliates. Securities are offered by J.P.Morgan Securities LLC ("JPMS") and, to the extent noted below, cleared through J.P. Morgan Clearing Corp. ("JPMCC"). Neither JPMS, nor JPMCC is a bank and are each separate legal entities from its bank or thrift affiliates.

Investment Products: Not FDIC Insured

-No Bank Guarantee -May Lose Value

Fund manager disclosure information available upon request

If you have an investment account that is managed by an SEC-Registered Investment Advisor, J.P. Morgan will provide a copy of the advisor's Form ADV II or brochure upon written request.

These statements are not official documents for income tax reporting purposes and should not be relied upon for such purposes, including determination of income, cost basis, amortization or accretion, or gain/loss. Such information, which may be inaccurate, incomplete or subject to updating, should be confirmed with your records and your tax advisor.

Please take the steps indicated below if you think statement(s) are incorrect or contact your J.P.Morgan team if you require additional information about a transaction on your statement(s).

**IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR ASSET ACCOUNT(S)  
(LINKED TO JPMS)**

Your Asset Account consists of a bank account that custodies assets linked to a brokerage account through which securities transactions are executed. As a result, the Asset Account statement(s) reflect brokerage transactions executed through JPMS but (except for exchange listed options) held in custody at JPMCB. Securities purchased or sold through JPMS in U.S. markets (other than mutual funds) are cleared through an affiliate of JPMS, in non-U.S. markets securities are cleared through JPMS. Positions in exchange-listed options are held by JPMCC. For your convenience, however, positions in exchange-listed options are presented in Asset Account statement(s) together with other assets held in such account(s). All pertinent information about your settled and pending purchases and sales effected through your JPMS account during the period covered by these statement(s), is summarized in the "Trade Activity" portion of the statement(s).

**In Case of Other Errors or Questions About Your Asset Account Statement(s)**  
Please review your statement(s) and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, unaccounted cash positions,

improper payments or transfers in writing to both the introducing broker, JPMS and the clearing firm, JPMCC at the addresses shown on your statement(s). Any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA). If you have any questions please contact your J.P.Morgan team.

In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

JPMCC and JPMS are members of the Securities Investor Protection Corp ("SIPC"), a not-for-profit membership corporation funded by broker-dealers registered with the Securities and Exchange Commission. Securities and cash held for a customer at JPMCC are protected by SIPC up to \$500,000 per customer, which includes up to \$250,000 of protection for cash. SIPC does not protect against losses from fluctuations in the value of the securities. Assets held in custody by JPMCB are not subject to SIPC. You may obtain information about SIPC, including the SIPC Brochure, on their website, at "www.sipc.org" or by contacting them at [REDACTED].

In Case of Errors or Questions About Your Electronic Transfers.

Disclosures Page 2 of 5

For the Period 1/1/13 to 1/31/13

Contact your J.P. Morgan Team at one of the telephone numbers on the front of your statements or write us at J.P. Morgan, 500 Stanton Christiana Road, 1/OPS3, Newark, DE 19713-2107 as soon as you can, if you think your statement is wrong or if you need more information about a transfer on the statement. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared. (1) Tell us your name and account number. (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information. (3) Tell us the dollar amount of the suspected error. We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

**In Case of Errors or Questions About Non-Electronic Transfers (Checks or Deposits)**

Contact JPMorgan Chase Bank, N.A. ("JPMCB") Member FDIC immediately if a statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing as soon as possible after your statement was made available to you. For more complete details, see the applicable account agreements and appendices that govern your account.

Deposit products and services are offered by JPMCB Member FDIC.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

**IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR INVESTMENT MANAGEMENT ACCOUNT(S) WHICH REFLECT ASSETS HELD AT JPMORGAN CHASE BANK, N.A.**

In case of other errors or questions about other account statement(s) Please review your account statement(s) and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, unaccounted cash positions, improper payments or transfers in writing to JPMCB at the addresses shown on your statement(s). Any oral communication should be re-confirmed in writing to further protect your rights. If you have any questions please contact your J.P. Morgan team.

In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

With reference to JPMS and JPMCC: A financial statement of this organization is available to you for personal inspection at its offices, or a copy will be mailed to you upon written request.

You should have received (or have made available to you) separate confirmations for each securities transaction. All transactions are subject to the terms and conditions stated on the reverse side of such confirmations and are subject to the constitution, by-laws, customs and interpretations of the marketplace where executed and governed by and construed in accordance with the laws of the State of New York and all applicable federal laws and regulations. Further information with respect to commissions and other charges related to the execution of transactions, including options transactions, has been included in confirmations that were previously furnished or have made available to you. Upon written request, JPMS will promptly supply you with the latest information.

**IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR MARGIN ACCOUNT(S)**  
All positions in your Margin Account Portfolio(s) are held in custody at J.P. Morgan Clearing Corp. ("JPMCC"), Three Chase Metrotech Center, Brooklyn, NY 11245-001, [REDACTED].

If you have a margin account as permitted by law we may use certain securities in such account for, among other things, settling short sales and lending the securities for short sales, and as a result may receive compensation in connection therewith.

Disclosures Page 3 of 5

For the Period 1/1/13 to 1/31/13

Please keep the following in mind when using a statement to track your brokerage activity in a margin account: The statement combines your general margin account with the special memorandum account required by Section 220.6 Regulation T. As required by Regulation T, a permanent record of your separate account is available upon your request. Free credit balances in your margin account(s) are not segregated and may be used in the operation of JPMCCs business, subject to the limitations of SEC Rule 15c3-3. Unless otherwise noted, JPMCC or its agents and depositories will hold your securities. Upon your demand, JPMCC will pay to you the amount of your free credit balance, and will deliver to you fully-paid securities held on your behalf.

Interest will be charged on any debit balance; the method of calculating interest is described in a letter sent to all margin customers.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

PRODUCT RELATED DISCLOSURE: IMPORTANT INFORMATION ABOUT ALTERNATIVE INVESTMENTS: FOOTNOTES 1 - 4

Private Equity, Hedge Funds, Exchange Funds, Real Estate and Other Alternative Assets

1. Direct private equity investments and pooled private investments (e.g., interests in limited partnerships and limited liability companies) are generally illiquid securities. Values are estimates only and are not warranted for accuracy or completeness. Values do not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into or the actual terms on which existing transactions could be liquidated as of the date of this statement.

Direct private equity investments are generally valued at cost, unless there is an active secondary trading market in the securities, in which case, direct private equity investments are valued using market

prices as of the close of the last business day for this statement period.

Pooled private equity investments are valued according to the General Partner's or Manager's stated methodology. Please refer

to the limited partnership agreement, limited liability company agreement or other operative documentation for each investment for the specific valuation methodology used by the General Partner or

Manager for that investment or for your Investment Management or Trust account contact your J.P. Morgan team. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the

information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

Hedge funds generally calculate the price (the "Net Asset Value" or "NAV"), 10-15 business days following the last business day of the month. For that reason, hedge fund NAVs shown will generally be

the NAV of the month preceding this statement period. In general, the NAV is stated net of management and incentive fees. The NAV is calculated according to the General Partner's or Manager's stated methodology. Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each hedge fund for the specific methodology used by the General Partner or Manager for that hedge fund or for your Investment Management or Trust account contact your J.P. Morgan team. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

These statements do not provide tax reporting on private investments. Please continue to rely upon the General Partner or Manager of the investment vehicle for this information or for your Investment Management or Trust account contact your J.P. Morgan team.

2. The 'Capital Called Since Inception USD' and 'Cash/Security distributions Since Inception USD' columns are updated monthly to reflect activity (capital calls and distributions), if any.

3. Hedge funds generally allow subscriptions on a monthly or quarterly basis. An interest in a hedge fund or hedge fund of funds is generally as of the first business day of the subscription period. Due to early funding requirements by the applicable fund, your account may be debited for the subscription amount prior to the subscription date. Please refer to the applicable hedge fund's operative documentation for further details or for your Investment Management or Trust account contact your J.P. Morgan team.

Hedge fund redemptions are generally on a monthly, quarterly or annual basis but can sometimes be subject to a multi-year lockup before a redemption is permitted. For redemptions, there is generally a notification period that can be a long interval before the actual redemption date. Redemption proceeds are generally paid 15 calendar days after the final NAV is issued, but can be subject to a holdback of a portion of the proceeds until an annual audit of the Fund has been completed. Please refer to the applicable hedge fund's operative documentation for further details or for your Investment Management or Trust account contact your J.P. Morgan team.

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For the Period 1/1/13 to 1/31/13

Global Access Portfolios share certain characteristics with hedge funds. In particular, an investor who withdraws from a Global Access Portfolio will not receive the withdrawal proceeds in their entirety until 30-60 days after completion of the Portfolio's audit, which may be 18 months or longer after the redemption date. Interest might not be paid on any proceeds pending distribution. These restrictions apply even if the investor's account at JPMorgan is closed. The Confidential Private Placement Memorandum and applicable Supplements contain other important information about the Global Access Portfolios and are available upon request or for your Investment Management or Trust account contact your J.P. Morgan team.

4. Alternative assets may include publicly available mutual funds that utilize non-traditional investment management strategies, for example, strategies commonly employed by hedge funds. Mutual funds generally calculate the price (the "Net Asset Value" or "NAV") on a daily basis and mutual fund NAVs shown on your statement generally will be the NAV as of the close of the last business day for this statement period. Please refer to the applicable mutual fund prospectus for further details. If the applicable mutual fund is a JPMorgan Fund, please refer to disclosures on this statement concerning JPMorgan Funds for other important information.

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Prospectuses and other fund information for JPMorgan funds may be obtained by calling your J.P. Morgan team or JPMorgan Distribution Services, Inc. at [REDACTED]. You also may view and

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